Intraoperative hypotension is not associated with postoperative cognitive dysfunction in elderly patients undergoing general anesthesia for surgery: results of a randomized controlled pilot trial

Thomas Langer (M.D.)^{a,b*§}, Alessandro Santini (M.D.)^{b§}, Francesco Zadek (M.D.)^a, Manuela Chiodi (M.D.)^a, Paola Pugni (M.D.)^a, Valentina Cordolcini (M.D.)^a, Barbara Bonanomi (M.D.)^c, Francesca Rosini (M.D.)^c, Maura Marcucci (M.D.)^{c,d}, Franco Valenza (M.D.)^{a, b}, Cristina Marenghi (M.D.)^b, Silvia Inglese (M.Sc.)^c, Antonio Pesenti (M.D.)^{a,b}, Luciano Gattinoni (M.D.)^{a,b}

^a Department of Pathophysiology and Transplantation, University of Milan, Milan, Italy.

^b Department of Anesthesia, Critical Care and Emergency, Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico, Milan, Italy

^c Geriatric Unit, Department of Medical Sciences and Community Health, University of Milan, Italy; Fondazione Ca' Granda, IRCCS Ospedale Maggiore Policlinico, Milan, Italy.

^d Department of Health Research Methods, Evidence, and Impact, McMaster University, Hamilton, ON, Canada

[§] contributed equally to the study

Address correspondence to: Thomas Langer, MD; Department of Pathophysiology and Transplantation, Università degli Studi di Milano, Milan, Italy. tel. +39 02 55033232; fax: +39 02 55033230; email: <u>Thomas.Langer@unimi.it</u>

Disclosures: This study was funded by institutional funds only and carried out at the Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico, Milan, Italy. The company Covidien-Medtronic, Italy donated the BIS, INVOS and probes used for neuromonitoring during the study.

Conflict of interest: The authors certify that they have no affiliations with, or involvement in any organization or entity with any financial or non-financial interest in the subject matter discussed in this manuscript. The company Covidien-Medtronic had no role in data acquisition, interpretation and analysis.

Trial registration number: NCT02428062 www.clinicaltrials.gov

Word count: Abstract 289; Introduction 382; Discussion 1345; Total 3614.

Submission includes: Manuscript Body, Table 1, Table 2, Table 3, Table 4, Figure 1, Figure 2,

Figure 3 and Online Supplementary Material.

Running title: Intraoperative blood pressure and POCD

Keywords: anesthesia, general; blood pressure; cognitive dysfunction; delirium; frail elderly.

1 Abstract

Background: The role of intraoperative blood pressure on the development of postoperative
cognitive dysfunction (POCD) is debated. This pilot randomized controlled trial assessed the effect
of different intraoperative blood pressure targets on the development of POCD and the feasibility of
a larger randomized controlled trial.

6 **Methods:** 101 patients aged \geq 75 years, scheduled for elective, non-cardiac surgery under general 7 anesthesia were randomized to a personalized intraoperative blood pressure target, mean arterial pressure (MAP) \geq 90% of preoperative values (*Target-group*), or to a more liberal intraoperative 8 blood pressure management (No-Target-group). Strategies to reach intraoperative blood pressure 9 10 target were at discretion of anesthesiologists. An experienced neuropsychologist performed a validated battery of neurocognitive tests preoperatively and 3 months after surgery. Incidence of 11 POCD at three months and postoperative delirium were assessed. Intraoperative time spent with 12 13 $MAP \ge 90\%$ of preoperative values, recruitment and drop-out rate at 3 months were feasibility outcomes. 14

Results: The Target-group spent a higher percentage of intraoperative time with MAP \ge 90% of preoperative values (65±25% *vs.* 49±28%, p<0.01). Incidence of POCD (11% *vs.* 7%, relative risk 1.52; 95% CI, 0.41 to 6.3; p=0.56) and delirium (6% *vs.* 14%, relative risk, 0.44; 95% CI, 0.12 to 1.60; p=0.21) did not differ between groups. No correlation was found between intraoperative hypotension and postoperative cognitive performance (p=0.75) or delirium (p=0.19). Recruitment rate was of 6 patients/month, (95% confidential interval (CI), 5 to 7) and drop out rate at 3 months was 24% (95% CI, 14 to 33%).

Conclusions: Intraoperative hypotension did not correlate with postoperative cognitive dysfunction
or delirium occurrence in elderly patients undergoing general anesthesia for non-cardiac surgery.
A multicentre randomized controlled trial is needed in order to confirm the effect of intraoperative
blood pressure on the development of POCD. (NCT02428062)

1 1. INTRODUCTION

2

The world population is both growing and aging, causing a steady increase in surgical 3 procedures performed in elderly patients [1]. In these patients, postoperative complications are more 4 common [2] and correlate with age [3]. Postoperative cognitive dysfunction (POCD) is a frequent, 5 6 often under-recognized complication posing elderly patients at higher risk for long-term disability 7 and, possibly, mortality [4,5]. The pathophysiology of POCD is complex and multifactorial [6,7]. Non-modifiable risk factors, such as age, educational level [5,8] and type and duration of surgery 8 [9] have been identified. On the other hand, any cerebral insult possibly encountered during the 9 10 perioperative period is a potentially modifiable risk factor. The major *intraoperative* cerebral insults could be pharmacological neurotoxicity and cerebral tissue hypoxia. Indeed, general anesthetics are 11 associated, both in experimental and neonatal literature, with neurotoxicity [10] and impaired 12 13 neurological development [11]. On the other side, intraoperative cerebral tissue hypoxia can be the consequence of systemic hypoxemia and/or hypotension. While intraoperative hypoxemia is a 14 15 relatively rare event [12], hypotension is frequent [13] and more often observed in elderly and hypertensive patients [14]. Few studies have explored the role of intraoperative hypotension on 16 POCD development. Gold et al [15] randomly assigned patients to two absolute pressure targets, 17 18 during on-pump cardiac surgery, without finding any difference in cognitive function between the two groups. Moller et al. found no association between intra- and postoperative hypotension and 19 POCD [8]. Several factors, including the use of absolute rather than relative values for the 20 21 definition of hypotension in the study by Gold et al, and the observational nature of Moller's study, 22 do not allow us to draw conclusions on the hemodynamic hypothesis of POCD pathogenesis. 23 Especially for hypertensive patients, in which a rightward shift of cerebral autoregulation has been documented [16], absolute pressure values, adequate for healthy subjects, could lead to cerebral 24 hypoperfusion [17]. 25

