# Multiple nonradial solutions for a nonlinear elliptic problem with singular and decaying radial potential

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#### Abstract

Many existence and nonexistence results are known for nonnegative radial solutions  $u \in D^{1,2}(\mathbb{R}^N) \cap L^2(\mathbb{R}^N, |x|^{-\alpha} dx)$  to the equation

$$-\Delta u + \frac{A}{\left|x\right|^{\alpha}} u = f\left(u\right) \quad \text{in } \mathbb{R}^{N}, \quad N \geq 3, \quad A, \alpha > 0,$$

with nonlinearites satisfying  $|f(u)| \leq (\text{const.}) \, u^{p-1}$  for some p > 2. Existence of nonradial solutions, by contrast, is known only for  $N \geq 4$ ,  $\alpha = 2$ ,  $f(u) = u^{(N+2)/(N-2)}$  and A large enough. Here we show that the equation has multiple nonradial solutions as  $A \to +\infty$  for  $N \geq 4$ ,  $2/(N-1) < \alpha < 2N-2$ ,  $\alpha \neq 2$ , and nonlinearities satisfying suitable assumptions. Our argument essentially relies on the compact embeddings between some suitable functional spaces of symmetric functions, which yields the existence of nonnegative solutions of mountain-pass type, and the separation of the corresponding mountain-pass levels from the energy levels associated to radial solutions.

MSC (2010): Primary 35J60; Secondary 35Q55, 35J20

**Keywords:** Semilinear elliptic PDE, singular vanishing potential, symmetry breaking

#### 1 Introduction and main result

This paper is concerned with the following semilinear elliptic problem:

$$\begin{cases}
-\Delta u + \frac{A}{|x|^{\alpha}} u = f(u) & \text{in } \mathbb{R}^{N}, \ N \ge 3 \\
u \ge 0 & \text{in } \mathbb{R}^{N} \\
u \in H^{1}_{\alpha}, \ u \ne 0
\end{cases} \tag{$\mathcal{P}$}$$

where  $A, \alpha > 0$  are real constants,  $f : \mathbb{R} \to \mathbb{R}$  is a continuous nonlinearity satisfying  $|f(s)| \le (\text{const.}) \, s^{p-1}$  for some p > 2 and all  $s \ge 0$ , and  $H^1_{\alpha} := D^{1,2}(\mathbb{R}^N) \cap L^2(\mathbb{R}^N, |x|^{-\alpha} \, dx)$  is the natural energy space related to the equation. We will deal with problem  $(\mathcal{P})$  in the weak sense, that is, speaking about solutions to  $(\mathcal{P})$  we will always mean weak solutions, i.e., functions  $u \in H^1_{\alpha} \setminus \{0\}$  such that  $u \ge 0$  almost everywhere in  $\mathbb{R}^N$  and

$$\int_{\mathbb{R}^N} \nabla u \cdot \nabla v \, dx + \int_{\mathbb{R}^N} \frac{A}{|x|^{\alpha}} uv \, dx = \int_{\mathbb{R}^N} f(u) \, v \, dx \quad \text{for all } v \in H^1_{\alpha}.$$
 (1)

As is well known, problems like (P) are models for stationary states of reaction diffusion equations in population dynamics (see e.g. [17]) and arise in many branches of mathematical physics, such as nonlinear optics, plasma physics, condensed matter physics and cosmology (see e.g. [11,27]), where its nonnegative solutions lead to special solutions (solitary waves and solitons) for several nonlinear field theories, like nonlinear Schrödinger (or Gross-Pitaevskii) and Klein-Gordon equations. In this context, (P) is a prototype for problems exhibiting radial potentials which are singular at the origin and/or vanishing at infinity (sometimes called the zero mass case; see e.g. [8,22]).

Though it can be considered of quite recent investigation, the study of problem  $(\mathcal{P})$  has already some history, which probably started in [26] and continued in [5, 9, 15, 16, 24, 25] (see [4] for a similar cylindrical problem). Currently, the problem of existence and nonexistence of radial solutions is essentially solved in the pure-power case  $f(u) = u^{p-1}$ , where the results obtained rest upon compatibility conditions between  $\alpha$  and p. They can be summarized as follows (for a chronological overview of these results see [5]): the problem has a radial solution for  $(\alpha, p) = (2, 2^*)$  ([26]) and for all the pairs  $(\alpha, p)$  satisfying

$$\begin{cases} 0 < \alpha < 2 \\ 2_{\alpha}^{*} < p < 2^{*} \end{cases} \quad \text{or} \quad \begin{cases} 2 < \alpha < 2N - 2 \\ 2^{*} < p < 2_{\alpha}^{*} \end{cases} \quad \text{or} \quad \begin{cases} \alpha \geq 2N - 2 \\ p > 2^{*} \end{cases}, \qquad 2_{\alpha}^{*} := 2 \frac{2N - 2 + \alpha}{2N - 2 - \alpha}. \quad (2)$$

([25]), while it has no solution if

$$\begin{cases} 0 < \alpha < 2 \\ p \notin (2_{\alpha}, 2^*) \end{cases} \quad \text{or} \quad \begin{cases} \alpha = 2 \\ p \neq 2^* \end{cases} \quad \text{or} \quad \begin{cases} 2 < \alpha < N \\ p \notin (2^*, 2_{\alpha}) \end{cases} \quad \text{or} \quad \begin{cases} \alpha \geq N \\ p \leq 2^* \end{cases}, \qquad 2_{\alpha} := \frac{2N}{N - \alpha}$$

([9]) and no radial solution for both

$$\begin{cases} 0 < \alpha < 2 \\ 2_{\alpha} < p \le 2_{\alpha}^* \end{cases} \quad \text{and} \quad \begin{cases} 2 < \alpha < 2N - 2 \\ 2_{\alpha}^* \le p < 2_{\alpha} \end{cases}$$

([5] and [15] respectively). As usual,  $2^* := 2N/(N-2)$  denotes the critical exponent for the Sobolev embedding in dimension  $N \geq 3$ . All these results are portrayed in the picture of the  $\alpha p$ -plane given in Fig.1, where nonexistence regions are shaded in gray (nonexistence of radial solutions) and light gray (nonexistence of solutions at all, which includes both the lines  $p=2^*$ 

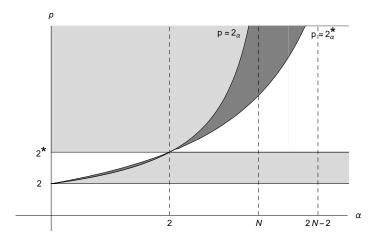


Figure 1: Regions of nonexistence of solutions (light gray), and existence (white with p > 2) and nonexistence (dark gray) of radial solutions.

and  $p = 2_{\alpha}$  except for the pair  $(\alpha, p) = (2, 2^*)$ , whereas white color (of course above the line p = 2) means existence of radial solutions. As to nonradial solutions, the only result available is the one contained in [26, Theorem 0.5], where the author proves that problem  $(\mathcal{P})$  with  $N \geq 4$ ,  $\alpha = 2$  and  $f(u) = u^{2^*-1}$  has at least a nonradial solution for every A large enough. This brings Catrina to say, in the introduction of his paper [15]: "Two questions still remain: whether one can find non-radial solutions in the case when radial solutions do not exist, or in the case when radial solutions exist".

As concerns problem  $(\mathcal{P})$  with general nonlinearities satisfying a power growth condition  $|f(u)| \leq (\text{const.}) u^{p-1}$  for some p > 2, the results of [25] also cover this case and ensures that, under some rather standard additional assumptions on f (precisely  $(\mathbf{f}_1)$  and  $(\mathbf{f}_2)$  below),  $(\mathcal{P})$  has a radial solution for all the pairs  $(\alpha, p)$  satisfying (2) again. To be precise, the authors only concern themselves with radial weak solutions in the sense of the dual space of the radial subspace of  $H^1_{\alpha}$  (where the energy functional of the problem is well defined by the embeddings they prove), but the symmetric criticality type results of [6] actually apply, yielding solutions in the sense of our definition (1). No results are known in the literature about nonradial solutions.

This general lack of symmetry breaking results is the motivation of this paper, where we prove that problem  $(\mathcal{P})$  has multiple nonradial solutions as  $A \to +\infty$  provided that  $N \geq 4$ ,  $\alpha \in (\frac{2}{N-1}, 2N-2) \setminus \{2\}$  and f belongs to a suitable class of nonlinearities satisfying a power growth condition. We observe straight away that such a class of nonlinearities does not unfortunately contain pure powers (which does not satisfy our assumption  $(\mathbf{f}_{p_1,p_2})$ , where  $p_1 \neq p_2$ ).

The main assumptions characterizing our class of nonlinearities are the following, where we denote  $F(s) := \int_0^s f(t) dt$ :

$$(\mathbf{f}_{p_1,p_2}) \sup_{s>0} \frac{|f(s)|}{\min\{s^{p_1-1},s^{p_2-1}\}} < +\infty \text{ for some } 2 < p_1 < 2^* < p_2$$

- $(\mathbf{f}_1) \ \exists \theta > 2 \text{ such that } \theta F(s) \leq f(s) s \text{ for all } s > 0$
- $(\mathbf{f}_2) \ F(s) > 0 \text{ for all } s > 0$
- ( $\mathbf{f}_3$ ) the function f(s)/s is strictly increasing on  $(0,+\infty)$
- (f<sub>4</sub>)  $\exists \mu > 2$  such that the function  $F(s)/s^{\mu}$  is decreasing on  $(0, +\infty)$ .

For  $N \ge 3$ ,  $\alpha \in (0, 2N - 2)$ ,  $\alpha \ne 2$ , and  $2 < p_1 < 2^* < p_2$ , we define

$$\nu := \nu_{N,\alpha,p_1,p_2} := \begin{cases} \left\lceil 2 \min\left\{ \frac{N-1}{\alpha}, \frac{N-2}{2-\alpha} \frac{2^* - p_1}{p_1 - 2} \right\} - 2N\left(\frac{1}{\alpha} - \frac{1}{2}\right) \right\rceil - 1 & \text{if } 0 < \alpha < 2 \\ \left\lceil 2 \min\left\{ \frac{N-1}{\alpha}, \frac{N-2}{\alpha - 2} \frac{p_2 - 2^*}{p_2 - 2} \right\} \right\rceil - 1 & \text{if } 2 < \alpha < 2N - 2 \end{cases}$$
(3)

where  $\lceil \cdot \rceil$  denotes the ceiling function (i.e.,  $\lceil x \rceil := \min \{ n \in \mathbb{Z} : n \geq x \}$ ).

