

Abstract

 Epidemiological data have linked cadmium exposure to neurotoxicity and to neurodegenerative diseases (e.g., Alzheimer's and Parkinson's disease), and to increased risk of developing ALS. Even though the brain is not a primary target organ, this metal can bypass the blood brain barrier, thus exerting its toxic effects. The coordination chemistry of cadmium is of strong biological relevance, as it resembles to zinc(II) and calcium(II), two ions crucial for neuronal signaling. A toxicogenomics approach applied to a neuronal human model (SH-SY5Y cells) exposed to cadmium (10 and 20 µM) allowed the identification of early deregulated genes and altered processes, and the discrimination between neuronal-specific and unspecific responses as possible triggers of neurodegeneration. Cadmium confirmed its recognized carcinogenicity even on neuronal cells by activating the p53 signaling pathway and genes involved in tumor initiation and cancer cell proliferation, and by down-regulating genes coding for tumor suppressors and for DNA repair enzymes. Two cadmium-induced stress responses were observed: the activation of different members of the heat shock family, as a mechanism to restore protein folding in response to proteotoxicity, and the activation of metallothioneins (MTs), involved in zinc and copper homeostasis, protection against metal toxicity and oxidative damage. Perturbed function of essential metals is suggested by the mineral absorption pathway, with *MTs*, *HMOX1*, *ZnT-1*, and *Ferritin* genes highly up-regulated. Cadmium interferes also with 50 Ca²⁺ regulation as *S100A2* is one of the top up-regulated genes, coding for a highly s 51 specialized family of regulatory Ca^{2+} -binding proteins. Other neuronal-related functions altered in SH-SY5Y cells by cadmium are microtubules dynamics, microtubule motor-based proteins and neuroprotection by down-regulation of *NEK3, KIK15*, and *GREM2* genes, respectively.

Keywords: Cadmium; Neurotoxicity; SH-SY5Y human neuronal cells; Toxicogenomics

1. Introduction

Among the toxic metals, cadmium (Cd) is of particular concern for its environmental,

chemical and biological features; it is ubiquitously distributed in the environment by natural

59 sources (e.g. volcanic activities and forest fires), and huge anthropogenic release (~30,000 tons/year). Due to its slow excretion from the human body, and very long biological half-life (10-30 years), Cd is heavily accumulated in the organism (e.g. 2 µg/g liver, and 70 µg/g kidney) reaching its plateau in the kidney at 50 years of age. Consequently, Cd has been listed as the seventh most hazardous chemicals for human health, considering both toxicity and exposure frequency (ATDSR, 2017). Cd exposure for non-occupational reasons can primarily occur through food, drinking water, air particles, cosmetics and cigarette smoking (Bocca et al., 2014; Hartwig and Jahnke, 2017; Satarung and Moore, 2004). Being an 67 integral constituent of tobacco with a typical content of 0.5-1 μ g/cigarette, Cd is accumulated in smokers blood at concentrations 4-5 times higher than in non-smokers, and 69 can deposit in the aortic wall of heavy smokers at concentrations up to 20 μ M (Satarug and Moore, 2004).

 Moreover, Cd is considered a neurotoxin, although the mechanisms remain poorly understood. One of the first evidences for a cause-effect relationship between Cd uptake and a neurodegenerative disease, was reported in 2001 in a patient with Amyotrophic Lateral Sclerosis-like syndrome after occupational Cd intoxication (Bar-Sela et al., 2001). Amyotrophic lateral sclerosis (ALS) is a progressive and invariably fatal neurodegenerative disease involving the motor system. Five to 10% of ALS cases are familial and a number of mutated genes have been identified (Aude et al., 2018), while the majority of ALS cases (90-95%) are sporadic. Despite its identification as a neurological condition 150 years ago, the etiology of motor neuron degeneration in ALS still remains largely unknown.

 The general hypothesis is that sporadic ALS is a complex multi-factorial disease, and many genetic and environmental factors are under investigation as possible culprits (Ingre et al., 2015; Wang et al., 2017). Among these factors, various toxic metals and the unbalance of essential trace elements contributing to the development of ALS have been proposed: *i)* lead involvement is a long-standing hypothesis; *ii)* manganese is well known for its neurotoxic properties; *iii)* iron accumulation has been reported in ALS patients; and *iv)* the potential role of selenium has been investigated. Many other metals with potential significance for ALS have been evidenced e.g. copper, aluminium, arsenic, cobalt, zinc and cadmium, all of which have been found at elevated concentrations in ALS patients, when compared to healthy controls (Roos et al., 2013). It is noteworthy that cigarette smoking is the only factor recently identified and related to negative survival in ALS patients (Calvo et al., 2016).

 Very interestingly, Cd is transported along the primary olfactory neurons to their terminations in the olfactory bulb, thereby bypassing the intact blood brain barrier, and representing therefore a likely way for Cd to reach the brain. In the nervous system, Cd tends to accumulate in the choroids plexus at concentrations much greater than those found in the cerebrospinal fluid (CSF) and in other brain areas (Wang and Du, 2013). In addition, Cd-induced apoptosis of motor neurons in cultured explants from human fetal spinal cords was observed (Sarchielli et al., 2012).

 To the understanding of Cd toxic mechanisms, the coordination chemistry of cadmium(II) is of strong biological relevance as it resembles zinc(II) and calcium(II), both being crucial for neuronal signaling. Cd neurotoxicity is further described for its alterations in the release of neurotransmitters, oxidative stress, mitochondrial damage and induction of apoptosis (Maret and Moulis, 2013; Choong et al., 2014). This metal can also affect proteasomal functions and prion protein aggregation along with features responsible for axonal transport,

 such as microtubule disassembly, inhibition of microtubule formation, and kinesin- and dynein-dependent motility (Böhm, 2014; Méndez-Armenta and Ríos, 2007).