Another cognitive disorder frequently encountered in surgical patients is postoperative
 delirium [18]. Also for this complication a role for intraoperative blood pressure has been
 hypothesized [19,20].

4

The aim of the present pilot randomized controlled trial was to assess the effect of an
individualized intraoperative blood pressure target (≥90% of baseline mean arterial pressure, MAP)
on the incidence of POCD and postoperative delirium in elderly patients undergoing elective noncardiac surgery under general anesthesia, as compared to usual practice (no target specified).

1 2. MATERIALS AND METHODS

2

3 2.1 Study design

5	This prospective, single center, pilot randomized controlled trial was approved by the ethics			
6	committee (N°336/2013) of the Policlinico Ca' Granda Hospital in Milan, Italy and registered at			
7	ClinicalTrials.gov (ID NCT02428062, PI Luciano Gattinoni). Patients were recruited between			
8	November 2014 and April 2016, inclusive, and each participant gave written informed consent.			
9	Patients were randomly assigned in a 1:1 ratio on the day of surgery with a dedicated software using			
10	the minimization technique [21] to balance groups for (i) age, (ii) expected duration of surgery and,			
11	(iii) educational level. The anesthesiologists in the operating rooms were necessarily aware of group			
12	assignment, while patients and research personnel were blinded to the study-group assignment.			
13				
14	An age-matched Control group was also recruited from patients' and staff members'			
15	relatives to gather normative material for POCD definition (see below). Every control subject gave			
16	written informed consent to the study and underwent the same multidimensional geriatric			
17	assessment and neuropsychological evaluation (see Data collection) of the enrolled patients.			
18				
19	This manuscript adheres to the applicable CONSORT guidelines.			
20				
21	2.2 Study patients			
22				
23	Inclusion criteria were age \geq 75 years and scheduled general anesthesia for elective non-			
24	cardiac surgery. Exclusion criteria consisted of a pre-existing cognitive impairment (preoperative			

1	mini-mental state examination (MMSE) < 24), neurologic or vascular surgery and general				
2	anesthesia in the previous 6 months. Furthermore, patients with metastatic cancer, American				
3	Society of Anesthesia (ASA) physical status classification \geq 4, or patients with difficult				
4	geographical accessibility were excluded.				
5					
6	Inclusion criteria for the Control group was age \geq 75 years. General anesthesia in the				
7	previous 6 months, scheduled surgery/hospitalization in the subsequent 3 months and pre-existing				
8	cognitive impairment (MMSE < 24) constituted exclusion criteria.				
9					
10	2.3 Study treatment				
11					
12	Study patients were assigned either to a targeted intraoperative blood pressure management				
13	(Target group), in which the anesthesiologist was provided with a personalized intraoperative blood				
14	pressure target (90% of baseline MAP, see below), or to a liberal intraoperative blood pressure				
15	management (No-Target group), in which no target was specified. In the Target group, the strategy				
16	to reach the hemodynamic target was at the discretion of the anesthesiologist.				
17					
18	2.4 Data collection				
19					
20	2.4.1 Preoperative Phase				
21					
22	The preoperative evaluation was performed at the pre-anesthesia outpatient clinic before the				
23	scheduled surgery.				

1

2	An anesthesiologist recorded baseline demographic/medical data and vital parameters.
3	Blood pressure was measured with an automated device and the average MAP of three recordings
4	was considered the patient's baseline MAP, subsequently employed for intraoperative blood
5	pressure target definition.
6	
7	A multidimensional geriatric assessment was performed, including MMSE and physical
8	frailty [22] measurements. The definition of physical frailty considers five items: unintentional
9	weight loss, weakness (grip strength), self-reported exhaustion, slow walking speed and low
10	physical activity. Patients were defined as Non-frail (0 items), Pre-frail (1-2 items) or Frail (≥3
11	items).
12	
13	Finally, an experienced neuropsychologist (S.I.) performed a neuropsychological evaluation,
14	including the Trail Making Test (TMT) part A and B, the Stroop test (time and errors), the Symbol
15	Digit Modalities Test (SDMT), the Free and Cued Selective Reminding Test (FCSRT), immediate
16	and delayed recall, the Verbal Phonemic Fluency Test (VPFT) and the Denomination test. Time
17	measurements (TMT A and B and Stroop time) were transformed to a logarithmic scale as
18	suggested by Rasmussen [23].
19	
20	2.4.2 Intraoperative phase
21	
22	In patients in which a radial artery catheter was placed for clinical reasons, blood pressure
23	was measured using disposable transducers and recorded every minute. In the remaining patients,

blood pressure was non-invasively measured and recorded every three minutes. Other