Our main result is the following theorem.

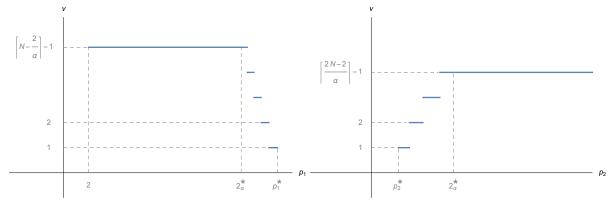
**Theorem 1.1.** Let  $N \geq 4$  and  $\alpha \in (2/(N-1), 2N-2)$ ,  $\alpha \neq 2$ . Let  $f : \mathbb{R} \to \mathbb{R}$  be a continuous function satisfying  $(\mathbf{f}_1)$ - $(\mathbf{f}_4)$ . Assume that  $(\mathbf{f}_{p_1,p_2})$  holds with

$$p_1 < p_1^* := 2 \frac{\alpha^2(N-1) - 2\alpha(N-1) + 4N}{\alpha^2(N-1) - 2\alpha(N+1) + 4N} \quad or \quad p_2 > p_2^* := 2 \frac{2N + 2 - \alpha}{2N - 2 - \alpha}$$
(4)

according as  $\alpha \in (2/(N-1), 2)$  or  $\alpha \in (2, 2N-2)$ . Then there exists  $A_* > 0$  such that for every  $A > A_*$  problem  $(\mathcal{P})$  has both a radial solution and  $\nu$  different nonradial solutions.

Some comments on Theorem 1.1 are in order. First of all, under the assumptions of the theorem,  $\nu$  is positive (see Lemma 5.2 below), so that at least one nonradial solution actually exists. On the other hand, it is easy to check that, for every fixed N and  $\alpha$ , the behaviour of  $\nu$  as a function of  $p_1$  and  $p_2$  is the one portrayed in Figs. (2a) and (2b), whence one sees that the number  $\nu$  of nonradial solutions may assume every natural value (as  $N \to \infty$ ).

As to assumptions (4) and  $(\mathbf{f}_{p_1,p_2})$ , it is worth observing that  $\alpha \in (0,2)$  implies  $2 < p_1^* < 2^*$ , while  $2 < \alpha < 2N - 2$  implies  $p_2^* > 2^*$ , so that (4) and  $(\mathbf{f}_{p_1,p_2})$  are consistent with each other. Assumption  $(\mathbf{f}_{p_1,p_2})$  is the so-called double-power growth condition and seems to be typical in nonlinear problems with potentials vanishing at infinity (see e.g. [2,3,6-8,12-14,18,19,22]). It obviously implies the single-power growth condition  $|f(s)| \leq (\text{const.}) s^{p-1}$  for all  $p \in [p_1,p_2]$  and  $s \geq 0$ , but it is actually more stringent than that, since it requires  $p_1 \neq p_2$ . We finally observe that  $(\mathbf{f}_{p_1,p_2})$  still remains true if one raises  $p_1$  and lowers  $p_2$ , but this decreases  $\nu$  (see Figs. (2a) and (2b)) and therefore it is convenient to apply Theorem 1.1 with  $p_1$  as small as possible  $p_2$  as large as possible (which is also consistent with assumption (4)).



- (a)  $\nu$  as a function of  $p_1 \in (2, p_1^*)$  for  $N \geq 3$  and  $\alpha \in (0, 2)$  fixed.
- (b)  $\nu$  as a function of  $p_2 > p_2^*$  for  $N \ge 3$  and  $\alpha \in (2, 2N 2)$  fixed.

The plan of the paper is the following. In Section 2 we define the variational setting and introduce the argument we will use in the proof of Theorem 1.1, which will be completed in Section 5. Observe that we cannot use the technique used in [26], where the homogeneity of the nonlinearity is exploited and a nonradial solution is obtained as a global minimizer of the Sobolev-type quotient associated to the problem. Our argument, instead, essentially relies on the following two main elements: (i) the compact embeddings between some suitable functional spaces of symmetric functions, which yields the existence of  $\nu$  different solutions of mountain-pass type; (ii) the separation of the corresponding mountain-pass levels from the energy levels associated to radial solutions. Sections 3 and 4 are devoted to the estimation of these levels in order to separate them. As a conclusion, we get  $\nu$  nonradial solutions on which the energy functional of the equation has a lower value than the energy levels of radial solutions.

We end this introductory section by giving some examples of nonlinearities to which Theorem 1.1 applies and collecting some notations we use throughout the paper.

**Example 1.2.** Let  $N \ge 4$  and  $\alpha \in (2/(N-1), 2N-2)$ ,  $\alpha \ne 2$ , and let  $2 < p_1 < 2^* < p_2$  be such that (4) holds. The most obvious nonlinearity to which Theorem 1.1 applies is  $f(s) = \min\{|s|^{p_1-1}, |s|^{p_2-1}\}$ , which satisfies ( $\mathbf{f}_1$ ) and ( $\mathbf{f}_4$ ) for  $\theta = p_1$  and any  $\mu > p_2$ . Other simple examples are

$$f(s) = \frac{|s|^{p_2-1}}{1+|s|^{p_2-p_1}}, \quad f(s) = \frac{d}{ds} \left(\frac{|s|^{p_2}}{1+|s|^{p_2-p_1}}\right),$$

both of which satisfy  $(\mathbf{f}_1)$  with  $\theta = p_1$ . In the latter case,  $(\mathbf{f}_4)$  clearly holds for any  $\mu > p_2$ . We leave to the reader to check that  $(\mathbf{f}_4)$  also holds in the former case, for  $\mu$  large enough.

#### Notations.

•  $\sigma_d$  denotes the (d-1)-dimensional measure of the unit sphere of  $\mathbb{R}^d$ .

- $C_c^{\infty}(\Omega)$  is the space of the infinitely differentiable real functions with compact support in the open set  $\Omega \subseteq \mathbb{R}^d$ .
- $D^{1,2}(\mathbb{R}^N) = \{u \in L^{2^*}(\mathbb{R}^N) : \nabla u \in L^2(\mathbb{R}^N)\}$  is the usual Sobolev space, which identifies with the completion of  $C_c^{\infty}(\mathbb{R}^N)$  with respect to the norm of the gradient.

#### 2 Preliminaries

Let  $N \geq 3$  and  $A, \alpha > 0$ . Let  $f : \mathbb{R} \to \mathbb{R}$  be a continuous function satisfying  $(\mathbf{f}_{p_1,p_2})$ ,  $(\mathbf{f}_1)$ ,  $(\mathbf{f}_2)$ . In this section we define the functional setting and introduce the argument we will use in proving Theorem 1.1.

As already mentioned in the introduction, we define the Hilbert space

$$H_{\alpha}^1 := \left\{ u \in D^{1,2}(\mathbb{R}^N) : \int_{\mathbb{R}^N} \frac{u^2}{|x|^{\alpha}} dx < \infty \right\},$$

which we endow with the following scalar product and related norm:

$$(u,v)_A := \int_{\mathbb{R}^N} \left( \nabla u \cdot \nabla v + \frac{A}{|x|^{\alpha}} uv \right) dx, \quad \|u\|_A^2 := \int_{\mathbb{R}^N} \left( |\nabla u|^2 + \frac{A}{|x|^{\alpha}} u^2 \right) dx.$$

Here and in the rest of the paper,  $D^{1,2}(\mathbb{R}^N) = \{u \in L^{2^*}(\mathbb{R}^N) : \nabla u \in L^2(\mathbb{R}^N)\}$  is the usual Sobolev space, which identifies with the completion of  $C_c^{\infty}(\mathbb{R}^N)$  with respect to the norm of the gradient. Of course, the embedding  $H^1_{\alpha} \hookrightarrow D^{1,2}(\mathbb{R}^N)$  is continuous.

Given any integer K such that  $1 \leq K \leq N-1$ , we write every  $x \in \mathbb{R}^N$  as  $x=(y,z) \in \mathbb{R}^K \times \mathbb{R}^{N-K}$  and in the space  $H^1_\alpha$  we consider the following closed subspaces of symmetric functions:

$$H_{r} := \{u \in H : u(x) = u(|x|)\}$$
 and  $H_{K} := \{u \in H : u(x) = u(y,z) = u(|y|,|z|)\}$ .

Of course u(y,z)=u(|y|,|z|) naturally means that  $u(y,z)=u(S_1y,S_2z)$  for all isometries  $S_1$  and  $S_2$  of  $\mathbb{R}^K$  and  $\mathbb{R}^{N-K}$  respectively. Similarly for u(x)=u(|x|). Note that  $H_{\rm r}\subset H_K$  for every K, since  $|x|^2=|y|^2+|z|^2$ . The next lemma better clarifies the relation between the spaces  $H_K$  and  $H_{\rm r}$ .

**Lemma 2.1.** Let 
$$1 \le K_1 < K_2 \le N - 1$$
. Then  $H_{K_1} \cap H_{K_2} = H_r$ .

*Proof.* The proof is essentially an adaptation of the one of [21, Lemma 3.3]. We will denote by  $(y_1, z_1)$  the decomposition in  $\mathbb{R}^{K_1} \times \mathbb{R}^{N-K_1}$  of any  $x \in \mathbb{R}^N$ , and by  $(y_2, z_2)$  its decomposition in  $\mathbb{R}^{K_2} \times \mathbb{R}^{N-K_2}$ . Let  $u \in H_{K_1} \cap H_{K_2}$  and for every  $s, t \geq 0$  define

$$\tilde{u}(s,t) := u(s,0,...,0,t)$$
.