 However, the gathered knowledge on Cd (neuro)toxicity has not yet clarified the overall vision of the key events and processes necessary to untangle causes and consequences in a complex disease progression such as neurodegeneration.

We have applied a toxicogenomics approach to identify deregulated pathways in SH-SY5Y

cells exposed to Cd, to unravel neuronal specific and non-specific responses to this toxic

metal, and to recognize early genes and processes involved upon Cd exposure.

SH-SY5Y is a neuroblastoma cell line, widely used as *in vitro* model for neurotoxicity

studies and neurodegenerative diseases (Cheung et al., 2009; Rossi et al., 2015). In

addition, following the recommendations of the National Research Council of the National

Academy of Sciences described in a recent report on toxicity testing in the 21st century,

SH-SY5Y cells were used in this work as they are from human origin.

2. MATERIALS AND METHODS

2.1 Cell culture and treatments

120 Human SH-SY5Y neuroblastoma cells (ATCC[®] CRL-2266™) were cultured with Eagle Minimum Essential Medium (EMEM) and F12 medium (1:1) completed with 10% heat inactivated foetal bovine serum and 1% antibiotics (streptomycin/penicillin) at 37°C under 123 an atmosphere of 5% CO₂ in air. All culture reagents and media were from Euroclone, Italy. Neuroblastoma cells were seeded either in 100 mm Ø Petri dish for RNA extraction at a 125 density of 2 \cdot 10⁶ cells/dish, or in 162 cm² growth area flasks for SDS-PAGE and western 126 blotting at a density of 3-10⁶ cells/flask, with three flasks for each treatment. Twenty four 127 hours after seeding, the cells were exposed to 10 and 20 μ M CdCl₂ (Cd) for 48 h. The stock 128 solution (1 mM) of CdCl₂ (97% purity, BDH Laboratory, Milan, Italy) was prepared in ultra-

 pure water (0.22 μm filtered Milli-Q water, Millipore, Vimodrone, Milan, Italy) and stored at 130 4°C. Both Cd concentrations are below the cytotoxicity threshold (IC_{50}) , as demonstrated by MTT assays (data not shown).

2.2. Microarray expression profiling

 Total RNA was purified from SH-SY5Y cells using the RNeasy Plus kit (Qiagen). RNA was quantified using an ND-1000 UV-Vis spectrophotometer (Thermo Scientific, Wilmington, DE, USA), and the RNA integrity was assessed with the Agilent 2100 Bioanalyzer (Agilent Technologies Inc.) according to the manufacturer's instructions. The RNA samples used in this study exhibited a 260/280 ratio above 1.9 and a RNA Integrity Number (RIN) above 9.0. The microarray experiment included two biological replicates for the controls, and three for treatments. All sample-labeling, hybridization, washing, and scanning steps were conducted according to the manufacturer's specifications. Briefly, Cy3-labelled cRNA was generated from 50 ng of total RNA using the One Color Quick Amp Labeling Kit (Agilent Technologies Inc.). For every sample, 600 ng cRNA from each labeling reaction (with a specific activity above 9.0) was hybridized using the Gene Expression Hybridization Kit (Agilent Technologies Inc.) to the SurePrint G3 Human Gene Expression v2 Microarray (Agilent Technologies Inc.), an eight high-definition 60K arrays format. After hybridization, the slides were washed and then scanned with the Agilent G2565BA Microarray Scanner (Agilent Technologies Inc.). The fluorescence intensities of the scanned images were extracted and pre-processed using the Agilent Feature Extraction Software (version 10.7.3.1). The Agilent data were processed with limma (version 3.34.9). The signal from the green channel was collected and the background subtracted using the normexp method. Normalization between arrays was performed using quantile normalization and signals from replicated spots were averaged.

 The intensities corresponding to the same gene were also averaged and differentially expressed genes were found using F-statistics implemented in limma by testing all contrasts (i.e concentrations) simultaneously and adjusting for multiple tests with the Benjamini and Hochberg False Discovery Rate procedure. A collection of 285 KEGG pathways (release March 1, 2017) with a minimum size of 5 genes was considered for EGSEA (version 1.12.0). The limma test was used to determine the log2 fold changes of genes after Cd treatment. The ten methods considered for EGSEA were: camera (limma:3.40.2), safe (safe:3.24.0), gage (gage:2.34.0), padog (PADOG:1.26.0), plage (GSVA:1.32.0), zscore (GSVA:1.32.0), gsva (GSVA:1.32.0), ssgsea (GSVA:1.32.0), globaltest (globaltest:5.38.0), fry (limma:3.40.2) (Alhamdoosh et al., 2017).