1	cardiorespiratory variables and administered drugs were recorded every thirty minutes. When				
2	available, the non-invasive cerebral regional oxygen saturation, i.e., rSO ₂ (INVOS 5100C,				
3	Covidien, Mansfield MA, USA), and the depth of anesthesia based on the Bispectral index (BIS				
4	VISTA, Covidien, Mansfield MA, USA), were monitored every minute. Since these monitoring				
5	techniques are not routinely used in our hospital, the anesthesiologist was blinded to both values to				
6	avoid any change in current clinical practice.				
7					
8 9	2.4.3 Postoperative phase and follow-up				
10	For the first postoperative week, or until discharge (whatever came first), patients were				
11	evaluated daily. The post-discharge follow-up visit, consisting of the same evaluations of the				
12	preoperative phase, was performed 3 months ± 2 weeks after surgery at the outpatient clinic by the				
13	same experienced neuropsychologist.				
14					
15	All abovementioned evaluations were performed twice, 4 months apart from each other, also				
16	in the age-matched Control group. Neuropsychological test results of these subjects were used as				
17	normative material to define POCD as previously reported [8,9].				
18					
19	2.5 Outcome measures				
20					
21	The occurrence of POCD at three months was defined using the reliable change index				
22	[23,24] Briefly, for each patient and each test, baseline scores are subtracted form scores obtained at				
23	3-months. From these results, the average change in score of the control subjects is subtracted				

24 (corrected score). This is done to account both for the learning effect (repetition of the same tests)

and for physiologic cognitive decline in an elderly population. To express the resulting corrected
score in standard deviation units (Z-score), the value is divided by the standard deviation of the
change in score of the control subjects. In addition, to summarize the global change in cognitive
function, the combined Z-score is calculated as the sum of the Z-scores of the single tests divided
by the standard deviation of the sum of the Z-scores of the control subjects [20].

6

- An individual was diagnosed with POCD if the Z-score was below -1.96 on at least 2
 neuropsychological tests and/or the combined Z-score was below -1.96.
- 9

A member of the research team, blinded to group assignment, evaluated patients once daily for delirium. Assessment was performed in the late afternoon, using the CAM-ICU scale [25]. This screening tool assesses the presence of delirium according to the following four features: acute onset or fluctuating course; inattention; disorganized thinking and/or altered level of consciousness [26]. In addition, medical and nursing records were reviewed [27]. In case of clinically documented postoperative delirium, the patient was considered positive for delirium, regardless of the results of the CAM-ICU.

17

Additionally, regardless of group assignment, the association between intraoperative
hypotension and postoperative cognitive function/delirium was assessed. To summarize
quantitatively both duration and degree of hypotension, we multiplied time and percent reduction in
MAP below 90% of baseline MAP and termed this value *hypotension index* (Figure 1E).

1	Additional clinical outcomes included mortality; length of hospital stay; unscheduled
2	intensive care unit (ICU) admission; and any other postoperative complication (neurologic,
3	cardiovascular, infectious, respiratory, renal or hemorrhagic) [28].
4	
5	Feasibility outcomes of the study were: (i) feasibility of the proposed intervention, assessed
6	as difference in intraoperative time spent with $MAP > 90\%$ of preoperative values between the two
0	as unrefered in intraoperative time spent with $MAT \ge 9070$ of preoperative values between the two
7	groups; (ii) overall recruitment rate; (iii) overall drop-out rate at 3 months.
8	
9	2.6 Statistical analysis
10	
11	We conducted this pilot study on 100 randomized and operated patients. Baseline characteristics
12	and intraoperative procedures were summarized as mean \pm standard deviation or as median
13	(interquartile range), in case of numerical variables, and as frequency (percentage), in case of
14	categorical variables. Binary outcomes (POCD, delirium, postoperative complications) were
15	compared with chi-square test, and continuous outcomes (average MAP, time spent at target,
16	hospital length of stay) with Student t-test or Wilcoxon rank-sum test, as appropriate. The
17	association of hypotension index with combined Z-score at 3 months, and with postoperative
18	delirium, was assessed with linear and logistic regression analysis, respectively. The recruitment
19	rate was calculated dividing the number of enrolled patients by the number of months in which
20	recruitment took place, with 95% confidence interval (CI). The drop-out rate was calculated as
21	number (percentage) of patients lost to follow-up at 3 months, with 95% CI. P-value < 0.05 was
22	defined as statistically significant. Stata statistical software (StataCorp. 2015. Stata Statistical
23	Software: Release 14. College Station, TX: StataCorp LP), was used for analysis.

1 **3. RESULTS**

2

3 3.1 Study population

4

Figure 1 shows the study flow diagram. Of 187 patients assessed for eligibility, 127 were 5 6 enrolled at the pre-anesthesia outpatient clinic. After enrollment, 11 patients had a change in 7 anesthetic strategy, i.e. general anesthesia was not performed, and were therefore excluded. In 15 cases the therapeutic plan was changed and these patients never underwent surgery at our 8 Institution. Therefore, 101 patients were randomized, on the day of surgery, and operated under 9 10 general anesthesia. On average, 3±2 weeks elapsed between the outpatient visit and the day of 11 surgery. Seventy-seven patients (76%) completed the 3-month follow-up and were included in the explorative outcome analyses. Baseline characteristics and type of surgery of patients of the Target 12 and No-Target group are reported in Table 1. Except for older age $(81\pm5 vs. 79\pm4, p=0.03)$ 13 14 recorded baseline characteristics of the 24 randomized patients not completing the follow-up were similar to those completing the study (Table 1E). 15

16

Furthermore, 33 age-matched controls, i.e. non-hospitalized subjects not scheduled for
surgery, were enrolled. Table 2 summarizes the characteristics of this population and provides a
comparison with surgical patients completing the follow-up.

20

21 **3.2** Outcomes

22

On average, intraoperative mean (92±9 *vs.* 85±11, p<0.01) and systolic arterial pressure (130±15 *vs.*120±13, p<0.01) were significantly higher in the Target group (Table 3). In the Target group, a

higher percentage of intraoperative time was spent with MAP ≥ 90% of preoperative values
(65±25% *vs.* 49±28%, p<0.01). Specifically, the Target group spent more intraoperative time with
MAP between 91 and 120 % of baseline values, while it spent less time in each category of
hypotension below 80% of baseline (Figure 2).