Then we clearly have  $u\left(x\right) = \tilde{u}\left(\left|y_{1}\right|,\left|z_{1}\right|\right) = \tilde{u}\left(\left|y_{2}\right|,\left|z_{2}\right|\right)$  for every  $x = (y_{1},z_{1}) = (y_{2},z_{2}) \in \mathbb{R}^{N}$ . Let  $x = (y_{1},z_{1}) \in \mathbb{R}^{K_{1}} \times \mathbb{R}^{N-K_{1}}$  and  $x' = (y'_{2},z'_{2}) \in \mathbb{R}^{K_{2}} \times \mathbb{R}^{N-K_{2}}$  be such that |x| = |x'|, i.e.,  $\left|y_{1}\right|^{2} + \left|z_{1}\right|^{2} = \left|y'_{2}\right|^{2} + \left|z'_{2}\right|^{2}$ . Suppose that  $|y_{1}| \leq |y'_{2}|$  and define  $x'' \in \mathbb{R}^{N}$  by setting

$$x'' := \left( |y_1|, 0, ..., 0, \sqrt{|y_2'|^2 - |y_1|^2}, 0, ..., 0, |z_2'|, 0, ..., 0 \right)$$

where the first block of zeros has  $K_1 - 1$  zeros, the second  $K_2 - K_1 - 1$ , and the third  $N - K_2 - 1$ . Then

$$u(x'') = \tilde{u}(|y_1''|, |z_1''|) = \tilde{u}(|y_1|, \sqrt{|y_2'|^2 - |y_1|^2 + |z_2'|^2}) = \tilde{u}(|y_1|, |z_1|)$$

and

$$u\left(x''\right)=\tilde{u}\left(\left|y_2''\right|,\left|z_2''\right|\right)=\tilde{u}\left(\sqrt{\left|y_1\right|^2+\left|y_2'\right|^2-\left|y_1\right|^2},\left|z_2'\right|\right)=\tilde{u}\left(\left|y_2'\right|,\left|z_2'\right|\right),$$

which implies  $\tilde{u}(|y_1|,|z_1|) = \tilde{u}(|y_2'|,|z_2'|)$ , i.e., u(x) = u(x'). If  $|y_1| > |y_2'|$  we repeat the argument with x'' defined by

$$x'' := \left( \left| y_2' \right|, 0, ..., 0, \sqrt{\left| y_1 \right|^2 - \left| y_2' \right|^2}, 0..., 0, \left| z_1 \right|, 0, ..., 0 \right)$$

(with the same lengths of the blocks) and get the same result. Hence |x| = |x'| implies u(x) = u(x'), i.e.,  $u \in H_r$ .

We modify the function f by setting f(s) = 0 for all s < 0 and, with a slight abuse of notation, we still denote by f the modified function. Then by  $(\mathbf{f}_{p_1,p_2})$  there exist  $M_1, M_2 > 0$  such that

$$|f(s)| \le M_1 \min\{|s|^{p_1-1}, |s|^{p_2-1}\}$$
 and  $|F(s)| \le M_2 \min\{|s|^{p_1}, |s|^{p_2}\}$  for all  $s \in \mathbb{R}$ ,

which yields in particular

$$|f(s)| \le M_1 |s|^{p-1}$$
 and  $|F(s)| \le M_2 |s|^p$  for all  $p \in [p_1, p_2]$  and  $s \in \mathbb{R}$ . (5)

By the continuous embeddings  $H^1_{\alpha} \hookrightarrow D^{1,2}(\mathbb{R}^N) \hookrightarrow L^{2^*}(\mathbb{R}^N)$ , one checks (see for example [20]) that condition (5) with  $p=2^*$  implies that the energy functional associated to the equation of  $(\mathcal{P})$ , i.e.,

$$I(u) := \frac{1}{2} \|u\|_A^2 - \int_{\mathbb{R}^N} F(u) \, dx, \tag{6}$$

is of class  $C^{1}$  on  $H_{\alpha}^{1}$  and has Fréchet derivative  $I'\left(u\right)$  at any  $u\in H_{\alpha}^{1}$  given by

$$I'(u)v = (u,v)_A - \int_{\mathbb{R}^N} f(u)v \, dx \quad \text{for all } v \in H^1_{\alpha}. \tag{7}$$

This yields that critical points of  $I: H^1_{\alpha} \to \mathbb{R}$  satisfy (1). A standard argument shows that such critical points are nonnegative (see the proof of Theorem 1.1 in Section 5) and therefore we conclude that nonzero critical points of I are weak solutions to problem  $(\mathcal{P})$ .

Accordingly, our argument in proving Theorem 1.1 will be essentially the following. The existence of a critical point for the restriction  $I_{|H_r}$  readily follows from the results of [25]. By exploiting the compact embeddings of [2] and the results of [8] about Nemytskii operators on the sum of Lebesgue spaces, we will show in Section 5 that  $I_{|H_K}$  has a nonzero critical point  $u_K$  for every  $2 \le K \le N - 2$ . Thanks to the classical Palais' Principle of Symmetric Criticality [23], all these critical points are also critical points of I, and thus weak solutions to  $(\mathcal{P})$ . Hence Theorem 1.1 is proved is we show that  $u_K \notin H_r$  for every K, which also implies  $u_{K_1} \ne u_{K_2}$  for  $K_1 \ne K_2$  by Lemma 2.1. This will achieved by showing that the critical levels  $I(u_K)$  are lower than all the nonzero critical levels of  $I_{|H_r}$ . The starting points in proving this are the following lemmas.

**Lemma 2.2.** For every  $u \in H_r \setminus \{0\}$ ,  $u \ge 0$ , there exists  $t_u > 0$  such that  $I(t_u u) = \max_{t \ge 0} I(tu)$ .

*Proof.* Since  $u \ge 0$  and  $u \ne 0$ , we can fix  $\delta > 0$  such that the set  $\{x \in \mathbb{R}^N : u \ge \delta\}$  has positive measure. From assumptions  $(\mathbf{f}_1)$  and  $(\mathbf{f}_2)$ , we deduce that there exists a constant C > 0 such that  $F(s) \ge Cs^{\theta}$  for all  $s \ge \theta$ . Then for every t > 1 one has

$$\int_{\mathbb{R}^{N}} F(tu) dx = \int_{\{x \in \mathbb{R}^{N} : tu \ge \delta\}} F(u) dx + \int_{\{x \in \mathbb{R}^{N} : tu < \delta\}} F(u) dx \ge \int_{\{x \in \mathbb{R}^{N} : tu \ge \delta\}} F(u) dx$$

$$\ge Ct^{\theta} \int_{\{x \in \mathbb{R}^{N} : tu \ge \delta\}} u^{\theta} dx \ge Ct^{\theta} \int_{\{x \in \mathbb{R}^{N} : u \ge \delta\}} u^{\theta} dx$$

and therefore

$$I\left(tu\right) \leq \frac{1}{2}t^{2}\left\|u\right\|_{A}^{2} - Ct^{\theta} \int_{\left\{x \in \mathbb{R}^{N}: u \geq \delta\right\}} u^{\theta} dx \to -\infty \quad \text{as } t \to +\infty$$

since  $\theta > 2$ . As I(0) = 0, this gives the result.

According to Lemma 2.2, define

$$m_A := \inf_{u \in H_r \setminus \{0\}, u \ge 0} \max_{t \ge 0} I(tu).$$

**Lemma 2.3.** Assume  $(\mathbf{f}_3)$  and let  $u \in H_r \setminus \{0\}$ . If u is a critical point for I, then

$$I(u) \geq m_A$$
.

*Proof.* As already observed, if u is a critical point for I then u is nonnegative. We now prove that  $I(u) = \max_{t>0} I(tu)$ , which obviously yields the result. For  $t \geq 0$  define

$$g(t) := I(tu) = \frac{1}{2}t^2 \|u\|_A^2 - \int_{\mathbb{R}^N} F(tu) dx.$$

As u is a critical point for I, we readily have that t=1 is a critical point for g. Indeed, g'(t) = I'(tu)u and thus g'(1) = I'(u)u = 0. We now show that, on the other hand, g has at most one critical point in  $(0, +\infty)$ . We have g'(t) = 0 if and only if I'(tu)u = 0, i.e.

$$I'(tu) u = t ||u||_A^2 - \int_{\mathbb{R}^N} f(tu) u dx = 0.$$

So, if  $0 < t_1 < t_2$  are critical points for g, one has

$$\|u\|_A^2 = \frac{1}{t_1} \int_{\mathbb{R}^N} f(t_1 u) u \, dx = \frac{1}{t_2} \int_{\mathbb{R}^N} f(t_2 u) u \, dx,$$

which implies

$$\int_{E_u} \left( \frac{f(t_2 u)}{t_2 u} - \frac{f(t_1 u)}{t_1 u} \right) u^2 dx = 0$$
 (8)

where  $E_u := \{x \in \mathbb{R}^N : u > 0\}$ . Since the integrand in (8) is nonnegative by assumption ( $\mathbf{f}_3$ ), we have that  $f(t_2u) / (t_2u) - f(t_1u) / (t_1u) = 0$  almost everywhere on  $E_u$ . Since  $E_u$  has positive measure (because  $0 \neq u \geq 0$ ), this implies  $t_1 = t_2$ , again by assumption ( $\mathbf{f}_3$ ). As a conclusion, according to Lemma 2.2, we deduce that  $t_u = 1$  and the claim ensues.

**Lemma 2.4.** There exist R > 0 such that for every  $1 \le K \le N - 1$  one has

$$\inf_{u \in H_K, \|u\|_A \le R} I\left(u\right) = 0 \quad and \quad \inf_{u \in H_K, \|u\|_A = R} I\left(u\right) > 0. \tag{9}$$

*Proof.* The claim readily follows from (5) with  $p=2^*$  and the continuous embeddings  $H_K \hookrightarrow H^1_{\alpha} \hookrightarrow D^{1,2}(\mathbb{R}^N) \hookrightarrow L^{2^*}(\mathbb{R}^N)$ , which imply that there exists a constant C>0 such that  $I(u) \geq \|u\|_A^2/2 - C\|u\|_A^{2^*}$  for all  $u \in H_K$ .

In Section 4 we will see that  $I_{|H_K}$  takes negative values by choosing a suitable  $\overline{u}_K \in H_K$  such that  $I(\overline{u}_K) < 0$ . This implies  $\|\overline{u}_K\|_A > R$  by (9) and therefore the functional  $I_{|H_K}$  has a mountain-pass geometry. In Section 5 we will see that it also satisfies the Palais-Smale condition for  $2 \le K \le N - 2$ , so that it admits a (nonnegative) critical point  $u_K$  at the mountain-pass level

$$c_{A,K} := \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} I\left(\gamma\left(t\right)\right) > 0 \quad \text{where} \quad \Gamma := \left\{\gamma \in C\left(\left[0,1\right]; H_K\right) : \gamma\left(0\right) = 0, \, \gamma\left(1\right) = \overline{u}_K\right\}. \tag{10}$$

With a view to obtaining the separation inequality  $c_{A,K} < m_A$ , Sections 3 and 4 are devoted to estimating  $m_A$  and  $c_{A,K}$ .