2.3 qPCR validation of transcriptomics data

 The total RNA was isolated using the Quick-RNATM MiniPrep (Zymo Research, Irvine, CA, USA), according to manufacturer's instructions. Total RNA was reverse-transcribed using SuperScript II RT (Invitrogen, Carlsbad, CA, USA), oligo dT and random primers, according to the manufacturer's protocol. For quantitative real-time PCR (qPCR), SYBR Green method was used to evaluate growth arrest and DNA damage-inducible protein GADD45 beta, heme oxygenase 1 and S100 calcium binding protein A2 expression. Briefly, 50 ng cDNA was PCR amplified with Luna® Universal qPCR Master Mix (New England BioLabs, Hitchin, Hertfordshire, UK) and specific primers, using an initial denaturation step at 95°C 172 for 10 min, followed by 40 cycles of 95°C for 15 sec and 59°C annealing/polymerization for 1 min. Each sample was normalized using β-actin gene as internal reference control. The relative expression level was calculated with the Livak method (2[-ΔΔC(T)]) and expressed as a relative fold change between Cd treated and untreated cells. The primers used for qPCR are following: GADD45β Fw 5'-

CAGAAGATGCAGACGGTGAC-3' and Rv 5'-AGGACTGGATGAGCGTGAAG-3'; HMOX1

Fw 5'-TGCCCCAGGATTTGTCAGAG-3' and Rv 5'- AAGTAGACAGGGGCGAAGAC-3';

S100A2 Fw 5'- GCGACAAGTTCAAGCTGAGTA-3' and Rv 5'-

- ACAGTGATGAGTGCCAGGAAA-3'; β-ACT Fw 5'-CGACAGGATGCAGAAGGAG-3' and Rv 5'-ACATCTGCTGGAAGGTGGA-3'.
- *2.4 Cell extract preparation and immunochemical analysis of metallothioneins and heat shock proteins*
- *2.4.1 Metallothioneins (MT)*

 At the end of the treatment period (48 h), cells were processed essentially according to Callegaro et al., 2018. Briefly, cells were harvested by trypsinisation, washed with ice-cold PBS, centrifugated and lysed in 10 mM Tris-HCl buffer (pH 7) containing 5mM EDTA, 1mM PMSF and protease inhibitors. All samples were immediately frozen (-20°C) to obtain cell lysates. Low molecular weight proteins, including metallothioneins (MT), were separated by high-speed centrifugation (20000 *g* for 45 min). A small aliquot of clarified samples (supernatants) was used for protein content quantification by the Bradford assay. The remaining volume of clarified samples was diluted 1:1 in sample buffer (0.25 M Tris-HCl, pH 193 6.8, 2% SDS, 30% glycerol, 10% β -mercaptoethanol, 0.01% bromophenol blue) and stored at -20 °C.

Total proteins (20 µg) were separated by SDS-PAGE in 12% NuPAGE gels (Invitrogen,

Italy) and transferred using a transfer buffer (CAPS buffer: 10 mM 3-cyclohexylamino-1-

197 propanesulfonic acid pH 10.8 in 10% methanol containing 2mM CaCl₂). Western blotting

and immunoreactions were performed according to previously published protocols (Urani et

al., 2010) using a mouse anti-metallothionein antibody (Zymed, Invitrogen, Corp. cat. n. 18-

0133) that recognizes both MT-1 and MT-2 isoforms. Gels of the same samples were

stained with Coomassie Blue for visualization of correct sample loading.

 The expression of MT in controls and Cd-treated samples was analyzed by comparing bands intensities of different samples.

2.4.2. Heat shock proteins (Hsp70)

 Treated and control cells were collected in PBS buffer containing protease inhibitors (aprotinin, leupeptin, pepstatin, PMSF), passed through a syringe needle (22-23 ga Ø) and incubated on ice for 15 min. The cells were homogenized by sonication (10-15 sec on ice), centrifuged, and the supernatants collected for total protein content analysis and stored at - 80°C in the sample buffer. Hsp70 expression was determined according to Urani and co- workers (2007) by immunochemical analysis separating 30 µg of proteins on 7% Tris- acetate NuPAGE gels (Invitrogen, Carlsbad, CA, USA). Separated proteins were transferred onto nitrocellulose membranes, and the membranes were blocked for 2 h in Tween buffer (0.1% Tween-20, 8 mM NaN3, in PBS) containing 5% BSA. A mouse monoclonal anti-hsp70 antibody (Enzo Life Sciences, Switzerland cat. ADI-SPA-810-D) was used for protein detection. The protein bands were visualized after the secondary antibody (goat anti-mouse phosphatase-conjugate) reaction and addition of the colorimetric substrate. The equal sample loading was assessed by staining the gels of the same samples with Coomassie Blue.

 Protein levels were quantified by densitometric analysis using the Scion Image software (Scion Corp., Frederick, MD, USA). Densitometric data of MT and hsp70 proteins of at least three biological replicates were analyzed and the statistical comparison performed.

2.5 Statistical analysis

 Densitometric data from western blot and relative fold changes from qPCR were tested by 224 Dunnett's multiple comparison procedure. All calculations were conducted using the R statistical programming environment. All treated samples were compared to their reference controls.

3. RESULTS

3.1 Cadmium induces a strong deregulation of specific transcripts

A total of 85 genes were significantly up-regulated, and 11 genes were down-regulated

(ANOVA limma, p value adjusted by the Benjamini and Hochberg's method equals or

smaller than 0.05) (Smyth, 2004). The first 25 up-regulated genes are shown in Table 1 with

log2 fold changes values and statistical significance (adjusted p value). Table 2 shows all

- down-regulated genes. The complete list and description of 96 differentially expressed
- genes is found in supplementary materials (Table 1S).

A major group of up-regulated genes is the metallothioneins (*MT*) family, with (sub)isoforms

of *MT-1* and *MT-2* highly up-regulated (up to around 10 log2 fold change, FC) by both 10

and 20 µM Cd. MTs are proteins involved in metalloregulatory processes, and highly

inducible by Zn and Cd (Choi and Bird, 2014).

HMOX1 is amongst the top up-regulated genes with *MT* in SH-SY5Y cells exposed to Cd.

