# A fracture-based discrete model for simulating creep in quartz sands

# Author 1

- Jiangtao Lei
- Division of Geotechnical Engineering and Geosciences, Department of Civil and Environmental Engineering, Polytechnic University of Catalonia (UPC), Barcelona, Spain
- 0000-0001-9458-725X

# Author 2

- Marcos Arroyo
- Division of Geotechnical Engineering and Geosciences, Department of Civil and Environmental Engineering, Polytechnic University of Catalonia (UPC), Barcelona, Spain
- 0000-0001-9384-9107

# Author 3

- Matteo Ciantia
- School of Science and Engineering, University of Dundee, Dundee, UK
- 0000-0003-1897-4471

# Author 4

- Ningning Zhang
- Institute of Geomechanics and Underground Technology, RWTH Aachen University, Aachen, Germany
- 0000-0003-3425-8926

# Full contact details of corresponding author.

Jiangtao Lei Geotechnical Division, Department of Civil and Environmental Engineering, Polytechnic University of Catalonia, D2 201, Campus Nord, Carrer de Jordi Girona, 1, 3, 08034 Barcelona, Spain Email: jiangtao.lei@upc.edu

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#### Abstract (150 - 200 words)

Creep of granular soils is frequently accompanied by grain breakage. Stress corrosion driven grain breakage offers a micromechanically based explanation for granular creep. This study incorporates that concept into a new model based on the discrete element method (DEM) to simulate creep in sands. The model aims for conceptual simplicity, computational efficiency and ease of calibration. To this end a new form of normalized Charles power law is incorporated into a DEM model for rough-crushable sands based on the particle splitting technique. The model is implemented using a controlled on-off computational strategy. The model is validated by simulating creep in quartz sands in oedometric and triaxial conditions. Model predictions are shown to compare favourably with experimental results in terms of creep strain, creep strain rates and particle breakage. The model proposed would facilitate the calibration of phenomenological continuum models, but may be also useful to directly investigate structural scale phenomena, such as pile ageing.

#### Keywords chosen from ICE Publishing list

Cracks & cracking; Creep; Discrete-element modelling; Particle crushing; Time dependence;

#### List of notations (examples below)

- $\delta$  is the particle overlap
- $\delta_{T1}, \delta_{T2}$  are critical contact overlaps for three transition regimes
- $\delta_1, \delta_2$  are the dimentional constants
- $F_n$  is the contact normal force
- $F_{nT1}, F_{nT2}$  is the transitional contact normal forces
- $E_1, E_2$  are young's modulus of two contacting particles
- $v_1$ ,  $v_2$  are Poisson's ratios of two contacting particles
- $r_1, r_2$  are radii of two contacting particles
- $S_q$  is the particle roughness
- $A_F$  is the force contact area
- $\kappa_{mob}$ ,  $\kappa$  are the mobilised and intrinsic strengths
- $\chi$  is the microstructure parameter
- $\nu$  is the Poisson's ratio
- R is the particle radius
- $\sigma_{\rm lim}$  is the characteristic particle limiting stress
- $\sigma_{lim,0}$  is the mean particle limiting stress
- d is the particle diameter
- $d_0$  is the reference particle diameter
- $m_{\rm p}$  is the material parameter
- $M_{\rm T}$  is the total mass

- $d_{max}$ ,  $d_{min}$  are the maximum//minimum particle diameter
- $\beta$  is the fractal factor
- *v* is the crack velocity
- $v_0$  is the reference velocity
- *K*<sub>c</sub> is the material toughness
- *n* is the stress corrosion index
- $\beta_c$  is the crack geometry parameter
- *a* is the crack half length
- $\sigma_t$  is the tensile strength
- $\sigma_{mob}$  is the particle maximum normal contact stress
- *m* is the creep strain rate parameter
- $a_0$  is the initial crack half-length

#### 1 Introduction

2 The time-dependent behaviour of granular soils has been recognized as a crucial mechanism in 3 many phenomena of engineering significance like the observed large shaft capacity increase with 4 time of driven piles, known as pile set-up (Jardine et al., 2006; Zhang & Wang, 2015; Gavin & 5 Igoe, 2021). From the material viewpoint, pile set-up is a particular manifestation of ageing 6 (Schmertmann, 1991), a process by which the mechanical properties of soils improve with time 7 without significant change in effective stress. It is currently recognized (Mitchell, 2008) that the 8 most plausible explanation of sand ageing is given by physically-driven -as opposed to chemically 9 or biologically mediated-micromechanical evolution. Physically-driven micromechanical evolution 10 would necessarily involve changes in the granular contact fabric around the foundation (Bowman 11 & Soga, 2003). However, absent any external disturbance, time changes in granular fabric can 12 only take place if there are physical changes in the grains themselves, i.e. in their sizes, shapes 13 or contact properties.

14

15 Laboratory studies have identified some of the physical modifications that underlie time effects in 16 sand. It is now clear that grain crushing is associated with time dependent phenomena in sands 17 (Colliat-Dangus et al., 1988; Takei et al., 2001; Karimpour & Lade, 2010; Brzesowsky et al., 2014; 18 Lv et al., 2017). Direct evidence of grain fracture during experiments may be obtained with optical 19 imaging techniques (e.g. Takei et al., 2001) or by means of computed tomography (Andó et al., 20 2019). More frequently, evidence for grain crushing is only obtained after the test is finished, by 21 examining grain size distributions (GSD). This experimental procedure is not only slow and 22 inefficient (one measurement point per sample) but also prone to error when materials are too 23 friable or the fragments are too small (Karimpour & Lade, 2010). Physical modifications related to 24 time effects are also present at the sub-granular scale. Asperity and roughness evolve under 25 loading, leading to what is described as contact maturing (Michalowski et al., 2018). It is likely 26 that contact maturing is more relevant at lower stress levels than grain facture. Needless to say, 27 the experimental difficulties only increase when the physical changes to observe during specimen 28 testing do not involve particle breakage but rather contact attrition.