#### 3 Estimate of $m_A$

Let  $N \geq 3$  and  $\alpha, A > 0$ . Let  $f : \mathbb{R} \to \mathbb{R}$  be a continuous function satisfying  $(\mathbf{f}_{p_1,p_2})$ ,  $(\mathbf{f}_1)$ ,  $(\mathbf{f}_2)$ . This section is devoted to deriving the estimate of  $m_A$  given in Proposition 3.2 below, which relies on the following radial lemma (see also [6, Appendix] and [25, Lemmas 4 and 5] for similar results).

**Lemma 3.1.** Every  $u \in H_r$  satisfies

$$|u\left(x\right)| \leq \frac{\sqrt{2/\sigma_N}}{A^{1/4}} \frac{\|u\|_A}{\left|x\right|^{\frac{2N-2-\alpha}{4}}} \quad almost \ everywhere \ in \ \mathbb{R}^N$$

(recall that  $\sigma_N$  denotes the (N-1)-dimensional measure of the unit sphere of  $\mathbb{R}^N$ ).

*Proof.* Let  $u \in H_r$  and let  $\tilde{u}: (0, +\infty) \to \mathbb{R}$  be continuous and such that  $u(x) = \tilde{u}(|x|)$  for almost every  $x \in \mathbb{R}^N$ . Set

$$v(r) := r^{N-1-\alpha/2}\tilde{u}(r)^2$$
 for all  $r > 0$ .

By [6, Lemma 27] we have that  $\tilde{u} \in W^{1,1}((a,b))$  for every  $0 < a < b < +\infty$ , whence  $v \in W^{1,1}((a,b))$  and

$$v(b) - v(a) = \int_{a}^{b} v'(r) dr.$$

Moreover, for almost every  $r \in (a, b)$  one has

$$v'(r) = \left(N - 1 - \frac{\alpha}{2}\right) r^{N - 2 - \alpha/2} \tilde{u}(r)^2 + 2r^{N - 1 - \alpha/2} \tilde{u}(r) \,\tilde{u}'(r) \,. \tag{11}$$

If  $\alpha < 2N - 2$ , this implies  $v'(r) \ge 2r^{N-1-\alpha/2}\tilde{u}(r)\,\tilde{u}'(r)$  and therefore

$$v(a) \leq v(b) - \int_{a}^{b} v'(r) dr \leq v(b) - \int_{a}^{b} 2r^{N-1-\frac{\alpha}{2}} \tilde{u}(r) \tilde{u}'(r) dr$$

$$\leq v(b) + 2 \int_{a}^{b} r^{N-1-\frac{\alpha}{2}} |\tilde{u}(r)| |\tilde{u}'(r)| dr = v(b) + 2 \int_{a}^{b} r^{\frac{N-1}{2}} |\tilde{u}'(r)| r^{\frac{N-1}{2}-\frac{\alpha}{2}} |\tilde{u}(r)| dr$$

$$\leq v(b) + 2 \left( \int_{0}^{+\infty} r^{N-1} |\tilde{u}'(r)|^{2} dr \right)^{1/2} \left( \int_{0}^{+\infty} r^{N-1-\alpha} |\tilde{u}(r)|^{2} dr \right)^{1/2}$$

$$\leq v(b) + \frac{2}{\sigma_{N}\sqrt{A}} \left( \int_{\mathbb{R}^{N}} |\nabla u|^{2} dx \right)^{1/2} \left( \int_{\mathbb{R}^{N}} \frac{Au^{2}}{|x|^{\alpha}} dx \right)^{1/2} \leq \frac{2}{\sigma_{N}\sqrt{A}} ||u||_{A}^{2}$$

If  $\alpha \geq 2N-2$ , then (11) gives  $v'(r) \leq 2r^{N-1-\alpha/2}\tilde{u}(r)\tilde{u}'(r)$  and thus we have

$$v(b) \le v(a) + \int_{a}^{b} 2r^{N-1-\alpha/2}\tilde{u}(r)\,\tilde{u}'(r)\,dr \le v(a) + \frac{2}{\sigma_N\sqrt{A}}\|u\|_A^2$$
 (13)

as before. Now observe that there exist  $0 < a_n \to 0$  and  $b_n \to +\infty$  such that  $v(a_n) \to 0$  and  $v(b_n) \to 0$ . Indeed, if  $l := \liminf_{r \to 0^+} v(r) > 0$ , then for every r smaller than some suitable  $r_0 > 0$  one has  $|\tilde{u}(r)| \ge \sqrt{l/2} \, r^{-(N-1-\alpha/2)/2}$  and therefore one of the following contradictions ensues:

$$\int_{\mathbb{R}^N} \frac{u^2}{|x|^{\alpha}} dx \ge \frac{l}{2} \int_{B_{r_0}} \frac{1}{|x|^{N-1+\alpha/2}} dx = +\infty \quad \text{if } \alpha \ge 2$$

or

$$\int_{\mathbb{R}^N} |u|^{2^*} dx \ge \left(\frac{l}{2}\right)^{2^*/2} \int_{B_{r_0}} \frac{1}{|x|^{\frac{N-1-\alpha/2}{N-2}N}} dx = +\infty \quad \text{if } \alpha \le 2.$$

Similarly, if  $\lim \inf_{r \to +\infty} v(r) > 0$ , one obtains  $\int_{\mathbb{R}^N} \frac{u^2}{|x|^{\alpha}} dx = +\infty$  if  $\alpha \leq 2$  and  $\int_{\mathbb{R}^N} |u|^{2^*} dx = +\infty$  if  $\alpha \geq 2$ . Hence the claim follows by letting  $n \to \infty$  in (12) with a = r and  $b = b_n$ , and in (13) with  $a = a_n$  and b = r.

We can now prove our estimate for  $m_A$ .

**Proposition 3.2.** Assume  $0 < \alpha < 2N - 2$ ,  $\alpha \neq 2$ , and let  $p = \max\{2^*_{\alpha}, p_1\}$  or  $p = \min\{2^*_{\alpha}, p_2\}$  according as  $0 < \alpha < 2$  or  $2 < \alpha < 2N - 2$ . Then there exists a constant  $C_0 > 0$  independent from A such that

$$m_A \ge C_0 A^{\frac{N-2}{\alpha-2} \frac{p-2^*}{p-2}}.$$

*Proof.* Let  $u \in H_r \setminus \{0\}$ . By Lemma 3.1, we have

$$\int_{\mathbb{R}^{N}} |u|^{2_{\alpha}^{*}} dx = \int_{\mathbb{R}^{N}} |u|^{2_{\alpha}^{*}-2} u^{2} dx \leq \frac{(2/\sigma_{N})^{(2_{\alpha}^{*}-2)/2}}{A^{(2_{\alpha}^{*}-2)/4}} \|u\|_{A}^{2_{\alpha}^{*}-2} \int_{\mathbb{R}^{N}} \frac{u^{2}}{|x|^{\frac{2N-2-\alpha}{4}}(2_{\alpha}^{*}-2)} dx$$

$$= \frac{(2/\sigma_{N})^{\frac{2\alpha}{2N-2-\alpha}}}{A^{\frac{\alpha}{2N-2-\alpha}}} \frac{\|u\|_{A}^{2_{\alpha}^{*}-2}}{A} \int_{\mathbb{R}^{N}} \frac{Au^{2}}{|x|^{\alpha}} dx \leq \frac{(2/\sigma_{N})^{\frac{2\alpha}{2N-2-\alpha}}}{A^{\frac{2N-2}{2N-2-\alpha}}} \|u\|_{A}^{2_{\alpha}^{*}}$$

since  $2_{\alpha}^* - 2 = 4\alpha/(2N - 2 - \alpha)$ . On the other hand, one has

$$\int_{\mathbb{R}^N} |u|^{2^*} dx \le S_N^{2^*} \left( \int_{\mathbb{R}^N} |\nabla u|^2 dx \right)^{2^*/2} \le S_N^{2^*} \|u\|_A^{2^*}$$

where  $S_N$  denotes the Sobolev constant in dimension N. Then, both for  $p = \max\{2^*_{\alpha}, p_1\} < 2^*$  and  $p = \min\{2^*_{\alpha}, p_2\} > 2^*$ , we can argue by interpolation: there exists  $\lambda \in (0, 1)$  such that  $p = \lambda 2^* + (1 - \lambda) 2^*_{\alpha}$  and by Hölder inequality we get

$$\int_{\mathbb{R}^N} |u|^p \, dx = \int_{\mathbb{R}^N} |u|^{\lambda 2^* + (1 - \lambda) 2_\alpha^*} \, dx \le \left( \int_{\mathbb{R}^N} |u|^{2^*} \, dx \right)^{\lambda} \left( \int_{\mathbb{R}^N} |u|^{2_\alpha^*} \, dx \right)^{1 - \lambda} \le C \frac{\|u\|_A^p}{A^{\frac{(2N - 2)(1 - \lambda)}{2N - 2 - \alpha}}}$$

where  $C := S_N^{\lambda 2^*} (2/\sigma_N)^{\frac{2\alpha(1-\lambda)}{2N-2-\alpha}}$  only depends on  $N, \alpha, p$ . Recalling condition (5), this implies

$$\left| \int_{\mathbb{R}^N} F(u) \, dx \right| \le M_2 \int_{\mathbb{R}^N} |u|^p \, dx \le M_2 C \frac{\|u\|_A^p}{A^{\frac{(2N-2)(1-\lambda)}{2N-2-\alpha}}}$$

and therefore

$$I(u) \ge \frac{1}{2} \|u\|_A^2 - a \|u\|_A^p$$

where we set  $a=M_2CA^{-\frac{(2N-2)(1-\lambda)}{2N-2-\alpha}}$  for brevity. Hence  $I\left(tu\right)\geq\frac{1}{2}t^2\left\|u\right\|_A^2-at^p\left\|u\right\|_A^p=:g_u\left(t\right)$  for every  $t\geq0$ . The function  $g_u:[0,+\infty)\to\mathbb{R}$  attains its maximum in  $t_u:=(ap)^{-1/(p-2)}/\left\|u\right\|_A$  and, since

$$1 - \lambda = \frac{p - 2^*}{2^*_{\alpha} - 2^*} = \frac{(p - 2^*)(2N - 2 - \alpha)(N - 2)}{4(\alpha - 2)(N - 1)},$$

one computes

$$g_u(t_u) = \left(\frac{1}{ap}\right)^{2/(p-2)} \left(\frac{1}{2} - \frac{1}{p}\right) = \frac{p-2}{2p^{p/(p-2)}} \left(\frac{1}{a}\right)^{2/(p-2)} = \frac{p-2}{2p^{p/(p-2)}} \left(\frac{A^{\frac{(2N-2)(1-\lambda)}{2N-2-\alpha}}}{M_2C}\right)^{2/(p-2)}$$

$$= \frac{p-2}{2p^{p/(p-2)}} \frac{A^{\frac{N-2}{\alpha-2}\frac{p-2^*}{p-2}}}{(M_2C)^{\frac{2}{p-2}}}.$$