This gene encodes for heme-oxygenase-1 (HO-1), which is of keen research interest as it is

considered to be a major protein in diseases caused by oxidative and inflammatory insults,

with a role in contrasting stressful events. HO-1, which is the stress-inducible isozyme of

243 heme oxygenase, catalyzes the breakdown of heme into free ferrous iron (Fe^{2+}), biliverdin,

244 and carbon monoxide. Fe^{2+} stimulates the synthesis of ferritin (iron-bound-compound),

biliverdin is converted to bilirubin, all being cytoprotective compounds.

A group of up-regulated and stress-related genes is represented by *ZFAND2A, HSPA1A,*

HSPA6, HSPA1B, DNAJB1 coding for heat shock-related proteins, belonging to a

superfamily of cytoprotective chaperones, dealing with proteotoxic stress (Kostenko et al.,

2014; Rossi et al., 2010).

Furthermore, the product of growth arrest and DNA damage response 45*β* (*GADD45β*)

gene involved in cell growth arrest and DNA repair, and recently described as a regulatory

protein, controlling autophagy and apoptosis in rat cerebral neurons (He et al., 2016), is

among the highest up-regulated genes. Another protein with pro-apoptotic properties is

encoded by *DDIT3* gene, also known as CCAAT/enhancer binding homologous protein

(CHOP)/GADD153 (Syk-Mazurek et al., 2017).

TEX19, AKR1C3, TGFBI, GDF15 and RRAD up-regulated genes are all coding for proteins

related to cancer, cancer cell proliferation, and enhancement of tumor initiation

representing, in some cases, prognostic biomarkers (see as examples Planells-Palop et al.,

2017; Karunasinghe et al., 2017; Pan et al., 2018, Li et al., 2016; Yeom et al., 2014).

S100A2 is a gene coding for a member of the S100 proteins, a family of regulatory,

calcium-binding-proteins that mediate signal transduction in the nervous system. S100

family members are involved in different diseases such as psoriasis, rheumatoid arthritis,

 cystic fibrosis, cardiomyopathy, multiple sclerosis, amyotrophic lateral sclerosis, Down's syndrome, Alzheimer's disease and cancer (Zimmer et al., 2005).

 All down-regulated genes are shown in Table 2. Even though 10 µM Cd has a weak effect on gene down-regulation, it is interesting to note the trend of increasing down-regulation relevant at the highest concentration used. Analyzing all down-regulated genes, *SLC35D* is the top down-regulated with a log2 fold change of -2,15 at 20 µM Cd concentration. This gene in mouse is specifically expressed in the brain, suggesting a functional role in the central nervous system. The gene is predicted to code for an orphan nucleotide sugar transporter or a fringe connection-like protein with transmembrane domains (Zhang et al., 2014). Another down-regulated gene related to transporter functions is *SLC39A10*. This 273 gene encodes for a member of the Zip proteins, a family of import Zn^{2+} transporters (Landry et al., 2019).

 GALNT6 encodes for the polypeptide *N*-acetylgalactosaminyltransferase 6, critical for the stability, subcellular localization, and anti-apoptotic function of GRP78 protein in cancer cells (Lin et al., 2017).

 Little is known about *GLCCI1,* glucocorticoid-induced transcript 1, in the central nervous system, recently proposed as a tool to identify progenitors in the ventricular zone during telencephalon development (Kohli et al., 2018). Even though the general functions of this gene are unclear, this cortical-response gene plays a role in regulating the sensitivity to endogenous cortisol in humans (Liu et al., 2017 and references therein).

 Very interestingly, among the down-regulated genes, a group of Cd-targeted genes encodes for neuronal-related proteins: *GREM2* codes for a member of the gremlin protein family, described as neuroprotective factors in dopaminergic neurons both *in vivo* and *in vitro* (Phani et al., 2013); the product of *Nek3* influences neuronal morphogenesis and polarity through effects on microtubules as this protein belongs to a Ser/Thr kinases involved in coordinating microtubule dynamics (Chang et al., 2009); *Kif15* product belongs to the kinesins superfamily of microtubule-based motor proteins, particularly expressed in neurons undergoing migration and in the developing brain (Klejnot et al., 2014). Finally, a group of down-regulated genes produces transcripts related to carcinogenesis: *UNG*, that encodes for uracil-DNA glycosylase protein responsible for the initial step in the base excision repair pathway (Gokey et al., 2016); *PDGFRL*, the platelet-derived growth factor receptor-like gene is regarded as a tumor suppressor, although the precise biological

 function is not known (Kawata et al., 2017); *TXNIP* gene encodes for a potent tumor suppressor protein, and its down-regulation leads to increase proliferation of certain types of cancer cells (Park et al., 2018).

301 **Table 1**

Top up-regulated genes in SH-SY5Y cells treated with 10 or 20 µM Cd for 48 h

304 **Table 2**

305 Complete list of down-regulated genes in SH-SY5Y cells treated with 10 or 20 µM Cd for 48 306 h.

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3.2 Most deregulated genes **show a concentration-dependence profile**