30 Discrete-element method (DEM) based simulations are used to complement and extend difficult 31 experimental work (e.g. Liu et al., 2023; Shi et al., 2022; Wu et al., 2022; Phan et al., 2021). DEM 32 model results could then facilitate continuum model calibration. Also, if properly formulated, DEM 33 models may be scaled up to directly represent problems of engineering interest (e.g. Arroyo et 34 al., 2011; Ciantia et al., 2016a; Zhang et al., 2019; Cerfontaine et al., 2021), bypassing continuum 35 modelling altogether. DEM may be used like a continuum phenomenological model, calibrating 36 microscale parameters on specimen scale responses. However, DEM models are more attractive 37 when information acquired at particle scale is leveraged to reproduce specimen-scale 38 phenomena.

39

40 A number of studies have explored time effects in granular soils using DEM. Kuhn & Mitchell 41 (1992, 1993), presented a 2D visco-frictional contact model, in which the tangential force acting 42 at contacts relaxed in time with a rate that was dependent on mobilized contact friction at the 43 same contact. The model was justified using rate process theory (RPT), by reference to atomic-44 scale interactions at solid contacts (silica-silica bonds), activated through thermal energy. The 45 model achieved a strain rate decay similar to that of soils, while also recovering the influence of 46 mobilized macroscopic strength on the onset of creep failure. The RPT model was later 47 generalized to 3D (Kwok & Bolton, 2010), combined with a time-independent particle breakage 48 model (Liu et al., 2019) or even applied to simulate rock creep (Gutierrez et al., 2020).

49

50 Other researchers (Wang *et al.*, 2008; Wang *et al.*, 2014; Tong & Wang, 2015) have used a variety 51 of rheological models including viscous dashpots to describe contact interaction in DEM models 52 of sand. The behaviour predicted by these models is similar to that achieved with RPT, although 53 the contact model parameters are openly recognized as phenomenological and can only be 54 determined by examining their effect on specimen scale response.

55

The physical basis claimed for RPT DEM models is frequently lost during model calibration. This is due to the difficulties inherent in using DEM to simulate long-duration experiments (creep observations in the laboratory typically last hours or days; aging in field conditions is observed for months and years). Dynamic DEM computation is advanced explicitly in time. Computational

stability requirements limit the time step to values that, in most circumstances, are well below 1
μs (Otsubo *et al.*, 2017b). This makes the computational load of any realistic DEM creep
simulation overwhelming, if the simulated time is fully reproduced in the simulation time.

63

64 Models based on RPT have bypassed this difficulty by means of material scaling, in which some 65 of the micromechanical model parameters are recalibrated to accelerate creep. For models based 66 on RPT this implies scaling up the viscous parameter value (Kuhn & Mitchell, 1992; Kwok & 67 Bolton, 2010) or, equivalently, to directly scale time (Gutierrez et al., 2020). The scaling factor 68 applied is calibrated to match experimentally observed strain rate levels (Liu et al., 2019; Gutierrez 69 et al., 2020). The resulting scaling factors are very large numbers (for instance 10<sup>10</sup> in Kuhn & 70 Mitchell) that dwarf the effect on the model viscous parameter of the physical variables 71 (temperature, activation energy,...) that would otherwise determine its value. It is then very difficult 72 to verify if the first-principles viscous parameter value selected is actually relevant for the material 73 at hand.

74

75 An interesting alternative to RPT is offered by models trying to explain soil creep through sub-76 critical crack growth (Atkinson, 1984), as fracture propagation has an inherent time scale. This 77 was noted by Oldecop & Alonso (2007) in connection with rockfill time-dependent deformation. 78 DEM models of soil creep based on sub-critical crack growth have two potential advantages. One 79 is that fracture mechanics parameters relevant to geomaterials might be measured, allowing 80 microscale calibration. The second is that if grain breakage is included, the model output may be 81 also verified against laboratory measurements of GSD evolution. However, these two potential 82 advantages of fracture-based creep DEM models have not been yet fully exploited.

83

Kwok & Bolton (2013) showed how creep results similar to those of soils could be obtained from a DEM model based on fracture. They were using bonded agglomerates to model grains, a technique that severely curtails the number of grains that may be represented in the model and no attempt was made to compare grain size distribution outcomes with laboratory results. Fracture affected the bonds between agglomerate sub-particles and the parameters controlling bond strength degradation were calibrated on specimen-scale responses. The same model was

90 later applied by Xu *et al.*, (2018) who showed its ability to reproduce non-isotach behaviour
91 (Tatsuoka *et al.*, 2008; Lade *et al.*, 2007). Calibration of bond strength degradation was done at
92 specimen scale. Some DEM GSD curves during creep were obtained, but not compared to any
93 laboratory result.

94

95 Charles (1958) power law was implemented into DEM to simulate the mechanical behaviour of 96 rockfill by Tapias et al., (2015) and Alonso & Tapias (2019). Grains were represented using 97 breakable agglomerates, although fracture evolved at the agglomerate scale. The model was 98 complex to calibrate, using a mixture of microscale and specimen scale information, like yield 99 stress. Predictions of triaxial compression, including grain size distribution, were contrasted with 100 experiments, with varying success. As detailed by Tapias (2016), the dynamic computational 101 timestep was directly mapped into real time, assuming that every timestep during a creep phase 102 represented one second in the laboratory. Since the DEM computational timestep is not 103 independent of contact stiffness or particle size, such an approach is difficult to generalize, 104 particularly when the simulation involves breakage or stress-induced stiffening.