5

Three months POCD incidence in patients completing the follow-up was of 7 patients out of 7 77 (9.1%, 95% CI, 4.5% to 17.6%): 4 (11%) randomized to the Target group and 3 (7%) to the No-8 Target group (relative risk 1.52; 95% CI, 0.41 to 6.3; p = 0.56). Data regarding baseline and 3 9 months scores of the single neuropsychological tests, including a comparison between treatment 10 groups and data from the 33 age-matched controls are reported in Table 12E of the Online 11 Supplementary Material.

12

13	Delirium occurred in 10 out of 101 patients (9.9%, 95% CI, 4.9% to 17.5%) undergoing
14	surgery: 3 (6%) patients in the Target group and in 7 (14%) patients in the No-Target group
15	(relative risk, 0.44; 95% CI, 0.12 to 1.60; $p = 0.21$). No between-group difference was observed in
16	any other secondary outcome (Table 4). No correlation was found between intraoperative
17	hypotension, expressed as hypotension index, and either the composite Z-score (p=0.75, Figure 3),
18	or delirium (p=0.19, Figure 2E).

19

The recruitment rate was of 6 patients/month (95% confidential interval, 95% CI, 5 to 7),
with a drop-out rate of 24% (95% CI, 14% to 33%), yielding a recruitment rate of 4 patients/month
(95% CI, 3 to 5) completing follow up.

- 23
- 24 **3.3** Intraoperative procedures

2	Table 3 compares the intraoperative procedures in the two study groups. Additional
3	intraoperative variables are reported in the Online Supplementary Material (Table 3E). More
4	patients in the Target group received an intraoperative vasoconstrictor. Neither the average
5	vasoconstrictor dose, nor amount of intraoperative fluids administered differed between the groups
6	(Table 3). Furthermore, the Target group was characterized by a lower time-weighted end-tidal
7	sevoflurane concentration (1.08±0.31 vs. 1.26±0.32, p<0.001), while no difference in other
8	anesthetic drugs was observed. Average intraoperative BIS was higher in the Target group (54±8 vs.
9	50 ± 6 , p<0.01). Patients randomized to the Target group spent less time with BIS values between 30
10	and 50, while no significant difference was observed for the time spent within other BIS categories
11	(Figure 3E). No difference in intraoperative regional cerebral oxygen saturation was observed
12	between patients of the Target and No- Target group ($65\pm 9 vs. 64\pm 5$, p=0.50).

1 4. DISCUSSION

2

We conducted a pilot, single center, randomized controlled trial to evaluate the effect of 3 intraoperative blood pressure on the development of POCD at three months after surgery. Patients 4 5 were randomized to a personalized intraoperative blood pressure target (MAP \ge 90% of preoperative values) or to a more liberal hemodynamic approach (usual practice). Intraoperative 6 7 time spent at target was significantly higher in patients randomized to the Target group, 8 demonstrating the efficacy of the proposed intervention. No significant difference in POCD and delirium incidence was observed between the two treatment arms. Furthermore, intraoperative 9 hypotension did not correlate with postoperative cognitive performance or development of 10 postoperative delirium. 11 12

In the Target group we provided the anesthesiologist with a personalized blood pressure 13 14 target (90% of baseline MAP) with the aim of maintaining intraoperative blood pressure close to the 15 patient's preoperative values, likely within her/his cerebral autoregulation range. Given the explorative and pragmatic nature of the present study, no hemodynamic protocol was provided and 16 strategies to achieve the target were at discretion of the anesthesiologist. Despite that, patients 17 assigned to the Target group spent, on average, significantly more time with intraoperative MAP \geq 18 90% of preoperative values (Figure 2). Furthermore, average intraoperative MAP and average 19 systolic arterial pressure were significantly higher in the Target group (Table 3). Of note, these 20 differences are comparable, or even higher than those recently reported in a randomized controlled 21 22 trial employing a strict hemodynamic protocol based on the use of vasopressors [29]. In our study 23 the higher intraoperative MAP was achieved through both a more frequent use of vasopressors and a reduction in administered hypnotic agents (Table 3). As a result, the Target group spent less 24 25 intraoperative time with low BIS values (Figure 2E). A non-significant increase in time spent with

BIS values above 60 was observed. In the subset of patients in whom regional cerebral oxygen
saturation was monitored, no difference was observed between groups. This could suggest that our
very old and hypertensive population had preserved cerebral autoregulation, effectively limiting
cerebral hypoperfusion during hypotension.

5

6 Our pilot study does not suggest an effect of treatment assignment on POCD development. 7 Furthermore, when analyzing outcomes irrespective of group assignment, no correlation was observed between intraoperative hypotension and cognitive function, expressed as combined Z-8 score of the battery of neuropsychological tests (Figure 3). However, the great confidence interval 9 10 of the relative risk of developing POCD (2-fold decrease up to 6-fold increase) does not allow to exclude definitively a clinically significant effect of treatment assignment. Similarly, intraoperative 11 hypotension was not correlated with delirium development, in line with other studies on the subject 12 13 [19,20]. Also in this case, the wide confidence interval (10-fold decrease up to 0.5-fold increase) underlines the need for a larger study. Given the observed incidence of POCD, approximately 1000 14 15 patients would be needed to detect a clinically relevant reduction (50%). This sample size would also allow to detect a similar reduction in delirium occurrence. A larger, more definitive, adequately 16 powered, multicenter prospective randomized trial is therefore warranted. 17