Hence

$$\max_{t>0} I(tu) \ge \max_{t>0} g_u(t) = g_u(t_u) = C_0 A^{\frac{N-2}{\alpha-2} \frac{p-2^*}{p-2}}$$

with obvious definition of  $C_0$ . Since  $u \in H_r \setminus \{0\}$  is arbitrary, we conclude

$$m_{A} = \inf_{u \in H_{r} \setminus \{0\}, u \ge 0} \max_{t \ge 0} I(tu) \ge \inf_{u \in H_{r} \setminus \{0\}} \max_{t \ge 0} I(tu) \ge C_{0} A^{\frac{N-2}{\alpha-2} \frac{p-2^{*}}{p-2}}$$

and the proof is complete.

**Remark 3.3.** If p is as in Proposition 3.2, it is easy to check that

$$\frac{N-2}{\alpha-2}\frac{p-2^*}{p-2} = \begin{cases} \min\left\{\frac{N-1}{\alpha}, \frac{N-2}{2-\alpha}\frac{2^*-p_1}{p_1-2}\right\} & \text{if } 0 < \alpha < 2\\ \min\left\{\frac{N-1}{\alpha}, \frac{N-2}{\alpha-2}\frac{p_2-2^*}{p_2-2}\right\} & \text{if } 2 < \alpha < 2N-2. \end{cases}$$

### 4 Estimate of $c_{A,K}$

Let  $N \geq 3$ ,  $2 \leq K \leq N-2$  and  $\alpha > 0$ ,  $\alpha \neq 2$ . Let  $f : \mathbb{R} \to \mathbb{R}$  be a continuous function satisfying  $(\mathbf{f}_{p_1,p_2})$ ,  $(\mathbf{f}_1)$ ,  $(\mathbf{f}_2)$ . In this section we define a suitable  $\overline{u}_K \in H_K$  such that  $I(\overline{u}_K) < 0$  and estimate the corresponding mountain-pass level (10).

In defining  $\overline{u}_K$ , we will use the following construction of positive  $H_K$  functions, which is inspired by [10]. Denote by  $\phi: D \to \mathbb{R}^2 \setminus \{0\}$  the change to polar coordinates in  $\mathbb{R}^2 \setminus \{0\}$ , namely  $\phi(\rho, \theta) = (\rho \cos \theta, \rho \sin \theta)$  for all  $(\rho, \theta) \in D := (0, +\infty) \times [0, 2\pi)$ . Define

$$E := \left(\frac{1}{4}, \frac{3}{4}\right) \times \left(\frac{\pi}{6}, \frac{\pi}{3}\right)$$

and take any  $\psi : \mathbb{R}^2 \to \mathbb{R}$  such that  $\psi \in C_c^{\infty}(E)$  and  $\psi > 0$ . For  $0 < \varepsilon < 1$  and  $(\rho, \theta) \in \mathbb{R}^2$  define

$$\psi_{\varepsilon}(\rho,\theta) := \psi\left(\rho^{1/\varepsilon}, \frac{\theta}{\varepsilon}\right),$$

in such a way that  $\psi_{\varepsilon} \in C_c^{\infty}(E_{\varepsilon})$  where

$$E_{\varepsilon} := \left\{ (\rho, \theta) \in \mathbb{R}^2 : \left( \rho^{1/\varepsilon}, \frac{\theta}{\varepsilon} \right) \in E \right\} = \left\{ (\rho, \theta) \in \mathbb{R}^2 : \left( \frac{1}{4} \right)^{\varepsilon} < \rho < \left( \frac{3}{4} \right)^{\varepsilon}, \frac{\pi \varepsilon}{6} < \theta < \frac{\pi \varepsilon}{3} \right\}.$$

Finally define

$$v_{\varepsilon}\left(y,z\right):=\psi_{\varepsilon}\left(\phi^{-1}\left(\left|y\right|,\left|z\right|\right)\right)\quad\text{for }x=\left(y,z\right)\in\left(\mathbb{R}^{K}\times\mathbb{R}^{N-K}\right)\setminus\left\{ 0\right\} ,\quad v_{\varepsilon}\left(0\right):=0.$$

Then  $v_{\varepsilon} \in C_{c}^{\infty}\left(\Omega_{\varepsilon}\right) \cap H_{K}$ , where  $\Omega_{\varepsilon} := \left\{\left(y,z\right) \in \mathbb{R}^{K} \times \mathbb{R}^{N-K} : \left(\left|y\right|,\left|z\right|\right) \in \phi\left(E_{\varepsilon}\right)\right\}$ .

For future reference, we now compute the relevant integrals of  $v_{\varepsilon}$ . By means of spherical coordinates in  $\mathbb{R}^K$  and  $\mathbb{R}^{N-K}$  one has

$$\int_{\mathbb{R}^{N}} \frac{v_{\varepsilon}^{2}}{|x|^{\alpha}} dx = \int_{\Omega_{\varepsilon}} \frac{\psi_{\varepsilon} \left(\phi^{-1} \left(|y|,|z|\right)\right)^{2}}{|x|^{\alpha}} dx = \sigma_{K} \sigma_{N-K} \int_{\phi(E_{\varepsilon})} \frac{\psi_{\varepsilon} \left(\phi^{-1} \left(s,t\right)\right)^{2}}{\left(s^{2} + t^{2}\right)^{\alpha/2}} s^{K-1} t^{N-K-1} ds dt 
= \sigma_{K} \sigma_{N-K} \int_{E_{\varepsilon}} \frac{\psi_{\varepsilon} \left(\rho,\theta\right)^{2}}{\rho^{\alpha-N+1}} H\left(\theta\right) d\rho d\theta = \sigma_{K} \sigma_{N-K} \int_{E_{\varepsilon}} \frac{\psi\left(\rho^{1/\varepsilon},\theta/\varepsilon\right)^{2}}{\rho^{\alpha-N+1}} H\left(\theta\right) d\rho d\theta$$

where  $H(\theta) := (\cos \theta)^{K-1} (\sin \theta)^{N-K-1}$ , and by the change of variables

$$r = \rho^{1/\varepsilon}, \quad \varphi = \frac{\theta}{\varepsilon}$$

one obtains

$$\int_{\mathbb{R}^N} \frac{v_{\varepsilon}^2}{|x|^{\alpha}} dx = \sigma_K \sigma_{N-K} \int_E \frac{\psi(r,\varphi)^2}{r^{(\alpha-N+1)\varepsilon}} H(\varepsilon \varphi) \varepsilon^2 r^{\varepsilon-1} dr d\varphi$$

$$= \sigma_K \sigma_{N-K} \varepsilon^2 \int_E \frac{\psi(r,\varphi)^2}{r^{(\alpha-N)\varepsilon+1}} H(\varepsilon\varphi) dr \, d\varphi. \tag{14}$$

Similarly (recall that F(0) = 0)

$$\int_{\mathbb{R}^{N}} F(v_{\varepsilon}) dx = \int_{\Omega_{\varepsilon}} F(\psi_{\varepsilon}(\phi^{-1}(|y|,|z|))) dx$$

$$= \sigma_{K} \sigma_{N-K} \int_{\phi(E_{\varepsilon})} F(\psi_{\varepsilon}(\phi^{-1}(s,t))) s^{K-1} t^{N-K-1} ds dt$$

$$= \sigma_{K} \sigma_{N-K} \int_{E_{\varepsilon}} F(\psi_{\varepsilon}(\rho,\theta)) \rho^{N-1} H(\theta) d\rho d\theta$$

$$= \sigma_{K} \sigma_{N-K} \varepsilon^{2} \int_{E} F(\psi(r,\varphi)) r^{N\varepsilon-1} H(\varepsilon\varphi) dr d\varphi \tag{15}$$

and

$$\int_{\mathbb{R}^{N}} |\nabla v_{\varepsilon}|^{2} dx = \int_{\Omega_{\varepsilon}} |\nabla \psi_{\varepsilon} \left(\phi^{-1} \left(|y|,|z|\right)\right) \cdot J_{\phi^{-1}} \left(|y|,|z|\right)|^{2} dx =$$

$$= \sigma_{K} \sigma_{N-K} \int_{\phi(E_{\varepsilon})} |\nabla \psi_{\varepsilon} \left(\phi^{-1} \left(s,t\right)\right) \cdot J_{\phi^{-1}} \left(s,t\right)|^{2} s^{K-1} t^{N-K-1} ds dt$$

$$= \sigma_{K} \sigma_{N-K} \int_{E_{\varepsilon}} |\nabla \psi_{\varepsilon} \left(\rho,\theta\right) \cdot J_{\phi}^{-1} \left(\rho,\theta\right)|^{2} \rho^{N-1} H\left(\theta\right) d\rho d\theta$$

$$= \sigma_{K} \sigma_{N-K} \int_{E_{\varepsilon}} \left(\frac{\partial \psi_{\varepsilon}}{\partial \rho} \left(\rho,\theta\right)^{2} + \frac{1}{\rho^{2}} \frac{\partial \psi_{\varepsilon}}{\partial \theta} \left(\rho,\theta\right)^{2}\right) \rho^{N-1} H\left(\theta\right) d\rho d\theta$$

$$= \sigma_{K} \sigma_{N-K} \int_{E_{\varepsilon}} \frac{1}{\varepsilon^{2}} \left(\rho^{2/\varepsilon} \frac{\partial \psi}{\partial r} \left(\rho^{1/\varepsilon}, \frac{\theta}{\varepsilon}\right)^{2} + \frac{\partial \psi}{\partial \varphi} \left(\rho^{1/\varepsilon}, \frac{\theta}{\varepsilon}\right)^{2}\right) \rho^{N-3} H\left(\theta\right) d\rho d\theta$$

$$= \sigma_{K} \sigma_{N-K} \int_{E} \left(\psi_{r} \left(r,\varphi\right)^{2} + \frac{1}{r^{2}} \psi_{\varphi} \left(r,\varphi\right)^{2}\right) r^{(N-2)\varepsilon+1} H\left(\varepsilon\varphi\right) dr d\varphi. \tag{16}$$

where we denote  $\psi_r = \frac{\partial \psi}{\partial r}$  and  $\psi_{\varphi} = \frac{\partial \psi}{\partial \varphi}$  for brevity.