 All deregulated genes and their trends are shown in Figure 1. The ninety-six differentially expressed genes (p adj ≤ 0.05, ANOVA limma) in Cd-treated *vs* control samples are shown in the heat map of Figure 1A. After clustering these significant genes, a first cluster of 11 highly down-regulated genes (*SLC35D3*, *PDGFRL*, *TXNIP*, *KIF15*, *LOC6442366*, *UNG*, *SLC39A10*, *GREM2*, *NEK3*, *GALNT6* and *GLCCI1*) was observed. A second cluster of 11 strongly up-regulated genes (*MT1X*, *MT1F*, *MT1L*, *MT1HL1*, *MT1B*, *MT1A*, *MT2A*, *MT1M*, GADD45, *HMOX1* and *ENST00000567054*) was also found. In addition to these highly significant genes, a third cluster was made of 74 genes found to be up-regulated with lower log2 fold changes (Figure 1A). It is worth noting that a Cd concentration-dependence was observed for most of these 96 deregulated genes (Figure 1B). The highest Cd concentration tested (i.e 20 µM) resulted in a more pronounced log2 fold change when compared to 10 µM Cd-treatment. When using a cut-off at an absolute log2 fold change of 3 (for any of the two tested concentrations), the highly significant genes in clusters 1 and 2 (Figure 1A) showed such concentration- dependence (Figure 1B). In addition, 15 up-regulated genes from cluster 3 also showed a concentration-dependence profile (namely, *MT1E*, *MT1G*, *HSPA1A*, *HSPA1B*, *HSPA6*, *ZFAND2A*, *RRAD*, *GDF15*, *TEX19*, *MLC1*, *TGFBI*, *DNAJB1*, *ZNF165*, *DDIT3* and *AKRC3*).

 Figure 1

Toxicogenomics analysis of cadmium on SH-SY5Y cells

(A) Heatmap of differentially expressed genes after cadmium treatment

 The log2 fold changes of differentially expressed genes (p adj ≤ 0.05, ANOVA-limma adjusted for multiple comparisons according to the Benjamini-Hochberg method), are represented in the heatmap for three replicates of Cd-treated samples (10 and 20 µM 48 h).

 Red color represents up-regulated genes when compared to control conditions, whereas blue color is used for down-regulated genes. The gene names are shown on the right side of the heatmap and the treatment conditions are indicated at the bottom part. On the left, the hierarchical clustering of the genes (see the text for details).

(B) Dose dependence of 96 differentially expressed genes

 The log2 fold changes of the ninety-six genes found to be differentially expressed after 341 cadmium treatment (p adj \leq 0.05, ANOVA-limma) are plotted, with the cadmium concentration on the x-axis and the log2 fold change on the y-axis. Each line connects the log2 fold changes observed at the two concentrations tested (10 and 20 µM). The name of genes with an absolute log2 fold change that are higher than 3, are indicated, corresponding to eleven down-regulated and twenty-six up-regulated genes. The up- regulated genes are separated from the down-regulated genes by a horizontal dashed line at log2 fold change = 0.

3.3. Mineral absorption, cancer related and glycosphingolipid biosynthesis pathways

are the main pathways perturbed by cadmium

- Ensemble of Gene Set Enrichment Analyses (EGSEA) was applied to determine the KEGG
- pathways perturbed by Cd treatment. At 10 µM, the five most important pathways, as
- determined by their low median ranks across ten methods performed by EGSEA, were: p53
- signaling pathway, mineral absorption, glycosphingolipid biosynthesis, basal cell carcinoma
- 355 and endometrial cancer (p adj \leq 0.05, Wilcoxon rank sum test adjusted by the Benjamini
- and Hochberg's procedure) (Figure 2A). The individual ranks attributed to the KEGG
- pathways by each method are reported in Supplementary Table 2S.
- At 20 µM Cd, the seven most perturbed KEGG pathways were: Fanconi anemia pathway,
- mineral absorption, p53 signaling pathway, legionellosis, rheumatoid arthritis, homologous
- recombination and glycosphingolipid biosynthesis (Figure 2B). The ranks of the KEGG
- pathways are detailed in Supplementary Table 3S.

Figure 2

EGSEA of KEGG pathways after cadmium treatment

 The x-axis shows the -log10 of the EGSEA Wilcoxon p value adjusted for multiple comparisons of the KEGG pathways, and the y-axis represents the average of the absolute log2 fold changes of the genes present in each of these pathways. A collection of 285 KEGG pathways with a minimum size of 5 genes was considered for EGSEA. The limma test was used by EGSEA to determine the log2 fold changes of genes after treatment with 10 (panel **A**) or 20 (panel **B**) µM of cadmium. A bigger dot is used for KEGG pathways of lower median ranks observed across ten methods performed by EGSEA. The vertical dashed line at -log10 (padj) = 1.3 corresponds to a false discovery rate of 0.05. Red color is used for up-regulated KEGG pathways whereas blue color represents down-regulated pathways.

 Three KEGG pathways are in common when comparing the two Cd concentrations used. The path views for these three pathways: mineral absorption, p53 signaling pathway and glycosphingolipid biosynthesis, highlight some important genes that are altered by Cd (Figure 3). For the mineral absorption, the up-regulation of metallothioneins (*MT1 and MT2 isoforms*), HMOX (*HMOX1*), ZnT1 (*SLC30A1*), Zip4 (*SLC39A4*) and ferritin heavy chain 1 (*FTH1*) was observed whereas down-regulation of heme carrier protein 1 (*HCP1/SLC46A1*) and ferroportin (*FPN1/SLC40A1*) was found (Figure 3A).