18

The incidence of POCD at three months after surgery in the International Study of 19 PostOperative Cognitive Dysfunction-1 (ISPOCD-1) trial in patients aged >70 years was 14% [8]. 20 21 We enrolled patients aged 75 years and more and thus expected a higher incidence (20%). 22 However, we observed in our population of very old patients an incidence of only 9%. There are several possible explanations for this finding. First, we studied any type of surgery under general 23 anesthesia, therefore including also minor surgery. On the contrary, the ISPOCD study enrolled 24 patients scheduled to major abdominal, non-cardiac thoracic and orthopaedic surgery. However, in 25 other studies a similar incidence of POCD was observed in patients undergoing major 26

1 cardiac/orthopaedic surgery and patients undergoing procedural sedation [30,31]. Second, it is 2 conceivable that patients experiencing a postoperative cognitive decline are less prone to return to 3 the follow-up, leading to a falsely reduced incidence of POCD. For instance, the likelihood of 4 returning to follow-up was significantly lower in patients experiencing postoperative delirium as 5 compared to patients without delirium (50% *vs.* 79%, p = 0.05). Finally, it is possible that the steady 6 improvement in anesthesia, surgery and postoperative care over the past 20 years led to a reduction 7 in postoperative complications, including POCD.

- 8
- 9 4.1 Limitations and Strengths
- 10

The design of the trial, not providing an intraoperative treatment protocol, resulted in 11 variable therapeutic choices made by the anesthesiologists. The results in terms of intraoperative 12 13 MAP were however comparable or even better than recently published trials using a standardized hemodynamic protocol [29]. The more frequently employed strategy was a reduction in the 14 administration of anesthetic agents. As a result, the Target group differed from the No-Target group 15 for two factors potentially affecting POCD development. On the one hand, a reduction in 16 intraoperative hypotension, on the other reduced doses of anesthetic drugs, leading to a lighter level 17 18 of anesthesia (BIS). It is therefore not possible to separate the hemodynamic from the pharmacologic hypothesis. Moreover, as reported in Table 2, our control group had a slightly higher 19 educational level as compared to patients (10 [8-13] years vs.8 [5-13] years, p = 0.05). This fact 20 21 could theoretically lead to an overestimation of the reported incidence of POCD. However, this 22 seems unlikely, given the small difference in educational level and the overall low incidence of POCD. 23

24

We had a 24% drop-out rate, which, while being in line with other studies on the topic,
could be explained by our inability to perform home visits for follow-up. This strategy should be

considered for future larger studies, in order to reduce the drop-out rate and thus increase the
 clinical relevance.

3

In addition, delirium assessment presented two weaknesses: 1) delirium screening was 4 performed only once daily; 2) the CAM-ICU scale was employed in all patients. These two aspects 5 6 could have led to an underestimation of delirium incidence. Indeed, given the fluctuating nature of 7 delirium, its punctual evaluation could miss the diagnosis. We therefore reviewed medical and nursing records looking for a clinical diagnosis of delirium [27], to increase sensitivity of our 8 evaluation. Regarding the CAM-ICU scale, this tool is validated only for critically ill patients and 9 10 has lower sensitivity outside the ICU. The choice to use this scale was of practical nature, since all research members were trained for CAM-ICU administration. Both these limitations could have 11 contributed to the lower than expected incidence of delirium. However, the underestimation should 12 13 affect equally the two groups. Finally, no systematic assessment of intraoperative awareness was performed and no data regarding postoperative hypotension were available. 14

15

Some strengths of this study need to be mentioned. First, this is one of the very few 16 17 randomized controlled trials on the subject and the first study exploring the hemodynamic 18 hypothesis using a personalized, rather than an absolute blood pressure target. Second, we chose a very old population, not well characterized in the literature, and performed the preoperative 19 evaluation in the outpatient clinic, i.e. 3 ± 2 weeks before the scheduled surgery, therefore limiting 20 21 the effect of stress and anxiety on both cognitive performance and blood pressure. Third, this is one 22 of the few studies extensively reporting intraoperative data including depth of anesthesia and noninvasive cerebral regional oxygen saturation. Additionally, the extensive neuropsychological 23 evaluation of both patients and control subjects was performed before surgery, at the outpatient 24 clinic and 3 months after surgery, always by the same, experienced neuropsychologist, allowing to 25 26 use the reliable change method to define POCD [8,24].

The extreme organizational effort needed to accomplish this design needs to be
 acknowledged. Performing the neuropsychological evaluation in the immediate postoperative
 period, as frequently performed in literature, results in a higher incidence of POCD at a
 significantly lower organizational cost. However, several cases of transient cognitive dysfunction
 likely attributable to medications and post-operative pain would be included, limiting the possibility
 to make long-term inferences on cognitive function [23].

8 In conclusion, our results in elderly surgical patients do not suggest a major role of 9 intraoperative hypotension on the development of POCD and postoperative delirium. Results of the 10 present study, including incidence of POCD and postoperative delirium observed in this particular 11 population could be helpful in the design of future trials on the topic.

1 Online Supplementary Material

2

3 Supplemental digital content is available online.

4

5 Acknowledgements

6

7 Assistance with the study: The authors are grateful to the staff of the outpatient anesthesia clinic, operating rooms and surgical wards for their fundamental support during the study; to Martina 8 Dansi M.D., Silvia Prolo M.D. and Federica Romano M.D. (Geriatric Unit, Department of Medical 9 10 Sciences and Community Health, University of Milan, Italy; Fondazione Ca' Granda, IRCCS Ospedale Maggiore Policlinico, Milan, Italy) for assistance with the geriatric evaluations; 11 to Elisa Cipriani M.D. (Department of Pathophysiology and Transplantation, University of Milan, 12 13 Milan, Italy) for assistance in intraoperative data collection; to Paolo Cadringher M.Sc. (Department of Pathophysiology and Transplantation, University of Milan, Milan, Italy) for 14 15 providing the software for randomization; to Prof. Paolo Pelosi M.D., F.E.R.S. and Dr. Lorenzo Ball M.D. (Department of Surgical Sciences and Integrated Diagnostics, University of Genoa, Italy) 16 for revising the manuscript and providing useful suggestions for its improvement; to the patients 17 18 and control subjects that accepted to participate in the study. 19

Presentation: The manuscript was presented in form of abstract, and won the best abstract award at
the 28th Smart Meeting Anesthesia Resuscitation and Intensive Care held in Milano, Italy between
the 10th and 12th of May 2017 (www.smartonweb.org).