**Lemma 4.1.** The mapping  $w_A := v_{A^{-1/2}} \in H_K$ , A > 1, is such that

$$\lim_{A \to +\infty} \frac{\|w_A\|_A^2}{\int_{\mathbb{R}^N} F(w_A) \, dx} = +\infty.$$

*Proof.* According to the previous computations, for  $\varepsilon = A^{-1/2} < 1$  we have

$$\frac{\left\|v_{\varepsilon}\right\|_{A}^{2}}{\int_{\mathbb{R}^{N}}F\left(v_{\varepsilon}\right)dx} = \frac{\int_{\mathbb{R}^{N}}\left|\nabla v_{\varepsilon}\right|^{2}dx + A\int_{\mathbb{R}^{N}}\frac{v_{\varepsilon}^{2}}{\left|x\right|^{\alpha}}dx}{\int_{\mathbb{R}^{N}}F\left(v_{\varepsilon}\right)dx}$$

$$= \frac{\int_{E} \left( \left( \psi_{r}^{2} + \frac{1}{r^{2}} \psi_{\varphi}^{2} \right) r^{(N-2)\varepsilon+1} + A\varepsilon^{2} \psi^{2} r^{(N-\alpha)\varepsilon-1} \right) H(\varepsilon\varphi) dr \, d\varphi}{\varepsilon^{2} \int_{E} F(\psi) r^{N\varepsilon-1} H(\varepsilon\varphi) \, dr \, d\varphi}$$

$$= A \frac{\int_{E} \left( \left( \psi_{r}^{2} + \frac{1}{r^{2}} \psi_{\varphi}^{2} \right) r^{(N-2)\varepsilon+1} + \psi^{2} r^{(N-\alpha)\varepsilon-1} \right) H(\varepsilon\varphi) dr \, d\varphi}{\int_{E} F(\psi) r^{N\varepsilon-1} H(\varepsilon\varphi) \, dr \, d\varphi}. \tag{17}$$

In the integration set E one has  $\varepsilon\pi/6 < \varepsilon\varphi < \varepsilon\pi/3$  and thus, for  $\varepsilon > 0$  small enough (i.e. A > 1 large enough), we get that  $\varepsilon\varphi/2 < \sin\varepsilon\varphi < \varepsilon\varphi$  and  $1/2 < \cos\varepsilon\varphi < 1$ . Hence there exist two constants  $\overline{C}_1, \overline{C}_2 > 0$  such that

$$\overline{C}_1 \varepsilon^{N-K-1} < H(\varepsilon \varphi) < \overline{C}_2 \varepsilon^{N-K-1}$$
.

Similarly, since 1/4 < r < 3/4 in E, all the terms  $r^{(N-2)\varepsilon+1}$ ,  $r^{(N-\alpha)\varepsilon-1}$  and  $r^{N\varepsilon-1}$  are bounded and bounded away from zero by positive constants independent of  $\varepsilon \in (0,1)$  (i.e. of A>1), say  $\overline{C}_3$  and  $\overline{C}_4$  respectively. Inserting into (17), this implies

$$\begin{split} \frac{\left\|v_{\varepsilon}\right\|_{A}^{2}}{\int_{\mathbb{R}^{N}}F\left(v_{\varepsilon}\right)dx} &\geq A\frac{\overline{C}_{1}\int_{E}\left(\left(\psi_{r}^{2}+\frac{1}{r^{2}}\psi_{\varphi}^{2}\right)r^{(N-2)\varepsilon+1}+\psi^{2}r^{(N-\alpha)\varepsilon-1}\right)dr\,d\varphi}{\overline{C}_{2}\int_{E}Fr^{N\varepsilon-1}dr\,d\varphi} \\ &\geq A\frac{\overline{C}_{1}\overline{C}_{4}\int_{E}\left(\psi_{r}^{2}+\frac{1}{r^{2}}\psi_{\varphi}^{2}+\psi^{2}\right)dr\,d\varphi}{\overline{C}_{2}\overline{C}_{3}\int_{E}F\left(\psi\right)dr\,d\varphi}. \end{split}$$

The last ratio is positive and independent of A, whence the claim follows.

According to Lemma 4.1, we fix  $A_0 > 1$  such that

$$\frac{\|w_A\|_A^2}{\int_{\mathbb{R}^N} F(w_A) \, dx} > 1 \quad \text{for every } A > A_0. \tag{18}$$

We now distinguish the cases  $0 < \alpha < 2$  and  $\alpha > 2$ .

**Proposition 4.2.** Assume  $(\mathbf{f}_4)$  and  $0 < \alpha < 2$ . Let  $A > A_0$  and define  $\overline{u}_K \in H_K$  by setting

$$\overline{u}_{K}\left(x\right):=w_{A}\left(\frac{x}{\lambda}\right)\quad with\quad \lambda:=\frac{\left\Vert w_{A}\right\Vert _{A}^{2/\alpha}}{\left(\int_{\mathbb{D}^{N}}F\left(w_{A}\right)dx\right)^{1/\alpha}}.$$

Then  $I(\overline{u}_K) < 0$  and the corresponding mountain-pass level (10) satisfies

$$c_{A,K} \le C_1 A^{\frac{K-1}{2} + N\left(\frac{1}{\alpha} - \frac{1}{2}\right)}$$

where the constant  $C_1 > 0$  does not depend on A.

*Proof.* Since  $A > A_0$ , one has  $\lambda > 1$ . Then an obvious change of variables yields

$$I(\overline{u}_K) = \frac{\lambda^{N-2}}{2} \int_{\mathbb{R}^N} |\nabla w_A|^2 dx + \frac{\lambda^{N-\alpha}}{2} \int_{\mathbb{R}^N} \frac{A}{|x|^{\alpha}} w_A^2 dx - \lambda^N \int_{\mathbb{R}^N} F(w_A) dx$$

$$\leq \frac{\lambda^{N-\alpha}}{2} \left( \int_{\mathbb{R}^N} |\nabla w_A|^2 dx + \int_{\mathbb{R}^N} \frac{A}{|x|^{\alpha}} w_A^2 dx \right) - \lambda^N \int_{\mathbb{R}^N} F(w_A) dx$$

$$= \frac{\lambda^N}{2} \left( \lambda^{-\alpha} \|w_A\|_A^2 - 2 \int_{\mathbb{R}^N} F(w_A) dx \right) = -\frac{\lambda^N}{2} \int_{\mathbb{R}^N} F(w_A) dx < 0$$

where the last inequality follows from assumption ( $\mathbf{f}_2$ ), since  $w_A > 0$  almost everywhere. In order to estimate  $c_{A,K}$ , consider the straight path  $\gamma(t) := t\overline{u}_K$ ,  $t \in [0,1]$ . Clearly  $c_{A,K} \leq \max_{t \in [0,1]} I(\gamma(t))$ . Thanks to assumption ( $\mathbf{f}_4$ ), which implies  $F(ts) \geq t^{\mu}F(s)$  for all s > 0 and  $t \in [0,1]$ , we have

$$I(\gamma(t)) = \frac{1}{2}t^{2} \|\overline{u}_{K}\|_{A}^{2} - \int_{\mathbb{R}^{N}} F(t\overline{u}_{K}) dx \leq \frac{1}{2}t^{2} \|\overline{u}_{K}\|_{A}^{2} - t^{\mu} \int_{\mathbb{R}^{N}} F(\overline{u}_{K}) dx = \frac{1}{2}t^{2}a - t^{\mu}b$$

where  $a := \|\overline{u}_K\|_A^2$  and  $b := \int_{\mathbb{R}^N} F(\overline{u}_K) dx$  for brevity. The function  $g(t) := \frac{1}{2}t^2a - t^{\mu}b$  reaches its maximum in  $t = \left(\frac{a}{b\mu}\right)^{1/(\mu-2)}$ , so that we get

$$I\left(\gamma\left(t\right)\right) \leq g\left(\left(\frac{a}{b\mu}\right)^{1/(\mu-2)}\right) = a\left(\frac{a}{b\mu}\right)^{2/(\mu-2)}\left(\frac{1}{2} - \frac{1}{\mu}\right).$$

Hence, setting  $m := (1/\mu)^{2/(\mu-2)} (1/2 - 1/\mu)$  for brevity and recalling that  $\lambda > 1$ , we obtain

$$c_{A,K} \leq m \frac{\|\overline{u}_{K}\|_{A}^{2\mu/(\mu-2)}}{\left(\int_{\mathbb{R}^{N}} F(\overline{u}_{K}) dx\right)^{2/(\mu-2)}} = m \frac{\left(\lambda^{N-2} \int_{\mathbb{R}^{N}} |\nabla w_{A}|^{2} dx + \lambda^{N-\alpha} \int_{\mathbb{R}^{N}} A |x|^{-\alpha} w_{A}^{2} dx\right)^{\mu/(\mu-2)}}{\left(\lambda^{N} \int_{\mathbb{R}^{N}} F(w_{A}) dx\right)^{2/(\mu-2)}}$$

$$\leq m \frac{\lambda^{\mu(N-\alpha)/(\mu-2)} \left(\int_{\mathbb{R}^{N}} |\nabla w_{A}|^{2} dx + \int_{\mathbb{R}^{N}} A |x|^{-\alpha} w_{A}^{2} dx\right)^{\mu/(\mu-2)}}{\lambda^{2N/(\mu-2)} \left(\int_{\mathbb{R}^{N}} F(w_{A}) dx\right)^{2/(\mu-2)}}$$

$$= m \lambda^{\frac{\mu(N-\alpha)-2N}{\mu-2}} \frac{\|w_{A}\|_{A}^{2\mu/(\mu-2)}}{\left(\int_{\mathbb{R}^{N}} F(w_{A}) dx\right)^{2/(\mu-2)}}.$$
(19)