105

Xu *et al.*, (2018) used a different computational strategy: alternate or on-off computation. In this approach most of the simulated process is advanced with a simplified ageing model and the full dynamic model – run without any time or material scaling- is only switched-on when required to recover equilibrium. This on-off computational strategy had been previously applied in some DEM (Tran *et al.*, 2009) or FEM-DEM models (Ma *et al.*, 2015) of rockfill fracture-induced time evolution. It has also strong analogies with the high-cycle continuum models (Niemunis *et al.*, 2005) applied to evaluate the effect of long-term cyclic loads.

113

Building on that previous work this study presents a new DEM model based on fracture to simulate creep in sands. The model conjugates conceptual simplicity, computational efficiency and ease of calibration. For the first time a DEM model for sand creep is proposed with the ability to match laboratory experiments in terms of creep strain, creep strain rates, GSD evolution and particle breakage. Thanks to the on-off computational strategy applied, the computational load is

119 moderate even for very long real-time experiments, while still using physically based values of

120 DEM material parameters.

121

# 122 2. Model description

## 123 2.1 Rough contact model

124 In this work we use only spherical discrete elements. A simplified Hertz-Mindlin frictional contact 125 model (e.g. Thornton, 2015), is modified to take into account roughness effects on the normal 126 stiffness component. The modification follows Otsubo *et al.*, (2017a), who proposed a model 127 (Figure 1) with three successive regimes to describe the influence of particle roughness on 128 contact normal stiffness.

129

130 In this model when  $\delta \leq \delta_{T1}$ , the contact response is in the asperity-dominated regime and:

131 
$$F_n = F_{nT1} \left(\frac{\delta}{\delta_{T1}}\right)^c \tag{1}$$

132 when  $\delta_{T1} < \delta < \delta_{T2}$ , the contact response is in the transitional-dominated regime:

133 
$$F_n = F_{nT2} \left( \frac{\delta - \delta_1}{\delta_{T2} - \delta_1} \right)^b \tag{2}$$

134 when  $\delta_{T2} \leq \delta$ , the contact response is in the Hertzian regime:

135 
$$F_n = \frac{4}{3} E' \sqrt{r'} (\delta - \delta_1 - \delta_2)^{1.5}$$
(3)

136 where  $F_n$  is the normal contact force. E' and r' are calculated by Equation (4), (5):

137 
$$E' = \left(\frac{1-\nu_1^2}{E_1} + \frac{1-\nu_2^2}{E_2}\right)^{-1}$$
(4)

138 
$$r' = (\frac{1}{r_1} + \frac{1}{r_2})^{-1}$$
(5)

139 In the previous equations  $\delta$  is the particle overlap,  $E_1$  and  $E_2$  are young's modulus of the two 140 contacting particles;  $v_1$  and  $v_2$  their Poisson's ratios;  $r_1$  and  $r_2$  their radii.

141

142  $\delta_{T1}, \delta_{T2}$  are critical contact overlaps for three transition regimes that correspond to transitional 143 contact normal forces of  $F_{nT1}$  and  $F_{nT2}$ . These are functions of roughness  $S_q$  and elastic 144 parameters.  $\delta_1$  and  $\delta_2$  are both also functions of roughness  $S_q$ :

145 
$$\delta_1 = n_1 S_q \tag{6}$$

146 
$$\delta_2 = n_2 S_q \tag{7}$$

where  $n_1$  and  $n_2$  are model parameters. Parameters *b* and *c* are constants that ensure stiffness continuity, and both depend only on  $\delta_1$ ,  $\delta_2$ ,  $\delta_{T1}$ ,  $\delta_{T2}$ . It is apparent that when  $S_q = 0$ , a standard Hertzian contact model is recovered. More details about the model may be found in Otsubo *et al.*, (2017a).

151

#### 152 2.2 Particle failure model

153 2.2.1 Time-independent failure

The time-independent particle failure model employed was introduced by Ciantia *et al.*, (2015)
and has been used for several studies at the specimen scale (Ciantia *et al.*, 2016b; 2019a; 2019b).
The model was later refined by Zhang *et al.*, (2021) to take into account the rough contact model
just introduced.

158

The model is inspired by analytical studies of Russell & Muir Wood (2009), who combined a twoparameter material strength criterion with the analysis of the elastic stress distribution induced by point loads on a sphere to obtain a failure criterion for the loaded particle. The limit condition was expressed as:

163

$$\kappa_{mob} \le \kappa$$
 (8)

164 where  $\kappa_{mob}$  and  $\kappa$  are the mobilised and intrinsic strengths of particles. Mobilized strength, in turn, 165 is given by:

166

$$\kappa_{mob} = f(\chi, \nu) \frac{F_n}{\pi R^2 \sin^2 \theta}$$
(9)

167 where  $F_n$  is the normal force acting at a contact, R particle radius and  $\theta$  the solid contact angle 168 'seen' from the centre of the sphere (Figure 2). The function  $f(\chi, \nu)$  –given in Russell & Muir Wood 169 (2009) – expresses a condition of maximum tensile stress in the sphere derived from the elastic 170 stress distribution and strength criteria. The strength criteria (Christensen, 2004) is formulated 171 using parameters  $\chi$  and  $\kappa$ , but these are directly related to  $\sigma_c$  and  $\sigma_t$  the uniaxial compressive and 172 tensile strengths of the material. Russell & Muir Wood (2009) worked out analytically that this 173 failure criterion remains valid under multiple contact loads; Tapias et al., (2015) obtained the same 174 result using numerical simulation.