1 **References**

2

3

4	workforce. Ann Surg 2003;238:170-177. doi:10.1097/01.SLA.0000081085.98792.3d.
5	[2] Hamel MB, Henderson WG, Khuri SF, Daley J. Surgical outcomes for patients aged 80 and
6	older: morbidity and mortality from major noncardiac surgery. J Am Geriatr Soc 2005;53:424-429
7	doi:10.1111/j.1532-5415.2005.53159.x.
8	[3] Polanczyk CA, Marcantonio E, Goldman L, Rohde LE, Orav J, Mangione CM, et al. Impact of
9	age on perioperative complications and length of stay in patients undergoing noncardiac surgery.
10	Ann Intern Med 2001;134:637–643. doi:10.7326/0003-4819-134-8-200104170-00008.
11	[4] Steinmetz J, Christensen KB, Lund T, Lohse N, Rasmussen LS. Long-term consequences of
12	postoperative cognitive dysfunction. Anesthesiology 2009;110:548-555.
13	doi:10.1097/ALN.0b013e318195b569.
14	[5] Monk TG, Weldon BC, Garvan CW, Dede DE, van der Aa MT, Heilman KM, et al. Predictors
15	of cognitive dysfunction after major noncardiac surgery. Anesthesiology 2007;108:18-30.
16	doi:10.1097/01.anes.0000296071.19434.1e.
17	[6] Selnes OA, Goldsborough MA, Borowicz LM, Enger C, Quaskey SA, McKhann GM.
18	Determinants of cognitive change after coronary artery bypass surgery: a multifactorial problem.
19	Ann Thorac Surg 1999;67:1669–1676. doi:10.1016/s0003-4975(99)00258-1.
20	[7] Monk TG, Price CC. Postoperative cognitive disorders. Curr Opin Crit Care 2011;17:376–381.
21	doi:10.1097/MCC.0b013e328348bece.
22	[8] Moller JT, Cluitmans P, Rasmussen LS, Houx P, Rasmussen H, Canet J, et al. Long-term
23	postoperative cognitive dysfunction in the elderly ISPOCD1 study. ISPOCD investigators.

[1] Etzioni DA, Liu JH, Maggard MA, Ko CY. The aging population and its impact on the surgery

24 International Study of Post-Operative Cognitive Dysfunction. Lancet 1998;351:857–861.

1	[9] Johnson T, Monk T, Rasmussen LS, Abildstrom H, Houx P, Korttila K, et al. Postoperative
2	cognitive dysfunction in middle-aged patients. Anesthesiology 2002;96:1351-1357.
3	[10] Eckenhoff RG, Johansson JS, Wei H, Carnini A, Kang B, Wei W, et al. Inhaled anesthetic
4	enhancement of amyloid-beta oligomerization and cytotoxicity. Anesthesiology 2004;101:703–709.
5	[11] Sanders RD, Hassell J, Davidson AJ, Robertson NJ, Ma D. Impact of anaesthetics and surgery
6	on neurodevelopment: an update. Br J Anaesth 2013;110 Suppl 1:i53-72. doi:10.1093/bja/aet054.
7	[12] Ehrenfeld JM, Funk LM, Van Schalkwyk J, Merry AF, Sandberg WS, Gawande A. The
8	incidence of hypoxemia during surgery: evidence from two institutions. Can J Anaesth
9	2010;57:888–897. doi:10.1007/s12630-010-9366-5.
10	[13] Bijker JB, van Klei WA, Kappen TH, van Wolfswinkel L, Moons KGM, Kalkman CJ.
11	Incidence of intraoperative hypotension as a function of the chosen definition: literature definitions
12	applied to a retrospective cohort using automated data collection. Anesthesiology 2007;107:213-
13	220. doi:10.1097/01.anes.0000270724.40897.8e.
14	[14] Charlson ME, MacKenzie CR, Gold JP, Ales KL, Topkins M, Shires GT. Preoperative
15	characteristics predicting intraoperative hypotension and hypertension among hypertensives and
16	diabetics undergoing noncardiac surgery. Ann Surg 1990;212:66-81. doi:10.1097/00000658-
17	199007000-00010.
18	[15] Gold JP, Charlson ME, Williams-Russo P, Szatrowski TP, Peterson JC, Pirraglia PA, et al.
19	Improvement of outcomes after coronary artery bypass. A randomized trial comparing
20	intraoperative high versus low mean arterial pressure. J Thorac Cardiovasc Surg 1995;110.
21	doi:10.1016/S0022-5223(95)70053-6.

[16] Iadecola C, Davisson RL. Hypertension and cerebrovascular dysfunction. Cell Metab
2008;7:476–484. doi:10.1016/j.cmet.2008.03.010.