Inserting the definition of  $\lambda$  into (19), we get

$$c_{A,K} \le m \frac{\|w_A\|_A^{\frac{2\mu}{\mu-2} + \frac{2}{\alpha} \frac{\mu(N-\alpha) - 2N}{\mu-2}}}{\left(\int_{\mathbb{R}^N} F(w_A) \, dx\right)^{\frac{2}{\mu-2} + \frac{1}{\alpha} \frac{\mu(N-\alpha) - 2N}{\mu-2}}} = m \frac{\|w_A\|_A^{\frac{2N}{\alpha}}}{\left(\int_{\mathbb{R}^N} F(w_A) \, dx\right)^{\frac{N-\alpha}{\alpha}}}$$

and therefore, using computations (14)-(16) with  $\varepsilon = A^{-1/2}$ , we have

$$\overline{C}_1 \varepsilon^{N-K-1} < H(\varepsilon \varphi) < \overline{C}_2 \varepsilon^{N-K-1}$$
.

$$c_{A,K} \leq m\sigma_{K}\sigma_{N-K} \frac{\left(\int_{E} \left(\left(\psi_{r}^{2} + \frac{1}{r^{2}}\psi_{\varphi}^{2}\right)r^{(N-2)\varepsilon+1} + \psi^{2}r^{(N-\alpha)\varepsilon-1}\right)H\left(\varepsilon\varphi\right)dr\,d\varphi\right)^{\frac{N}{\alpha}}}{\varepsilon^{2\frac{N-\alpha}{\alpha}}\left(\int_{E} F\left(\psi\right)r^{N\varepsilon-1}H\left(\varepsilon\varphi\right)dr\,d\varphi\right)^{\frac{N-\alpha}{\alpha}}}.$$

As in the proof of Lemma 4.1, we take four constants  $\overline{C}_1,...,\overline{C}_4>0$  independent of A such that for every  $(r,\varphi)\in E$  one has  $\overline{C}_1\varepsilon^{N-K-1}< H\left(\varepsilon\varphi\right)<\overline{C}_2\varepsilon^{N-K-1}$  and the terms  $r^{(N-2)\varepsilon+1},$   $r^{(N-\alpha)\varepsilon-1}$  and  $r^{N\varepsilon-1}$  are bounded and bounded away from zero by  $\overline{C}_3$  and  $\overline{C}_4$  respectively. Hence we conclude

$$c_{A,K} \leq m\sigma_{K}\sigma_{N-K} \frac{\left(\overline{C}_{2}\overline{C}_{3}\int_{E}\left(\left(\psi_{r}^{2} + \frac{1}{r^{2}}\psi_{\varphi}^{2}\right) + \psi^{2}r\right)\varepsilon^{N-K-1}dr\,d\varphi\right)^{\frac{N}{\alpha}}}{\varepsilon^{2\frac{N-\alpha}{\alpha}}\left(\overline{C}_{1}\overline{C}_{4}\int_{E}F\left(\psi\right)\varepsilon^{N-K-1}dr\,d\varphi\right)^{\frac{N-\alpha}{\alpha}}}$$

$$= C\frac{\varepsilon^{\frac{(N-K-1)N}{\alpha}}\left(\int_{E}\left(\left(\psi_{r}^{2} + \frac{1}{r^{2}}\psi_{\varphi}^{2}\right) + \psi^{2}r\right)dr\,d\varphi\right)^{\frac{N}{\alpha}}}{\varepsilon^{(N-K+1)\frac{N-\alpha}{\alpha}}\left(\int_{E}F\left(\psi\right)dr\,d\varphi\right)^{\frac{N-\alpha}{\alpha}}}$$

$$= CA^{\frac{K-1}{2}+N\left(\frac{1}{\alpha}-\frac{1}{2}\right)}\frac{\left(\int_{E}\left(\left(\psi_{r}^{2} + \frac{1}{r^{2}}\psi_{\varphi}^{2}\right) + \psi^{2}r\right)dr\,d\varphi\right)^{\frac{N}{\alpha}}}{\left(\int_{E}F\left(\psi\right)dr\,d\varphi\right)^{\frac{N-\alpha}{\alpha}}}$$

with obvious definition of the constant C. As the last ratio does not depend on A, the conclusion ensues.

**Proposition 4.3.** Assume  $(\mathbf{f}_4)$  and  $\alpha > 2$ . Let  $A > A_0$  and define  $\overline{u} \in H_K$  by setting

$$\overline{u}_K(x) := w_A\left(\frac{x}{\lambda}\right) \quad with \quad \lambda := \frac{\|w_A\|_A}{\left(\int_{\mathbb{R}^N} F\left(w_A\right) dx\right)^{1/2}}.$$

Then  $I(\overline{u}_K) < 0$  and the corresponding mountain-pass level (10) satisfies

$$c_{A,K} \le C_2 A^{\frac{K-1}{2}}$$

where the constant  $C_2 > 0$  does not depend on A.

*Proof.* The proof is very similar to the one of Proposition 4.2, so we omit here some computational details. As  $\alpha > 2$ , we have

$$I(\overline{u}_K) \le \frac{\lambda^{N-2}}{2} \left( \int_{\mathbb{R}^N} |\nabla w_A|^2 dx + \int_{\mathbb{R}^N} \frac{A}{|x|^{\alpha}} w_A^2 dx \right) - \lambda^N \int_{\mathbb{R}^N} F(w_A) dx$$
$$= -\frac{\lambda^N}{2} \int_{\mathbb{R}^N} F(w_A) dx < 0$$

and

$$\max_{t \in [0,1]} I(t\overline{u}_{K}) \leq m \frac{\|\overline{u}_{K}\|_{A}^{2\mu/(\mu-2)}}{\left(\int_{\mathbb{R}^{N}} F(\overline{u}_{K}) dx\right)^{2/(\mu-2)}} \\
= m \frac{\left(\lambda^{N-2} \int_{\mathbb{R}^{N}} |\nabla w_{A}|^{2} dx + \lambda^{N-\alpha} \int_{\mathbb{R}^{N}} A |x|^{-\alpha} w_{A}^{2} dx\right)^{\mu/(\mu-2)}}{\left(\lambda^{N} \int_{\mathbb{R}^{N}} F(w_{A}) dx\right)^{2/(\mu-2)}} \\
\leq m \frac{\lambda^{\mu(N-2)/(\mu-2)} \left(\int_{\mathbb{R}^{N}} |\nabla w_{A}|^{2} dx + \int_{\mathbb{R}^{N}} A |x|^{-\alpha} w_{A}^{2} dx\right)^{\mu/(\mu-2)}}{\lambda^{2N/(\mu-2)} \left(\int_{\mathbb{R}^{N}} F(w_{A}) dx\right)^{2/(\mu-2)}} \\
= m \lambda^{\frac{\mu(N-2)-2N}{\mu-2}} \frac{\|w_{A}\|_{A}^{2\mu/(\mu-2)}}{\left(\int_{\mathbb{R}^{N}} F(w_{A}) dx\right)^{2/(\mu-2)}}.$$

Recalling the definition of  $c_{A,K}$  and inserting the one of  $\lambda$ , we get

$$c_{A,K} \le m \frac{\|w_A\|_A^{\frac{2\mu}{\mu-2} + \frac{\mu(N-2)-2N}{\mu-2}}}{\left(\int_{\mathbb{R}^N} F(w_A) \, dx\right)^{\frac{2}{\mu-2} + \frac{1}{2} \frac{\mu(N-2)-2N}{\mu-2}}} = m \frac{\|w_A\|_A^N}{\left(\int_{\mathbb{R}^N} F(w_A) \, dx\right)^{\frac{N-2}{2}}}$$

and therefore, using computations (14)-(16) with  $\varepsilon = A^{-1/2}$ , we have

$$c_{A,K} \leq m\sigma_{K}\sigma_{N-K} \frac{\left(\int_{E} \left(\left(\psi_{r}^{2} + \frac{1}{r^{2}}\psi_{\varphi}^{2}\right)r^{(N-2)\varepsilon+1} + \psi^{2}r^{(N-\alpha)\varepsilon-1}\right)H\left(\varepsilon\varphi\right)dr\,d\varphi\right)^{\frac{N}{2}}}{\varepsilon^{N-2}\left(\int_{E} F\left(\psi\right)r^{N\varepsilon-1}H\left(\varepsilon\varphi\right)dr\,d\varphi\right)^{\frac{N-2}{2}}}$$

$$\leq C\frac{\left(\int_{E} \left(\left(\psi_{r}^{2} + \frac{1}{r^{2}}\psi_{\varphi}^{2}\right) + \psi^{2}r\right)\varepsilon^{N-K-1}dr\,d\varphi\right)^{\frac{N}{2}}}{\varepsilon^{N-2}\left(\int_{E} F\left(\psi\right)\varepsilon^{N-K-1}dr\,d\varphi\right)^{\frac{N-2}{2}}}$$

$$= CA^{\frac{K-1}{2}} \frac{\left(\int_{E} \left(\left(\psi_{r}^{2} + \frac{1}{r^{2}}\psi_{\varphi}^{2}\right) + \psi^{2}r\right)dr\,d\varphi\right)^{\frac{N}{2}}}{\left(\int_{E} F\left(\psi\right)dr\,d\varphi\right)^{\frac{N-2}{2}}}$$

where C > 0 is a suitable constant independent of A. This concludes the proof.

## 5 Proof of Theorem 1.1

This section is entirely devoted to the proof of Theorem 1.1, so we assume all the hypotheses of the theorem. The proof will be achieved through some lemmas.