In the p53 signaling pathway, there is up-regulation of Gadd45 (*GADD45A/B/G*), p21

(*CDKN1A*), Noxa (*PMAIP1*) and the Sestrins (*SESN2*) (Figure 3B). In addition to *p21*,

CDK6 is another gene present in the cell cycle module of the p53 signaling pathway and

found to be down-regulated. When looking at the cell cycle pathway itself, we observed

down-regulation of additional genes such as *EP300*, *MCM* (2 to 10), and *E2F* (1 to 8)

(Supplementary Figure 1S). The importance of cancer related pathways is further

highlighted by the endometrial cancer pathway, containing the p53 signaling and cell cycle

modules but also the PI3K-Akt signaling pathway. In this latter pathway, there was down-

regulation of PI3K (*PIK3CA*) and PKB (*AKT1*) (Supplementary Figures 1S). Of interest, the

homologous recombination pathway was also found significant, driven by down-regulation

of *RAD50*, *RAD51* and *RAD54L* subunits, and of the catalytic subunit of DNA polymerase

epsilon (*POLE*) (Supplementary Figure 1S). Another cancer related pathway, i.e basal cell

carcinoma, was also revealed by EGSEA. This pathway contains the p53 signaling and the

cell cycle modules, but also the Wnt signaling pathway (Supplementary Figure 1S). In this

pathway, Wnt (*WNT2, 7A, 8A, 10B, 11*) were up-regulated after Cd treatment, whereas

Frizzled (*FZD1*), TCF/LEF (*TCF7, TCF7L1, TCF4, LEF1*) were down-regulated.

In the glycosphingolipid biosynthesis pathway, we found up-regulation of fucosyltransferase

1 (*FUT1*/ KEGG enzyme 2.4.1.69), beta-hexoaminidase (*HEXA* and *HEXB*, KEGG enzyme

- 3.2.1.52) and alpha-galactosidase (*GLA*/KEGG enzyme 3.2.1.22). This large group of complex lipids is particularly abundant in the outer layer of neuronal plasma membranes. (See Figure legend on pag. 24)
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Figure 3

Path views of three significant KEGG pathways altered by 20 µM of cadmium

 The comparison of microarray data between cells treated with 20 µM of cadmium and controls was made using limma. The mineral absorption (**A**), p53 signaling pathway (**B**), and glycosphingolipid biosynthesis pathway (**C**), are shown with the log2 fold changes of genes layered onto the native KEGG pathway views. A red color illustrated up-regulated genes whereas blue represents down-regulated genes, when compared to control cells.

- Overall, the EGSEA shows that Cd is targeting three important pathways (mineral
- absorption, p53 signaling and glycosphingolipid biosynthesis pathways), with "mineral
- absorption" likely representing the most direct effects of Cd on human neuronal cells.
- *3.4 Metallothioneins and the heat shock response are the earliest cytoprotective*

mechanisms against cadmium

- MT-I and –II are highly inducible isoforms of cytoprotective proteins, as clearly
- demonstrated by our transcriptomics data (see Table 1) and protein expression (Figure 4).
- Western blots, followed by densitometric analyses of MT-I and –II protein levels revealed a
- strong a dose-dependent increase with 25 and 40 mean fold changes after treatment with
- 427 10 and 20 µM Cd, respectively (Figure 4).

Figure 4

Metallothioneins expression in cadmium-treated SH-SY5Y cells

 Metallothionein (MT-I, -II) expression (**A**) is highly induced in SH-SY5Y cells exposed to 10 432 and 20 μ M Cd for 48 h. Very low, undetectable levels of MT are present in the controls (CTR), whereas Cd treated cells show a dose-dependent increase of protein expression, confirmed by the relative protein expression measured by densitometry analyses (**B**). ***Significantly different from control (p<0.001) (Dunnett's test).

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- We observed a similar response for Hsp70, which represents one of the highly conserved
- and inducible heat shock family members. As for MTs, Hsp70 protein expression (Figure 5)
- follows a dose-dependent pattern. In accordance with previous data (Kostenko et al., 2014),
- showing that members of DNAJ/Hsp40 family are involved in recruiting chaperone Hsp70,
- we found *DNAJB1*, a *DNAJ/Hsp40 homolog*, to be upregulated following treatment with
- 442 both 10 and 20 μ M Cd (see par. 3.1, and Table 1).

Figure 5

Heat shock protein 70 expression in cadmium-treated SH-SY5Y cells

 (A) High constitutive levels of Hsp70 are present in controls (CTR), and are increased by 447 Cd treatment (10 and 20 μ M). **(B)** The increased expression is confirmed by densitometry analysis and reveals a significant difference between CTR and treated samples. ***Significantly different from control (p<0.001) (Dunnett's test).

 In addition, the expression of *GADD45β*, *HMOX-1*, and *S100A2* genes assessed by qPCR (Figure 6), strongly supports and validates transcriptomics results, showing an increased expression of both *GADD45β*, *HMOX-1* and, to a less extent, of *S100A2 mRNA.*

Figure 6

 Relative quantification of GADD45β, HMOX1 and S100A2 mRNA levels by real time 458 quantitative PCR. The relative expression levels were expressed as a fold change \pm SE, using β-ACT gene as internal reference control and SHSH5Y cells not treated with Cd (control) as calibrator. Values are presented as means of three different experiments. 461 ** Significantly different from control (p<0.01); *** significantly different from control (p<0.001) (Dunnett's test).