- [17] Kato R, Pinsky MR. Personalizing blood pressure management in septic shock. Ann Intensive
 Care 2015;5:41. doi:10.1186/s13613-015-0085-5.
- 3 [18] Aldecoa C, Bettelli G, Bilotta F, Sanders RD, Audisio R, Borozdina A, et al. European Society
- 4 of Anaesthesiology evidence-based and consensus-based guideline on postoperative delirium. Eur J
- 5 Anaesthesiol 2017;34:192–214. doi:10.1097/EJA.00000000000594.
- 6 [19] Wesselink EM, Kappen TH, van Klei WA, Dieleman JM, van Dijk D, Slooter AJC.
- 7 Intraoperative hypotension and delirium after on-pump cardiac surgery. Br J Anaesth
- 8 2015;115:427–433. doi:10.1093/bja/aev256.
- 9 [20] Hirsch J, DePalma G, Tsai TT, Sands LP, Leung JM. Impact of intraoperative hypotension and

10 blood pressure fluctuations on early postoperative delirium after non-cardiac surgery. Br J Anaesth

- 11 2015;115:418–426. doi:10.1093/bja/aeu458.
- [21] Pocock SJ, Simon R. Sequential treatment assignment with balancing for prognostic factors in
 the controlled clinical trial. Biometrics 1975;31:103–115.
- [22] Fried LP, Tangen CM, Walston J, Newman AB, Hirsch C, Gottdiener J, et al. Frailty in older
 adults: evidence for a phenotype. J Gerontol a Biol Sci Med Sci 2001;56:M146–56.
- 16 [23] Rasmussen LS, Larsen K, Houx P, Skovgaard LT, Hanning CD, Moller JT. The assessment of
- 17 postoperative cognitive function. Acta Anaesthesiologica Scandinavica 2001;45:275–289.
- 18 doi:10.1034/j.1399-6576.2001.045003275.x.
- 19 [24] Radtke FM, Franck M, Lendner J, Krüger S, Wernecke KD, Spies CD. Monitoring depth of
- 20 anaesthesia in a randomized trial decreases the rate of postoperative delirium but not postoperative
- cognitive dysfunction. Br J Anaesth 2013;110 Suppl 1:i98–105. doi:10.1093/bja/aet055.
- 22 [25] Wei LA, Fearing MA, Sternberg EJ, Inouye SK. The Confusion Assessment Method: a
- systematic review of current usage. J Am Geriatr Soc 2008;56:823-830. doi:10.1111/j.1532-
- 24 5415.2008.01674.x.

1	[26] Ely EW, Margolin R, Francis J, May L, Truman B, Dittus R, et al. Evaluation of delirium in
2	critically ill patients: validation of the Confusion Assessment Method for the Intensive Care Unit
3	(CAM-ICU). Critical Care Medicine 2001;29:1370–1379.
4	[27] Rolfson DB, McElhaney JE, Jhangri GS, Rockwood K. Validity of the confusion assessment
5	method in detecting postoperative delirium in the elderly. Int Psychogeriatr 2000;11:431-438.
6	[28] Devereaux PJ, Sessler DI, Leslie K, Kurz A, Mrkobrada M, Alonso-Coello P, et al. Clonidine
7	in patients undergoing noncardiac surgery. N Engl J Med 2014;370:1504-1513.
8	doi:10.1056/NEJMoa1401106.
9	[29] Futier E, Lefrant J-Y, Guinot P-G, Godet T, Lorne E, Cuvillon P, et al. Effect of Individualized
10	vs Standard Blood Pressure Management Strategies on Postoperative Organ Dysfunction Among
11	High-Risk Patients Undergoing Major Surgery: A Randomized Clinical Trial. JAMA
12	2017;318:1346–1357. doi:10.1001/jama.2017.14172.
13	[30] Evered L, Scott DA, Silbert B, Maruff P. Postoperative cognitive dysfunction is independent of
14	type of surgery and anesthetic. Anesth Analg 2011;112:1179–1185.
15	doi:10.1213/ANE.0b013e318215217e.
16	[31] Scott DA, Evered L, Maruff P, MacIsaac A, Maher S, Silbert BS. Cognitive Function Before
17	and After Left Heart Catheterization. J Am Heart Assoc 2018;7:e008004.
18	doi:10.1161/JAHA.117.008004.
19	

	No-target group (n=51)	2 Target group (n=50) 3
Age, years	80 ± 4	80 ± 4 5
Female sex, no. (%)	22 (43)	26 (52) 6
Body mass index, kg/m ²	24.7 ± 3.2	$24.8\pm4.5 7$
Education, years	8 [5-13]	8 [5-13] 8
Mini-mental state evaluation	28 [27-30]	28 [27-30] 10
Baseline Mean arterial pressure, mmHg	94 ± 14	94 ± 13 11
Hypertensive patients, no. (%)	35 (69)	31 (62) 12
Diabetic patients, no. (%)	11 (22)	6 (12) 13 14
Charlson comorbidity index	2 [1-4]	2 [0-3] 15
ASA physical status, no. (%)		16
1	1 (2)	0 (0) 18
2	33 (35)	31 (62) 19
3	17 (33)	19 (38) 20
Physical Frailty, no. (%)	(n=44)	(n=45) 21 22
Non - frail	14 (32)	14 (31) 23
Pre - frail	24 (55)	19 (42) 24
Frail	6 (14)	12 (27) 25
Type of surgery, no. (%)		20
General	27 (53)	30 (60) 28
Urologic	8 (16)	9 (18) 29
Thoracic	6 (12)	6 (12) 30 31
Head-neck	9 (18)	5 (10) 32
Orthopedic	1 (2)	0 (0) 33
Cancer surgery, no. (%)	34 (67)	28 (56) 34 25
Expected duration of surgery above 2 hours, no. (%)	22 (43)	18 (36) 36

1 Table 1. Baseline characteristics of randomized patients.

38 Table 1. Baseline characteristics of randomized patients.

Data are expressed either as mean ± standard deviation or as median [interquartile range]. Reported
baseline mean arterial pressure refers to values measured at the outpatient anesthesia clinic
approximately 2-4 weeks prior to the scheduled surgical procedure. Please see the Materials and
Methods section for the definition of physical frailty.