For application in DEM models, Ciantia *et al.*, (2015) observed that equations (8) and (9) imply a condition on contact forces and that such condition could be expressed as the product of a material property, the characteristic particle limiting stress,  $\sigma_{lim}$ , and the force contact area,  $A_F$ 

179 
$$F_n \le \frac{\kappa}{f(\chi,\nu)} \pi R^2 \sin^2 \theta = \sigma_{\lim} A_F$$
(10)

This later realization led to generalize the Russell & Muir Wood (2009) failure condition. From the point of view of the material, the generalization introduced (Ciantia *et al.*, 2015) was double. First, a particle size-effect was introduced in the failure criteria, and second, randomness was introduced in the strength assignment for particles of a single size, to indirectly represent the influence of aspects such as particle shape on particle breakage. This generalized particle limiting stress is implemented through an assignment of particle strength given by

186 
$$\sigma_{\lim} = \sigma_{\lim,0} \left(\frac{d}{d_0}\right)^{-\frac{1}{m_p}} (1 + X_{0,1} var)$$
(11)

187 where *d* is the element diameter,  $\sigma_{lim,0}$  is the mean value of strength at the reference diameter 188  $d_0$ , and  $m_p$  a material parameter. The value *var* is the coefficient of variation of the distribution of 189 particle strength for particles of diameter  $d_0$ , assumed normal. The symbol  $X_{0,1}$  represents a 190 random number sampled from the standard normal distribution. All the parameters relevant to the 191 crushing model may be obtained from single particle crushing tests.

192

From the point of view of the force contact area, the relevant expression for the rough Hertziancontact model is

195

 $A_F = \pi r' \delta \tag{12}$ 

196 With the meaning of r' and  $\delta$  as given in the previous section.

197

198 Once failure takes place, a particle will split into 14 balls using a splitting scheme described in 199 Ciantia *et al.*, (2015). The sibling particles are oriented so that the local *z*-axis is aligned with the 200 normal component of the maximum contact force (see inset in Figure 3). After that, the assignment 201 of particle strength  $\sigma_{lim}$  for 14 balls will also be carried out. To ensure computational efficiency, a 202 numerical comminution limit is imposed to stop crushing for particles smaller than a certain 203 diameter, *d*<sub>c</sub>. The grading state index,  $I_G$ , (Muir Wood, 2007; Figure 3) is used to quantify grading evolution. It is given (Figure 3) by the area ratio of current grain size distribution (GSD) curve to a fractal limit GSD with fractal factor  $\beta = 2.6$ :

$$\frac{M_{(L(13)$$

where  $M_{(L < d)}$  is the mass of particles whose diameter smaller than *d*;  $M_T$  is the total mass.  $d_{max}$ and  $d_{min}$  are maximum and minimum diameter for the sample (Table 1).

210

207

211 A fraction of the broken particle volume is lost upon breakage; it is assumed that the material lost 212 corresponds to fines and those are accounted for in post-processing, to refine estimates of 213 material grading evolution. The fractal distribution (Equation 13) is also used to estimate the GSD 214 of the mass lost at each particle splitting event, using as  $d_{max}$  the smallest particle generated 215 during the event. Previous studies, (Ciantia et al., 2015; 2016a; 2019a; 2019b; Zhang et al., 2021), 216 have shown that the amount of volume lost at the specimen scale using the 14 particle split is 217 small, and that increasing the number of siblings does not significantly modify macroscale model 218 results.

219

## 220 2.2.2 Time-dependent failure

To introduce a time dependency in the failure model Charles law (1958) is used. As noted by Alonso & Tapias (2019), Charles law is simply an empirical description of experimental observations of crack growth under tensile loading, and takes the form,

 $v = v_0 \left(\frac{K}{K_c}\right)^n$ 

where v is crack velocity,  $v_0$  is a reference velocity,  $K_c$  represents material toughness, K the stress intensity factor and n is the stress corrosion index.

227

- Following Broek (1986), the stress intensity factor *K* for mode I (tensile) failure can be expressed as
- 230

$$0 K = \beta_c \sigma_I \sqrt{\pi a} (15)$$

where  $\beta_c$  is a parameter dependent on the geometry of crack and cracked body,  $\sigma_I$  is the far field applied tensile stress and *a* is the crack half-length. Toughness  $K_c$  corresponds to the stress

(14)

intensity at critical conditions, arrived at by increasing far field stress, crack length or both. If  $\sigma_t$  is the tensile strength, leading to uncontrolled crack growth for the initial fracture geometry, it results that

 $\frac{K}{K_c} = f_k \frac{\sigma_I}{\sigma_t} \tag{16}$ 

where  $f_{\kappa}$  is a geometry dependent term that will be characteristic of the test employed to measure toughness, of specimen size, temperature, etc. Russell & Wood (2009) note that in the Christensen material model tensile strength  $\sigma_t$  and limit strength  $\kappa$  and are proportional, so that

240 
$$\sigma_t = \frac{\sqrt{3}}{(1+\chi)^2} \kappa = \frac{\sqrt{3}}{(1+\chi)^2} f(\chi, \nu) \sigma_{\lim} = f'(\chi, \nu) \sigma_{\lim}$$
(17)

They also show that the maximum elastic tensile stress along the diameter beneath a contact force is proportional to applied contact stress, so that

243 
$$\sigma_I = f_d(\nu) \frac{F_n}{A_F} = f_d(\nu) \sigma_{\text{mob}}$$
(18)

where  $\sigma_{mob}$  is the applied contact stress. It turns out that, for a point loaded sphere, with a crack aligned with the load and located at the maximum of elastic tensile stress,

246 
$$\frac{K}{K_c} = f_k \frac{f_d(\nu)}{f'(\chi,\nu)} \frac{\sigma_{mob}}{\sigma_{\lim}}$$
(19)

This result is merely indicative for real sand grains, given the limitations of the material model, and the variability in grain shape and in the location and nature of flaws within the grain. Nevertheless, it does suggest that a reasonable time-dependent behaviour may be obtained if Charles law is applied in the DEM model simply through:

251 
$$v = v_0 \left(\frac{\sigma_{mob}}{\sigma_{\lim}}\right)^n$$
(20)

where  $\sigma_{mob}$  the maximum normal contact stress acting on a particle, and  $\sigma_{lim}$  is the particle strength;  $v_0$  and n are the same as those in Eq. (14). Charles law is a model for crack growth, so the elements need to be seeded with initial cracks, of half-length  $a_0$ . The crack half-length a grows in time as:

 $a = a_0 + v\Delta t$ 

where v is crack velocity, and  $\Delta t$  is time interval for updating the crack. To model crack propagation in the DEM model, the approach proposed by Tapias *et al.* (2016) is used. A virtual crack half-length *a* is treated as a particle internal variable evolving with time following eq. (21). Upon sample generation a value of  $a_0$  uniformly distributed in the range 0.001*d* to 0.5*d* is

(21)

assigned to each particle in the model. To limit the complexity of the model this approach does not aim to represent any realistic crack geometry, disregarding crack orientation and implicitly assuming a virtual crack to start from the centre of the sphere and develop radially in two diametrically opposite directions. Once the virtual crack length is equal to the particle diameter (*a* is equal to particle radius) the particle will fail and is replaced by the 14 particle arrangement as done for the time independent criterion. Upon breakage initial crack half-lengths  $a_0$  are also randomly assigned to every sibling particle.

268

# 269 2.3 Computational strategy

In this work an off-DEM ageing technique is employed to advance the simulation during creep test phases. As shown in Figure 4 in this technique the dynamic DEM computation stages alternate with periods of off-DEM ageing. During a dynamic computation stage the discrete model runs with all its features activated: elements and boundary walls move, contacts are created or lost, contact forces and contact stresses change, assigned particle cracks grow and particles are broken if and when a breakage criterion is attained.

276

277 During off-DEM ageing periods only crack growth is active. Crack growth velocity during this 278 phase is assumed constant, given by the mobilized contact stress determined at the end of the 279 previous dynamic computation step. As time advances during the off-aging period the crack 280 growth mechanism would lead to failure in some particles; such particles are identified and 281 counted, until their number attains a certain pre-specified limit value, ( $n_{aqe}$ ).

282

The dynamic DEM computation is resumed, breaking all the  $n_{age}$  particles. This creates a dynamic disruption that is computed until equilibrium is again attained until the specified creep stress state. After some sensitivity analyses (Lei *et al.*, 2023) the value of  $n_{age}$  was established as 30 (0.26% of total particle number) to limit the initial disruption. The dynamic computation step is finished when stress fluctuation around the target is stabilized and a minimum number of cycles (150.000) has elapsed. All creep simulation phases start and end with a dynamic computation stage.

289

### **3. Model calibration for Fontainebleau sand**

## 291 **3.1 Previously calibrated Fontainebleau sand parameters**

292 Fontainebleau sand is a quartz sand widely used in geotechnical research, which has been used 293 as model material in previous DEM studies (Ciantia et al., 2015; Ciantia et al., 2019a; Zhang et 294 al., 2021). The parameters calibrated by Zhang et al., (2021) for Fontainebleau sand using the 295 rough-crushable model are reported in Table 1. It is worth noting that incorporating surface 296 roughness enables the model to capture the initial softer response observed in experimental data 297 (e.g. Wong & Coop, 2023) whilst using a material shear modulus G very similar to that of real 298 quartz sand particles. This is different from previous DEM studies of quartz sand creep (Kwok & 299 Bolton, 2013; Liu et al., 2019), where much lower G values were adopted to capture realistic 300 single particle force displacement curves.

301

Particle rotation was inhibited to roughly simulate the interlocking effects due to non-spherical
particle shapes. This is a computationally efficient simplification (Ting *et al.*, 1989; Calvetti, 2008;
Arroyo *et al.*, 2011) that may be seen as a limit case for classical rolling-resistance contact models
(Rorato *et al.*, 2021).

306

#### 307 **3.2 Crack growth parameters**

308 The two crack growth parameters to calibrate are the reference velocity  $v_0$  and the stress 309 corrosion index n. Following, Tapias et al. (2015; 2019) and in line with fracture growth data from 310 by Oldecop & Alonso (2007), in this work,  $v_0 = 0.1$  m/s was used. Whilst for rockfill material the 311 presence of water is known to influence the stress corrosion index (Oldecop & Alonso, 2007), the 312 effect of water on creep on quartz sands has been shown to be negligible (Leung et al., 1997; 313 Olson et al., 2002). To calibrate n, literature data for glass, synthetic and natural quartz, and 314 quartz rich sandstone is presented in Figure 5 and n = 60 was hence selected as a pragmatic 315 choice for this study of quartz sands. It's worth mentioning that for rocks in general, the stress 316 corrosion index is highly sensitive to both ambient factors (such as stress level, temperature, 317 presence and chemistry of the pore fluid) (Brantut et al., 2013) and compositional factors. Both 318 limestones and clay-rich sandstones are highly sensitive to water presence (Olson et al., 2002; 319 Nara et al., 2012).

### 321 **4. Model application**

### 322 **4.1 DEM model for element tests**

The rough contact model and the time-independent particle failure model were implemented using the C++ plug-in capability of PFC 3D (version 5.00.40; Itasca, 2017). Time dependent failure and the off-DEM simulation advance algorithm were implemented using FISH, the high-level programming language of PFC.

327

Following Ciantia *et al.*, (2019a), a representative cubic volumetric element (REV) of 4 mm side, containing 11500 particles, was formed using the radius expansion method. The particle size distribution (0.1 to 0.4 mm) was selected to match closely that of Fontainebleau NE34 sand. The target initial void ratio was set to 0.65 which would correspond to a relative density of 65% for the sand. Boundaries of the REV cube were set as rigid walls; wall motion was servo-controlled.