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4. DISCUSSION AND CONCLUSIONS

 Epidemiological and experimental studies have linked cadmium exposure to impaired functions of the nervous system and to neurodegenerative diseases, such as Alzheimer's disease and Parkinson's disease (Wang and Du, 2013), and to increased risk of developing ALS (Wang et al., 2017; Sheykhansari et al., 2018). However, its involvement in neurodegeneration and neurodegenerative-related mechanisms has been highly neglected, despite the increasing number of papers referring to Cd toxic effects on the neuronal system. Our results on SH-SY5Y cells, a human neuronal model, provide the evidence of neurotoxic mechanisms of cadmium, which could represent key triggers in the neurodegenerative process. This toxic metal is widely studied to unravel the mechanisms for its carcinogenicity (see for example Chen et al., 2019; Fabbri et al., 2012; Forcella et al., 2016; Hartwig, 2018). Our results on human SH-SY5Y neuronal cells confirm that cadmium induces the expression of genes belonging to a carcinogenic effect even on brain derived cells. These cells respond to Cd exposure by activating e.g., the p53 signaling pathway, involved in cell cycle arrest upon stress signals and associated with cancer (see for example Joerger and Fersht, 2016), and genes involved in tumor initiation and cancer cell proliferation (*TEX19, AKR1C3, TGFB1,* and *RRAD)*, or down-regulating tumor suppressors (*PDGFRL, TXNIP*), or enzymes involved in the initial step of DNA repair (*UNG*). All these conditions create an environment susceptible to carcinogenesis.

 The SH-SH5Y neuronal cells exposed to Cd respond to the metal-induced stress by activating two major defense mechanisms, namely the heat shock proteins (Hsp), and the metallothioneins (MT). Both MT and Hsp represent the first line of defense against cadmium and metals in general. Multiple functions, including the involvement of Zn and Cu homeostasis, protection against metal toxicity and oxidative damage, are associated to MT

 (Babula et al., 2012). The heat shock response has evolutionary evolved as a cell defense mechanism to maintain proteostasis and restore perturbed protein homeostasis. The Hsp are mainly involved in the assistance of refolding or degrading intracellular proteins injured upon stress. The modulation of these defense mechanisms is a response evidenced in mammalian cells, both in cadmium target (e.g., hepatic, kidney and bronchial cell models) and in non-target tissues, such as Sertoli and brain cells (Bonham et al., 2003; Han et al., 2007; Hung et al., 1998; Luparello et al., 2011; Kusakabe et al., 2008; Urani et al., 2007, 2010). The high response of different family members of heat shock proteins, visualized both as increased protein (Hsp70) expression and as transcripts (*HSPA1A*, *HSPA6*, *HSPA1B*, *DNAJB1*) in our samples exposed to cadmium, shows the need of these molecular chaperones to refold mis-folded proteins and/or degrade damaged or aggregated ones. The perturbation of protein homeostasis and protein folding, aggregation and degradation may lead to accelerated ageing and the incidence of proteotoxicity-triggered disorders, all hallmarks of a number of neurodegenerative diseases, including ALS (Barna et al., 2018; Kalmar et al., 2014). In addition, many proteins arising and/or aggregating during neurodegeneration include, among others, beta-amyloid, tau and heat shock proteins, which act as danger-associated molecular patterns that compromise neuronal functions and cause cell death (Ardura-Fabregat et al., 2017).

 MT are Zn-bound low molecular weight proteins (<7 KDa), which have a major role in the 512 maintenance of metal homeostasis, mainly Zn and Cu, with their α - and β -cluster domains responsible for the binding of up to seven metals. The Zn-bound form of MT is an anti- oxidant agent, as the Zn-sulphur cluster is sensitive to changes in the redox state, thus having also a protective role against reactive oxygen species. Zn, after iron, is the second most abundant metal in organisms, playing pivotal roles as structural, catalytic, and signaling component, and as a modulator of synaptic activity and neuronal plasticity. Thus,

 due to the relative abundance of Zn in the brain, which is protein-bound or compartmentalized to be maintained at very low concentrations, MT are also expected to regulate the intracellular Zn pools in the brain. This function is coordinated with two zinc transporter families, Zrt- and Irt-like proteins (SLC39A), and Zn transporters (ZnT family members) (Méndez-Armenta and Rìos, 2007; Prakash et al., 2015; Kimura and Kambe, 2016). In our model of SH-SY5Y neuronal cells, the highly dose-dependent up-regulation of MT-I and –II proteins represents the immediate response to Cd exposure. In addition, the mineral absorption pathway is the one most significantly perturbed by both Cd concentrations used. Notably, highly up-regulated genes in this pathway are represented by *MTs*, *HMOX1*, *Ferritin*, and *ZnT-1*. The membrane protein encoded by *ZnT-1* gene belongs to a family of Zn transporters, specifically responsible for Zn transport to the extracellular compartments (Kimura and Kambe, 2016), to restore Zn homeostasis and physiological levels. An increased expression of ZnT-1 transporter and of intracellular zinc levels upon Cd exposure were previously demonstrated by our group in human hepatoma cells (Urani et al., 2010 and 2015). In neurons, a role of ZnT-1 transporter in attenuating cadmium and zinc permeation and toxicity, and the increase of $Cd²⁺$ -induced neuronal death in ZnT-1 siRNA transfected cells was evidenced (Ohana et al., 2006). Very interestingly, strictly linked to Cd effect on Zn transporter discussed above, our results demonstrate that Cd deregulates the expression of genes involved in specific neuronal functions and pathways, giving an overall picture strongly associated to a metal dyshomeostasis and to a damage to neuronal functions and dynamics. Among the top up-regulated genes in our Cd-exposed cells is *HMOX1*, encoding for heme-oxygenase-1 (HO-1). Although beneficial effects of HO-1 as a cytoprotective and anti-

inflammatory agent are recognized, an emerging role of increased HO-1 expression in

neurodegenerative diseases is evidenced. HO-1 hyperactivity leads to the pathological iron

 (Fe) deposition recently observed in various neurodegenerative diseases (Wang et al., 2017, and references therein).