	Patients (n=77)	Controls (n=33)	p value
Age, years	79 ± 4	79 ± 5	0.36
Female sex, no. (%)	34 (44)	20 (61)	0.11
Body mass index	25.0 ± 3.7	23.7 ± 3.6	0.09
Education, years	8 [5-13]	10 [8-13]	0.05
Mini-mental state evaluation	28 [27-30]	28 [27-30]	0.75
Baseline Mean arterial pressure [mmHg]	95 ± 14	93 ± 10	0.46
Hypertensive patients, no. (%)	53 (69)	24 (54)	0.68
Diabetic patients, no. (%)	14 (18)	3 (9)	0.19
Charlson comorbidity index	2 [1-3]	0 [0-1]	< 0.001
Physical Frailty, no. (%)	(n=67)	(n=33)	
Non - frail	24 (36)	16 (49)	
Pre - frail	30 (45)	13 (39)	
Frail	13 (19)	4 (12)	0.42

1 Table 2. Baseline characteristics of patients completing follow-up and control subjects.

2 3

Table 2. Baseline characteristics of patients completing follow-up and control subjects.

4

9

5 Data are expressed either as mean ± standard deviation or as median [interquartile range]. The
6 definition of physical frailty considers 5 items: unintentional weight loss, weakness (grip strength),
7 self-reported exhaustion, slow walking speed and low physical activity. Patients were defined as
8 Non-frail (0 items), Pre-frail (1-2 items) or Frail (≥3 items).

1 Table 3. Intraoperative variables, drugs and monitoring of randomized patients.

	No-Target group (n=51)	Target group (n=50)	p value
Duration of intervention, minutes	193 ± 117	198 ± 108	0.84
Average mean arterial pressure, mmHg	85 ± 11	92 ± 9	< 0.01
Average systolic arterial pressure, mmHg	120 ± 13	130 ± 15	< 0.01
Any vasoconstrictor, no. (%)	22 (43)	32 (59)	0.04
Ephedrine, mg	5 [3-10]	8 [5-13]	0.10
n	11	13	
Ethylephrine, mg	2 [1-4]	3 [1-7]	0.26
n	11	19	
End-tidal Sevoflurane, %	1.26 ± 0.32	1.08 ± 0.31	< 0.01
n	51	50	
Propofol, induction dose, mg/kg	2.0 ± 0.6	1.8 ± 0.7	0.09
n	51	50	
Midazolam, mg	2.1 ± 1.2	1.9 ± 0.8	0.46
n	29	34	
Remifentanil, mcg/kg/min	0.07 ± 0.03	0.06 ± 0.03	0.22
n	34	41	
Fentanyl, mcg	136 ± 93	99 ± 37	0.06
n	31	27	
Crystalloids, liters	1.5 [1.0 – 2.5]	1.8 [1.3 – 2.5]	0.27
Any hemocomponent, no. (%)	5 (10)	6 (12)	0.72
Average Bispectral index	49 ± 6	54 ± 8	< 0.01
n	45	47	
Average left rSO ₂ , %	64 ± 5	65 ± 9	0.50
Average right rSO ₂ , %	65 ± 7	67 ± 9	0.29
n	38	43	
ICU admission, no. (%)	17 (33)	14 (28)	0.47

1 Table 3. Intraoperative variables, drugs and monitoring of randomised patients.

2

Continuous variables are expressed either as mean ± standard deviation or as median [interquartile 3 range] and comparisons are performed via Student's t-test or rank sum test, respectively. 4 Comparison between proportions are performed via chi-square or Fischer's exact test, as 5 6 appropriate. For drugs, the mean dose was calculated considering as denominator only patients receiving the specific drug. Of note, all patients received both propofol and sevoflurane. 7 8 Neuromonitoring was performed using the BIS Vista in 70 patients (36 in the No-Target group and 9 34 in the Target group) and using the INVOS 5100C in 60 patients (29 in the No-Target group and 10 31 in the Target group). Definition of abbreviations: rSO2 cerebral regional oxygen saturation; ICU = intensive care unit. 11

	No-Target group (n=51)	Target group (n=50)	p value
Delirium, no. (%)	7 (14)	3 (6)	0.19
Unscheduled ICU admission, no. (%)	3 (6)	1 (2)	0.32
Any post-operative complication, no. (%)	9 (18)	8 (16)	0.83
Mortality, no. (%)	1 (2)	1 (2)	0.99
Hospital length of stay, days	5 [3-7]	5 [2-8]	0.93

1 Table 4. Secondary outcome measures in the overall study cohort.

2

3 Table 4. Secondary outcome measures in the overall study cohort.

4

Hospital length of stay is expressed as median [interquartile range] and comparison was performed
via Rank sum test. Post-operative complications were defined as previously described²¹ and
comparisons between proportions were performed via chi-square or Fischer's exact test, as
appropriate.

1 FIGURE LEGENDS

2	
3	Figure 1. Study Flow chart
4	
5	Screening, randomization, and follow-up of study patients.
6	
7	Figure 2. Intraoperative mean arterial pressure
8	
9	Intraoperative time (% of total anesthesia time) spent within ranges of mean arterial pressure,
10	expressed as percent of preoperative values. Grey box plots represent data from the No-Target,
11	while white box plots represent data from the Target group. For each of the pressure categories data
12	were compared using the Wilcoxon rank-sum test.* p<0.05 vs Target group.
13	
14	Figure 3. Hypotension index and postoperative cognitive performance
15	
16	Association between intraoperative hypotension, expressed as hypotension index and postoperative
17	cognitive impairment, expressed as combined Z-score (negative values represent cognitive decline).
18	Squares represent patients randomized to No-Target group, while circles represent patients
19	randomized to the Target group. Pink symbols (circles and squares) represent patients developing
20	POCD at three months.
21	
22	
22	
23	

Figure 1. Study Flow chart



1 Figure 2