333

The model implementation was verified using results from a series of oedometer loading tests on Fontainebleau NE34 sand presented by Ciantia *et al.*, (2019a). Figure 6 shows the results. The newly implemented DEM model agrees well with the oedometer loading curve and with the grading evolution deduced from the laboratory test series.

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### 339 4.2 Creep under oedometric conditions

Brzesowsky *et al.* (2014) report results of a 2-day creep experiment on vacuum dried quartz sand (with diameter  $d=378\pm22\mu m$ ) under oedometric conditions at 21.7 MPa. A creep test under the same conditions was simulated using the parameters calibrated for Fontainebleau sand. During the creep phase the constant vertical stress was enforced by continually adjusting top wall position using a stress-controlled scheme.

345

The simulation results are shown in Figure 7. Numbered red dots mark the episodes of dynamic computation; the time in between is off-DEM ageing time. Figure 7 shows that the simulated creep curve appears very similar to the experimental creep trend, even if no effort was made to adjust parameters to match the test results. Note that most model parameters are not specific of Fontainebleau sand. As detailed in previous work (Ciantia *et al.* 2019a; Zhang *et al.* 2021) most 351 parameter values employed in the model were selected considering generic properties of quartz-352 dominated sands and other geomaterials (e.g. glass beads, sandstone). That applies to the elastic 353 parameters (G, u), to the parameters controlling immediate breakage ( $m_{\rm p}$ ,  $\sigma_{lim.0}$ , var) and to the 354 parameters describing roughness effects on contact stiffness ( $S_q$ ,  $n_1$ ,  $n_2$ ). Also, and perhaps most 355 importantly, the parameters controlling fracture growth have been evaluated with reference to 356 other quartz materials (see section 3.2). The only aspects specifically matched to Fontainebleau 357 sand properties are the grain size distribution and the value of interparticle friction  $\mu$ , selected to 358 match a triaxial test result (see Ciantia et al. 2019a).

359

The properties of the Fontainebleau sand and the quartz sands from the Heksenberg Formation tested by (Brzesowsky et al., 2014) are presented in Table 3. As the model scales particle strength with particle size, the smaller grain size of Fontainebleau would partly explain the faster accumulation of breakage-related deformation for Heksenberg sand visible in Figure 7. It is also relevant that the void ratio before creep was slightly lower for the DEM model (at 0.584) than for the lab test (at 0.615), as looser specimens are prone to undergo more breakage (e.g. Sohn & Buscarnera, 2018).

367

Figure 8 shows the juxtaposed vertical stress record during the 15 dynamic computation stages that took place during the oedometer creep phase. The simultaneous breakage enforced to start every dynamic computation stage creates a small shock that is rapidly damped by the boundary stress-control algorithm. It can be seen that the amplitude of the vertical stress fluctuation remains below 4% of the target creep stress. Although the number of particles to break at the beginning of every dynamic computation remains constant, the response recorded at the different dynamic computation stages presents some variation.

375

#### 376 4.3 Creep under triaxial conditions

To simulate creep under triaxial conditions the REV was first loaded isotropically up to a confining stress of 10 MPa. From the oedometer test results (Figure 7) this stress level was expected to guarantee significant particle breakage during shearing. A strain-controlled standard triaxial compression path was then applied with top wall velocity slow enough (0.01 m/s) to avoid any inertial effects, (resulting inertial number  $l < 2.68 \times 10^{-4}$ ).

382

The test was initially taken to failure (at 30% deviatoric strain) to identify the available shear strength. This corresponded to a maximum deviatoric stress  $q_{max}$  of 22.6 MPa. Ten creep tests were then performed with deviatoric stress maintained constant at different fractions of the maximum ( $q/q_{max}$  values between 0.2, and 0.9). In those tests the specimen was first loaded to the desired level of mobilized strength and then deviatoric stress was maintained for at least 10<sup>4</sup> s, unless shear failure was attained before.

389

390 Some examples of the deviatoric stress evolution plots obtained in the triaxial tests are illustrated 391 in Figure 9. As in the oedometer, there are some dynamic oscillations around the deviatoric creep 392 stress, but they remain limited, smaller, for instance, than the oscillations due to continuous shear 393 at failure during the monotonic reference path.

394

Figure 10 shows the volumetric strain versus axial strain plots for the creep phase. Shearing creep strains are accompanied always by volumetric contraction. This contractive creep was also observed by Karimpour & Lade (2013) when testing Virginia Beach sand at high confining pressures (8 MPa). Creep strains are more contractive at lower deviatoric stress levels than at higher ones, as observed by Lv *et al.* (2017) in their laboratory tests of quartz and coral sands.

400

Figure 11 shows axial strain  $\varepsilon_a$  evolution during the simulated creep phases. Creep strain is linear on log time when mobilized strength  $q/q_{max}$  lies below a certain limit (0.7 in this study). When  $q/q_{max}$  exceeds this value, obvious inflection points can be observed, and creep strain accumulates at faster rates leading to creep failure. This is classically described as tertiary creep (Augustensen *et al.*, 2004) and has been observed in similar triaxial experiments on quartz sands both at high confining stress (Karimpour & Lade, 2013;  $q/q_{max} > 0.8$ ) and at low confining stress (Murayama *et al.*, 1985;  $q/q_{max} > 0.95$ ).

409 Before the onset of creep failure the axial strain rate  $\dot{\varepsilon}$  decreases in time. As shown in Figure 410 12(a) an approximate linear evolution can be observed when plotting the creep strain data in a 411 double log axis diagram. This is characteristic of primary creep. When creep takes place at higher 412 mobilized strength the strain rate remains briefly constant (secondary creep) and then increases 413 (tertiary creep). That sequence, however, is not always monotonous and some oscillations 414 between increasing and decreasing strain rates are visible in tests creeping close to shear failure 415  $(q/q_{max})$  above 0.8). Similar oscillations were also present in laboratory triaxial creep tests at high 416 mobilised shear strength in friable Antelope Valley sand (Lade & Liu, 1998).