 Other Fe-related functions that we found altered in Cd treated SH-SY5Y cells are related to the increased level of *Ferritin*, as highlighted by path views of mineral absorption. In neuronal cells, iron is mostly bound to ferritin or stored in the lysosomes. A variety of neurodegenerative disorders show disturbances in Fe and/or Cu metabolism and excess loading of these metals. The role of Fe, Cu and Zn in the pathophysiology of ALS was previously highlighted. Both animal and *in vitro* models (Lovejoy and Guillemin, 2014), as well as population studies (Qureshi et al., 2008), evidenced elevated ferritin levels in neurodegenerative processes, and a correlation with toxic metal levels (e.g., As, Pb, Hg, Cd) in human samples from ALS patients, suggesting perturbation in iron metabolism by autophagy dysregulation (Biasiotto et al., 2016). In addition, interference of Cd with Fe ions sss can be mediated by divalent metal transporter 1 protein (DMT1) and transferrin, two $Fe²⁺$ transporters which can be used by Cd (Kozlowski et al., 2014). Remarkably, as the mechanisms underlying iron absorption are similar to those of Cd, an iron deficiency leads to increased Cd levels, as demonstrated by population studies (see Lee et al., 2014 and references therein).

 The interplay and the interference of Cd with other essential metals and ions, are of particular relevance with zinc and calcium, due to their roles in neurotransmission and as 562 signaling elements. Elevation of $[Ca²⁺]$ by Cd in neuronal cells (PC12 and SH-SY5Y), both 563 by extracellular influx and by intracellular release from Ca^{2+} storage, was previously demonstrated and related to neuronal apoptosis (Xu et al., 2011).

 One of the 25 top up-regulated genes (*S100A2*) in our cells exposed to cadmium belongs to 566 the highly specialized family of regulatory Ca^{2+} -binding proteins that mediate signal transduction and diseases of the nervous system. Six brain S100 family members, among

 which S100A2, are hallmarks of normal aging, and they increase in neurodegenerative disorders (Zimmer eta al., 2005). Notably, an increase of S100B, a S100 family member, has been described in neurodegenerative diseases such as Alzheimer's disease, Parkinson's disease and ALS, and new functions as sensors and regulators of zinc levels, as well as a metal-buffering activity of these binding proteins are emerging (Hagmeyer et al., 2018). Moreover, S100A2 is present in the human genome but not in rat/mouse genomes, illustrating the importance of using human models in neurotoxicity studies (Zimmer et al., 2005).

 Due to the role of glycosphingolipids in neuronal plasma membrane, the dysregulation of this pathway in SH-SY5Y cells suggests possible modifications in neuronal membrane composition and it is tempting to speculate that it may have consequences on recognition of external messenger(s) and their signal transduction pathways (Aureli et al., 2014). Other remarkable altered genes in our cells exposed to cadmium with neuronal-related functions are *NEK3* and *KIF15*. Nek3 protein belongs to a family of Ser-Thr kinases expressed in neurons with critical roles in coordinating microtubule dynamics. In particular, Nek3 was found to have a role in neuronal morphogenesis and polarity through microtubules effects, suggesting that it could be involved in processes related to axonal projections and degeneration (Chang et al., 2009). The Kif15 is a kinesin-related protein, a superfamily of microtubule-based motor proteins with functions ranging from intracellular transport and division. Noteworthy, one member of the kinesin family (KIF5A) was recently identified as a novel gene associated to ALS (Nicolas et al., 2018), strengthening the role of cytoskeletal defects in ALS pathogenesis.

 The inhibition of microtubule assembly and motility activity of neuronal kinesin were previously demonstrated as consequences of both *in vitro* cadmium exposure and of

elevated non-physiological zinc levels, and is proposed as one molecular cause

contributing to neuronal disorders (Böhm, 2014 and 2017).

Other genes that we found among the top up-regulated in SH-SY5Y cells exposed to Cd

and relevant for their link to neurodegeneration are *RRAD*, *DDIT3 (*known also as *CHOP)*,

and *GDF15*. *RRAD* was recently found up-regulated in the *motor cortex* of sporadic ALS

patients, *CHOP* has been linked to the activation of apoptosis signaling in neuroblastoma

cells, and GDF15 levels in the cerebrospinal fluid is proposed as a potential marker in

disorders such as Parkinson's disease and dementia (Sanfilippo et al., 2017; Soo et al.,

2012; Maetzler et al., 2016).

 The results of this study on different molecular components and processes altered provide new insights and links on Cd-induced neurotoxicity, and suggest further in depth studies on remedies to counteract the induced essential metal dyshomeostasis.

 As concluding remarks, we highlight that toxicogenomics approach is invaluable for mechanistic studies as it provides information on all possible dysregulated genes upon a specific environmental insult. The identification and systematic analysis of up- and down- regulated genes not only provides evidence on functions related to neurodegeneration at a single gene level, but it also gives a comprehensive vision of possible altered processes. In addition, this analysis will help to clarify whether metal-induced cells deregulations are the consequence rather than the cause of neurodegeneration. Deregulated pathways, even not cell-specific, could represent the early triggers for subsequent metabolic and structural unbalances and neurodegeneration.

 Finally, the analysis in a controlled environment and standardized neuronal cell model could help in identifying potential biomarkers to be studied in exposed individuals or in the general population.

Conflict of interest statement

There is no potential conflict of interest or competing interest.

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Table 1

Top up-regulated genes in SH-SY5Y cells treated with 10 or 20 µM Cd for 48 h

Complete list of down-regulated genes in SH-SY5Y cells treated with 10 or 20 µM Cd for 48 h

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