Let K be any integer such that  $2 \le K \le N-2$ . Assume  $A > A_K$  (where  $A_K$  is defined by (18)) and consider the mountain-pass level  $c_{A,K}$  defined by (10), with  $\overline{u}_K \in H_K$  given by

Lemma 4.2 or 4.3 according as  $\alpha \in (2/(N-1), 2)$  or  $\alpha \in (2, 2N-2)$ . We are going to show that  $c_{A,K}$  is a critical level for the energy functional I defined in (6). To do this, we will make use of the sum space

$$L^{p_1} + L^{p_2} := \{ u_1 + u_2 : u_1 \in L^{p_1}(\mathbb{R}^N), u_2 \in L^{p_2}(\mathbb{R}^N) \}.$$

We recall from [8] that such a space can be characterized as the set of measurable mappings  $u: \mathbb{R}^N \to \mathbb{R}$  for which there exists a measurable set  $E \subseteq \mathbb{R}^N$  such that  $u \in L^{p_1}(E) \cap L^{p_2}(\mathbb{R}^N \setminus E)$  ([8, Proposition 2.3]). It is a Banach space with respect to the norm

$$||u||_{L^{p_1}+L^{p_2}} := \inf_{u_1+u_2=u} \max \left\{ ||u_1||_{L^{p_1}(\mathbb{R}^N)}, ||u_2||_{L^{p_2}(\mathbb{R}^N)} \right\}$$

([8, Corollary 2.11]) and the continuous embedding  $L^p(\mathbb{R}^N) \hookrightarrow L^{p_1} + L^{p_2}$  holds for all  $p \in [p_1, p_2]$  ([8, Proposition 2.17]), in particular for  $p = 2^*$ . Moreover, for every  $u \in L^{p_1} + L^{p_2}$  and every  $\varphi \in L^{p'_1}(\mathbb{R}^N) \cap L^{p'_2}(\mathbb{R}^N)$  one has

$$\int_{\mathbb{R}^N} |u\varphi| \, dx \le ||u||_{L^{p_1} + L^{p_2}} \left( ||\varphi||_{L^{p_1'}(\mathbb{R}^N)} + ||\varphi||_{L^{p_2'}(\mathbb{R}^N)} \right) \tag{20}$$

where  $p'_i = p_i/(p_i - 1)$  is the Hölder conjugate exponent of  $p_i$  ([8, Lemma 2.9]).

**Lemma 5.1.**  $c_{A,K}$  is a critical level for the functional  $I_{|H_K}$ .

Proof. Thanks to Lemma 2.4 (note that  $I(\overline{u}_K) < 0$  implies  $||\overline{u}_K||_A > R$ ), the claim follows from the Mountain Pass Theorem [1] if we show that  $I_{|H_K}$  satisfies the Palais-Smale condition. Using the compact embeddings of [2] and the results of [8] about Nemytskii operators on  $L^{p_1} + L^{p_2}$ , this is a standard proof but we still give some details for the sake of completeness. Let  $\{u_n\}$  be a sequence in  $H_K$  such that  $\{I(u_n)\}$  is bounded and  $I'(u_n) \to 0$  in the dual space of  $H_K$ . Then, recalling (6) and (7), we have

$$\frac{1}{2} \|u_n\|_A^2 - \int_{\mathbb{R}^N} F(u_n) \, dx = O(1) \quad \text{and} \quad \|u_n\|_A^2 - \int_{\mathbb{R}^N} f(u_n) \, u_n dx = o(1) \|u_n\|,$$

so that assumption  $(\mathbf{f}_1)$  implies

$$\frac{1}{2} \|u_n\|_A^2 + O(1) = \int_{\mathbb{R}^N} F(u_n) \, dx \le \frac{1}{\theta} \int_{\mathbb{R}^N} f(u_n) \, u_n dx = \frac{1}{\theta} \|u_n\|_A^2 + o(1) \|u_n\|.$$

This yields that  $\{\|u_n\|_A\}$  is bounded, since  $\theta > 2$ . On the other hand, thanks to the fact that  $p_1 < 2^* < p_2$ , the space  $H_K$  is compactly embedded into  $L^{p_1} + L^{p_2}$ , since so is the subspace of  $D^{1,2}(\mathbb{R}^N)$  made up of the mappings with the same symmetries of  $H_K$  (see [2, Theorem A.1]). Hence there exists  $u \in H_K$  such that, up to a subsequence, we have  $u_n \to u$  in  $H_K$  and  $u_n \to u$  in  $L^{p_1} + L^{p_2}$ . This implies that  $\{f(u_n)\}$  is bounded in both  $L^{p'_1}(\mathbb{R}^N)$  and  $L^{p'_2}(\mathbb{R}^N)$ ,

since assumption  $(\mathbf{f}_{p_1,p_2})$  ensures that the operator  $v \mapsto f(v)$  is continuous from  $L^{p_1} + L^{p_2}$  into  $L^{p'_1}(\mathbb{R}^N) \cap L^{p'_2}(\mathbb{R}^N)$  (see [8, Corollary 3.7]). Then by (20) we get

$$\left| \int_{\mathbb{R}^{N}} f(u_{n}) (u_{n} - u) dx \right| \leq \int_{\mathbb{R}^{N}} |f(u_{n})| |u_{n} - u| dx$$

$$\leq \|u_{n} - u\|_{L^{p_{1}} + L^{p_{2}}} \left( \|f(u_{n})\|_{L^{p'_{1}}(\mathbb{R}^{N})} + \|f(u_{n})\|_{L^{p'_{2}}(\mathbb{R}^{N})} \right)$$

$$\leq (\text{const.}) \|u_{n} - u\|_{L^{p_{1}} + L^{p_{2}}} = o(1)$$

and therefore

$$||u_n - u||_A^2 = (u_n, u_n - u)_A - (u, u_n - u)_A$$
  
=  $I'(u_n)(u_n - u) + \int_{\mathbb{R}^N} f(u_n)(u_n - u) dx - (u, u_n - u)_A = o(1),$ 

where  $(u, u_n - u)_A = o(1)$  since  $u_n \rightharpoonup u$  in  $H_K$ , and  $I'(u_n)(u_n - u) = o(1)$  because  $I'(u_n) \rightarrow 0$  in the dual space of  $H_K$  and  $\{u_n - u\}$  is bounded in  $H_K$ . This completes the proof.

The next lemma clarifies why our separation of  $c_{A,K}$  and  $m_A$  needs assumption (4) and the lower bound  $\alpha > \frac{2}{N-1}$ . Recall the definition (3) of  $\nu = \nu_{N,\alpha,p_1,p_2}$ .

**Lemma 5.2.** For every  $\alpha \in \left(\frac{2}{N-1}, 2N-2\right)$ ,  $\alpha \neq 2$ , we have  $\nu \geq 1$ .

*Proof.* Assume  $\frac{2}{N-1} < \alpha < 2$ . Since  $\alpha > \frac{2}{N-1}$ , we have

$$2\frac{N-1}{\alpha} - 2N\left(\frac{1}{\alpha} - \frac{1}{2}\right) = N - \frac{2}{\alpha} > 1.$$

On the other hand, by easy computations, condition

$$2\frac{N-2}{2-\alpha}\frac{2^*-p_1}{p_1-2}-2N\left(\frac{1}{\alpha}-\frac{1}{2}\right) > 1$$

turns out to be equivalent to the first inequality of assumption (4). This proves that

$$2\min\left\{\frac{N-1}{\alpha}, \frac{N-2}{2-\alpha} \frac{2^*-p_1}{p_1-2}\right\} - 2N\left(\frac{1}{\alpha} - \frac{1}{2}\right) > 1,$$

which means

$$\left\lceil 2\min\left\{\frac{N-1}{\alpha}, \frac{N-2}{2-\alpha}\frac{2^*-p_1}{p_1-2}\right\} - 2N\left(\frac{1}{\alpha}-\frac{1}{2}\right) \right\rceil \geq 2$$

and thus  $\nu \geq 1$ . Similarly, if  $2 < \alpha < 2N - 2$ , we readily have  $2(N-1)/\alpha > 1$  and condition

$$2\frac{N-2}{\alpha-2}\frac{p_2-2^*}{p_2-2} > 1$$

turns out to be equivalent to the second inequality of (4). This proves again that  $\nu \geq 1$ .

Proof of Theorem 1.1. On the one hand, the restriction  $I_{|H_r}$  has a critical point  $u_r \neq 0$  thanks to the results of [25], since  $(\mathbf{f}_{p_1,p_2})$  ensures that one can find  $p \in [p_1,p_2]$  such that  $|f(u)| \leq (\text{const.}) u^{p-1}$  (cf. (5)) and (2) holds. On the other hand, according to Lemma 5.2, there are  $\nu \geq 1$  integers K (precisely  $K = 2, ..., \nu + 1$ ) such that

$$\frac{K-1}{2} + N\left(\frac{1}{\alpha} - \frac{1}{2}\right) < \min\left\{\frac{N-1}{\alpha}, \frac{N-2}{2-\alpha} \frac{2^* - p_1}{p_1 - 2}\right\} \quad \text{if} \quad \frac{2}{N-1} < \alpha < 2$$

and

$$\frac{K-1}{2} < \min\left\{\frac{N-1}{\alpha}, \frac{N-2}{\alpha-2} \frac{p_2 - 2^*}{p_2 - 2}\right\} \quad \text{if} \quad 2 < \alpha < 2N - 2.$$

Let K be any of such integers. By Remark 3.3 and Propositions 3.2, 4.2 and 4.3, there exists  $A_* > A_K$  such that

$$c_{A,K} < m_A$$
 for every  $A > A_*$ . (21)

Then, by Lemma 5.1, there exists  $u_K \in H_K$  such that  $I(u_K) = c_{A,K}$  and  $I'_{|H_K}(u_K) = 0$ , where  $u_K \neq 0$  since  $c_{A,K} > 0$  and I(0) = 0. Both  $u_r$  and  $u_K$  are also critical points for the functional  $I: H^1_{\alpha} \to \mathbb{R}$ , by the Palais' Principle of Symmetric Criticality [23]. Moreover, it easy to check that they are nonnegative: test  $I'(u_K)$  with the negative part  $u_K^- \in H^1_{\alpha}$  of  $u_K$  and use the fact that f(s) = 0 for s < 0 to get  $I'(u_K)u_K^- = -\|u_K^-\|_A^2 = 0$ ; the same for  $u_r$ . Therefore  $u_r$  and  $u_K$  are weak solutions to problem  $(\mathcal{P})$ . Finally  $u_K$  is not radial, because otherwise Lemma 2.3 would imply  $c_{A,K} = I(u_K) \geq m_A$ , which is false by (21). This also implies  $u_{K_1} \neq u_{K_2}$  for  $K_1 \neq K_2$ , thanks to Lemma 2.1.

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