417

418 DEM simulation results at  $q/q_{max} = 0.4$  and  $q/q_{max} = 0.75$  were selected to compare with triaxial 419 creep results of Virgina beach sand at the same mobilized ratios (Karimpour & Lade, 2010; 2013). 420 The comparisons (Figure 12b) show good agreement with the experimental results during primary 421 creep. In the case of  $q/q_{max} = 0.75$ , the strain rate tends to stabilize in both cases at the end of 422 creep although the tertiary creep phase appears earlier in the Fontainebleau DEM experiments. 423

424 It is customary to describe the strain rate evolution during creep using the *m* parameter defined425 by Singh and Mitchell (1968) as:

426

$$m = -\frac{\Delta log\dot{\varepsilon}}{\Delta logt} \tag{22}$$

Linear regression was applied to obtain *m* values from the test data. Some example fits are illustrated in (Figure 13). Following Augustesen *et al.* (2004), the fit is only applied to the primary creep section. To compare with the existing experimental results, the strain rate (%/min) at 10 min ( $\dot{\varepsilon}_{10min}$ ) was also obtained. All the strain rate parameters are presented in Table 2.

431

The strain rate *m* parameter obtained from the DEM simulations is presented in Figure 14(a) alongside previous laboratory and DEM simulation results. The *m* value obtained here is practically independent of deviatoric creep stress (Figure 14a) as observed on the laboratory tests of Toyoura quartz sand (Murayama *et al.*, 1984) and tailings sand (Mejia *et al.*, 1988).

This independence of *m* on stress level is also presented on the RPT-based 2D DEM simulations of Kuhn & Mitchell (1993), who excluded creep rupture data from their fit. A similar trend is visible —with less clarity- on the RPT-based 3D DEM simulation of Kwok & Bolton (2010). By way of contrast the bond strength degradation model of Kwok & Bolton (2013) shows a strong reduction of *m* as the mobilized strength at creep was increased. This comparison might be affected by the criteria -not always explicit- employed by different authors to fit the *m* parameter.

443

A simpler comparison may be established in terms of creep strain rate magnitude. Figure 14(b) shows its value evaluated 10 min after the initiation of creep. The results from this work fit nicely within the range of the experimental data, with the more friable tailing materials showing faster rates, the low-stress tests on quartz Toyoura showing slower creep rates and the high stress tests on quartz Virginia beach sand closer to the simulations. Interestingly, by this measure the RPT based simulations are those further off the experiments, whereas the bond-strength based Kwok & Bolton (2013) model lies closer to the results presented in this study.

451

### 452 **4.4 Grading evolution during triaxial creep**

453 GSD curves during triaxial creep were obtained during the simulations. Figure 15 illustrates the 454 results for  $q/q_{max}$ =0.2, 0.5, 0.7, and 0.9. As mobilized strength at creep increases the shift of the 455 PSD curves is more significant.

456

The breakage-driven nature of the creep strains is also made transparent when the evolution of the grading index with time is represented, as done in Figure 16. The similitudes with Figure 11 are notable, for instance in the inflection points noted at values of  $q/q_{max}$  above or equal to 0.75.

The amount of breakage during creep is expressed through the change in breakage index in Figure 17. The results from the simulation are very similar to those measured after laboratory experiments on Virginia beach sand by Karimpour & Lade (2013), although breakage accumulation is somewhat faster in Virginia sand. As it was the case for the previous comparison with Heksenberg sand, this discrepancy may be partly explained by the smaller grain size of Fontainebleau (Table 3).

468 Note that, for the purpose of this comparison, four creep simulations were extended to last one 469 day, to match the creep period in the original lab experiments. Most of that period was simulated 470 as off-DEM ageing, whereas the time simulated using dynamic DEM computation (less than 0.1s) 471 was only a minimal fraction of that period. The computer running time (using a workstation with 472 an CPU of 12th Gen Intel(R) Core(TM) i9-12900K (3.20 GHz) and 32GB RAM) extended to 41h 473 for the most demanding case (that with  $q/q_{max}$  of 0.85). The vast majority (>99,9%) of running time 474 was spent on the dynamic DEM computations. The practical advantages of the computational 475 strategy adopted are thus evident.

476

# 477 **5. Discussion**

478 The DEM model presented is highly idealized, in that some fundamental sand grain features such 479 as shape are only indirectly accounted for through particle strength variability, rotation blocking, 480 etc. Also, the link between roughness and contact friction has not been considered, nor the 481 possibility of inducing a low stress time-dependent mechanism through evolving roughness. 482 Despite those limitations it is likely that the model micromechanics might be usefully exploited to 483 gain insight into macroscopic features. As an example, Figure 18 presents the evolution of the 484 broken fraction of particles of certain size ranges, di. This fraction is obtained as the cumulative 485 number of particles of size  $d_i$  broken to time t divided by the current number of particles of size  $d_i$ 486 at time t. The graph indicates not just that larger particles break more -something that follows 487 from particle strength size dependency- but that tertiary creep is characterized by an increased 488 participation of larger particles on breakage, as the broken fraction increases faster with particle 489 size.

490

Laboratory compression experiments on relatively uniform and large glass beads (Takei *et al.*, 2001) showed jumps on creep strain associated with breakage events. In continuously graded specimens DEM studies with unbreakable particles (e.g. Liu *et al.*, 2023) have shown that strong force chains are preferentially channelled through larger particles. The non-monotonous increase in strain rates observed during tertiary creep in Figure 11 may be thus related to the faster breakage rate of the larger particles.

498 Another peculiarity of the model presented is that time-dependent breakage parameters were 499 calibrated using fracture data from larger quartz-dominated specimens. This was done for 500 pragmatic reasons, to avoid the experimental and statistical complexity of trying to measure 501 fracture growth on isolated sand grains. It is thus interesting to consider the results in Figure 19, 502 showing the effect of normalized creep stress on the time elapsed until the onset of the tertiary 503 creep phase (known as time to failure) in the simulations and in sandstone creep experiments. 504 Note that in the sandstone data creep and failure stress levels are net of the post-fracture residual 505 frictional stress (Brantut et al., 2013). That is the stress level at which all cohesion is lost and 506 shear strength is purely frictional, which, by analogy, is taken as zero for sands. The comparison 507 is favourable and supports the relevance of sandstone subcritical crack growth measurements for 508 the understanding of sand creep.

509

### 510 6. Conclusion

511 This work has described a discrete element model to explain time effects in sands based on 512 subcritical crack growth. The model has been applied to study creep at large stress in quartz sand 513 and the results obtained compare favourably with available experimental evidence in terms of 514 creep strain, creep strain rates and grading evolution. Previous DEM models of sand creep had 515 not resulted in such a wide agreement with independent laboratory experimental work. The 516 continuous IG evolution during creep can be captured using this model, something which is almost 517 impossible to do in laboratory tests. An increased participation of larger particles on breakage 518 during tertiary creep was first made transparent, and this is significant to understand sand creep 519 behaviour. The model has been implemented using a simple but efficient on-off computational 520 strategy to get rid of the overwhelming computational load associated with long creep tests in 521 DEM. Importantly, this computational strategy does not interfere with material parameter 522 determination.

523

The model may be applied as it is to study some of the fundamental controls on sand creep, such
as relative density (Colliat-Dangus *et al.*, 1983) or initial grading (Karimpour & Lade, 2013) as well
as other time-related phenomena like stress relaxation and, including a suitable time-scaling

- 527 procedure, to examine the effect of variable strain rate. It is also easy to envisage some relevant 528 model generalizations: for instance, as it is based on a contact law that features grain roughness, 529 it may be also simply adapted to represent low-stress time-dependent contact maturing. The use 530 of models such as this will likely facilitate the study of time dependent phenomena in granular 531 materials.
- 532
- 533

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- 538

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721 Tables

722			Table	1 Calibrat	tion paran	neters for	time-to-fr	acture r	ough-crus	shable mo	del		
	particle failure criterion								Contact roughness			Crack propagation	
G/GPa	ν	μ	$m_{ m p}$	$\sigma_{lim,0}/{ m GP}$	a var	$d_{c}/d_{50}$	d <sub>max</sub> /mm	$d_{min}/1$	nm S <sub>q</sub> /µ	$m n_1$	$n_2$	$\mathcal{V}_0$	п
32	0.19	0.27	75 12	3.75	0.38	0.55	0.27	0.0	1 0.6	0.05	5	0.1	60
723 724	Table 2. Triaxial creep strain rate parameters												
-	$q/q_{ma}$	ıx	0.2	0.3	0.4	0.5	0.6	0.7	0.75	0.8	0.85	0.9	
-	m		0.7713	0.7356	0.7903	0.7484	0.7353	0.7246	6 0.7360	0.7238	0.723	0.7251	
	ė <sub>10mi</sub> (%/mi	n n)	0.00043	0.001	0.0017	0.0038	0.0086	0.0263	0.0558	0.1247	0.30	0.855	
725 726 727			Table	3. Basic p	properties	of differe	nt quartz :	sands c	onsiderec	l in this stu	udy		
	Property Median grain size, $d_{50}$ Uniformity coefficient		Units	Units		Virginia beach sand (Karimpour & Lade 2013)			Heksenberg For- mation sand (Brzesowsky et al., 2014)		Fontainebleau sand NE 34 (Ciantia et al. 2019a)		
			n grain	mm	mm		0.638		0.378		0.21		
						1.4		1.12		1.53			
	Min. void ratio, $e_{\min}$					0.53		Unknown		0.51			
	Max. void ratio, $e_{max}$ Specific gravity, $\rho_s$					0.759 2.65		Unknown 2.65		0.9 2.65			
			,										
	Shape descriptor			r		subangular			subrounded		subangular		

730 Figures





Figure 1. Rough surface normal contact model (adapted from Otsubo et al., 2017a)





Figure 2. Contact geometry description in the failure model





Figure 3. Schematic definition of grading index IG and (inset) particle split model





Figure 4. Flow chart for on-off DEM computation during creep phases











Figure 8. Vertical stress fluctuations during the dynamic computation stages in the creep phase
of the oedometric test. Left hand axis: absolute value. Right hand axis: normalized by target stress





Figure 9. Monotonic triaxial loading and example simulated triaxial creep curves





Figure 10. Volumetric strain versus axial strain during triaxial creep





Figure 11. Axial strain evolution during the creep phase of the simulations







Figure 13. Linear fitting of results from simulated creep triaxial stages to obtain the strain rate evolution parameter *m* defined by Singh and Mitchell (a)  $q/q_{max} = 0.2$  (b)  $q/q_{max} = 0.4$  (c)  $q/q_{max} = 0.6$  (d)  $q/q_{max} = 0.8$ 





Figure 14. Comparison of experimental and simulated results on axial strain rate (a) decrease
with log time (b) value after 10 min creep





Figure 15. Example of computed GSD evolution during triaxial creep (tc: creep time)





Figure 16.  $I_{G}$  evolution of Fontainebleau sand during triaxial creep.



Figure 17. Creep-induced change in breakage index *I*<sub>G</sub> as a function of mobilized shear strength
 during creep





Figure 19. Effect of normalized creep stress on time to failure (onset of tertiary creep) for quartz
sandstone and simulated quartz sand

Normalized stress